



RSB
Roundtable on
Sustainable Biomaterials
www.rsb.org



CONTROLUNION

Public Summary – RSB audit

PT. Fortius Agro Asia

Certificate Number: CUC/RSB/879935/618864

Aliantan, 28554, Kabun, Riau, Indonesia

CERTIFIED	EXPIRATION
09-12-2021	08-12-2026

DATE(S) OF AUDIT
28 Oct – 1 Nov 2021
date of last update
08-12-2021

Control Union Certifications, Meeuwenlaan 4-6,
8011 BZ, Zwolle, The Netherlands
0031 (0) 38 426 0100
certification@controlunion.com
certification.controlunion.com

FOREWORD

Methodology

Control Union Certifications (CUC), is an international inspection and certification Audit and certification is carried out in conformity with the procedures as laid down Based on the information provided by the auditor and by the client, the certifier reviews and evaluates all information provided and certifies the products when all conditions of the regulations are fulfilled. The result of the evaluation is documented in this report and the applicable Annexes. Audit work by the auditor and certification by the certifier are clearly separated activities.

Report

This report is the result of the findings of a certification evaluation carried out by an independent lead auditor or team of experts representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate. The report is made in accordance with the latest version of standard RSB-PRO-70-001. The full report can only be reviewed by authorized Control Union and RSB staff.

In case of any complaint or appeal with respect to findings and certification decisions taken by CUC, a dispute protocol and form is available on the CUC website:

<http://certifications.controlunion.com/publications> and can also be provided on request.

CONTENTS

FOREWORD	2
CONTENTS	3
PUBLIC SUMMARY	4
1.0 GENERAL INFORMATION	4
1.1 Operator Information	4
1.1.1 Name and Contact Information	4
1.1.2 Additional Parties Involved	4
1.2 Scope of Certificate	4
1.3 Standards Used	6
1.3.1 Applicable RSB Standards	6
2.1 Audit Type and Determination	7
2.2 Audit Team	7
2.3 Evaluation Schedule and Extent of Audit	7
2.3.1 Determination of Extent of Audit	7
2.3.2 Activities Duration and justification audit	7
2.4 Stakeholder Consultation Process	8
3.0 RISK ASSESSMENT RESULTS	11
4.0 RESULTS OF THE EVALUATION	11
4.1 Process of Determining Compliance	11
4.1.1 Non-Compliance	11
4.1.2 Evaluation of Non-conformities	11
4.1.3 Identified non-conformities during present evaluation	11
4.1.4 Assessment of non-compliance raised during and after previous audit report	11
4.1.5 Major Non-compliances	12
4.1.6 Non-compliances and Current Status	12
5.0 CERTIFICATION DECISION	15

PUBLIC SUMMARY

1.0 GENERAL INFORMATION

1.1 Operator Information

1.1.1 Name and Contact Information

Organization name	PT. Fortius Agro Asia		
Contact person	Mr. Angga Wahyu		
Operator Number	2189	CUC PRJ number	879935
Address	Aliantan, 28554, Kabun, Riau, Indonesia	Telephone	+62852 66984308
		Fax	-
		e-mail	Angga_kartika@skpluspalm.com
		Website	-

1.1.2 Additional Parties Involved

n.a

1.2 Scope of Certificate

Please select audit:		
<input type="checkbox"/> RSB EU RED	<input type="checkbox"/> RSB Global	<input checked="" type="checkbox"/> RSB Japan Fit
Please select boxes that apply:		
<input type="checkbox"/> Pre-assessment	<input type="checkbox"/> 1st Annual Surveillance	<input type="checkbox"/> 1st Annual Surveillance
<input checked="" type="checkbox"/> Initial Assessment	<input type="checkbox"/> 2nd Annual Surveillance	<input type="checkbox"/> 2nd Annual Surveillance
<input type="checkbox"/> Re-certification	<input type="checkbox"/> 3rd Annual Surveillance	<input type="checkbox"/> 3rd Annual Surveillance
<input type="checkbox"/> Follow-Up to NCs	<input type="checkbox"/> 4th Annual Surveillance	<input type="checkbox"/> 4th Annual Surveillance

CERTIFICATION SCOPE	
PKS, RSB Japan FIT	
Please select boxes that apply:	<input type="checkbox"/> Biomass producer
	<input checked="" type="checkbox"/> Point of Origin
	<input checked="" type="checkbox"/> First collector
	<input type="checkbox"/> Industrial operator
	<input type="checkbox"/> Mechanical operator
	<input checked="" type="checkbox"/> Trader
The scope assessment agrees with the scope under which the operator applied	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If no, please explain:	N/A
<i>Note: If the scope is different, please contact CU.</i>	

Participating site I	
Name	PT. Fortius Agro Asia - Palm Oil Mill (Point of Origin)
Address	Aliantan, 28554, Kabun, Riau, Indonesia

Participating site II	
Name	PT. Fortius Agro Asia (Warehouse)
Address	Desa Bukit Kayu Kapur, 28882, Dumai, Riau, Indonesia

Description of activities	
	FAA Palm Oil Mill (located in Aliantan) produce palm kernel shells, act as PoO. All PKS will send to the FAA warehouse (act as first collector & trader) which located in Dumai. Warehouse is received PKS not only from FAA POM, but also from another mills. The PKS from FAA is located in different area with PKS from another sources.
Annual throughput of previous 12 months	
if not confidential fill in below	
Feedstock input in mt	/
Final/primary product output in mt	/
Intermediate/by-product output in mt	/
% output in yield (total output/total output)	/
Amount sold as RSB certified in mt	/
GHG CO ₂ eq/dry ton	/
% of emission reductions	/

1.3 Standards Used

1.3.1 Applicable RSB Standards

Title	Version
RSB Procedure for Participating Operators (RSB-PRO-30-001)	V3.2
RSB Principles & Criteria (RSB-STD-01-001)	V3.0
RSB Procedure on Communications and Claims (RSB-PRO-50-001)	V3.5
RSB Procedure for Risk Management (RSB-PRO-60-001)	V3.2
RSB GHG Calculation Methodology (RSB-STD-01-003-01)	V2.3
RSB Procedure for Traceability (RSB-PRO-20-001)	V3.2
RSB Standard for Japan FIT (RSB-STD-13-001)	V1.0
RSB Standard for Advanced Fuels (RSB-STD-01-010)	V2.3
All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials (RSB Procedures & Standards Archives RSB)	

2.0 EVALUATION PLANNING & PROCESS

2.1 Audit Type and Determination

The following table summarizes the audit types for RSB Audits:

	Low risk class	Medium risk class	High risk class
Certificate validity	5 years	3 years	2 year
Main audit	Every 5 years	Every 3 years	Every 2 year
Surveillance audit	Annual	Annual	Annual

2.2 Audit Team

Names audit team:	Salomo Aritonang	Auditor role:	Lead Auditor
Qualifications: ISCC EU, DDC, and GGL qualified auditor Julia Stefanovic as RSB GHG reviewer.			

2.3 Evaluation Schedule and Extent of Audit

2.3.1 Determination of Extent of Audit

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures	Point of origin: 1 Warehouse: 1 (as first collector & traders)
Participating Operator Risk Class	Medium risk (initial assessment)
Disputes or prior Non-compliances	na
Changes in scope since last evaluation	na
Total number of compliance claims	na

2.3.2 Activities Duration and justification audit

Duration and justification audit	
Audit Duration (in hours):	18 hours

Description of the evaluation / evaluation	
Operation(s)/ sites visited	Activities/ notes
Palm Oil Mill – PT. Fortius Agro Asia (Point of Origin)	Conducting audit with baseline of RSB Japan FIT criteria for waste & residue, RSB P & C
	Document review and interview
Warehouse – PT. Fortius Agro Asia (first collectors & traders)	Conducting audit with baseline of RSB Japan FIT criteria for waste & residue
	Document review and interview

People interviewed
Mr. Angga Wahyu (MR)
Mr. Hendra Wahyudi Lubis (Mill Manager)
Mr. Andi Hu (Warehouse Dumai)
Mr. Sidik Waluyo (General Affairs)
Mr. Agusra Authority (QHSE)
Mr. Asfekar (Process)
Mr. H. Tondang (Palm Kernel Shells area PIC)
Mr. Jaka Pramana (Sustainability)
Mr. Hemat Sagala (Laboratory Head)

Action taken by the organization prior to (re)issue of certificate
All NC's are closed prior to the issuance of certificate

2.4 Stakeholder Consultation Process

In accordance with CUC and RSB protocols, consultation with key stakeholders is an integral component of the evaluation process. Stakeholder consultation takes place prior to, concurrent with, and following field evaluations. The primary purpose of such consultation is to solicit input from affected parties as to the strengths and weaknesses of the Participating Operator's management system and operations, relative to the standard, and the nature of the interaction between the company and the surrounding communities.

Principal stakeholder groups are identified based upon the certification scope of the participating operator.

Stakeholder consultation activities are organized according to the requirements of the RSB. The table below summarizes the major comments received from stakeholders and the assessment team's response. Where a stakeholder comment has triggered a subsequent investigation during the evaluation, the corresponding follow-up action and conclusions from CUC are noted below.

Type	Name	Organization	Outreach method	Auditor Evaluation
Local community	Erhas	Community figure (DT Bandaro)	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	Feedback received. Positive efforts and no issues
Local community	Abu Sama	Ketua Lembaga Adat Melayu (DT Tumenggung) – community head	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	No feedback received. Checking company records during onsite visit, no issues so far.
Kabun Village officials	Nanang	Head of Village (Camat kabun)	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	Feedback received. Positive efforts and no issues
Aliantan Village officials	M Rois Zakaria SE	Aliantan Head of Village (Kepala Desa Aliantan)	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	Feedback received. Positive efforts and no issues
Giti Village officials	Wagiran	Giti Head of Village (Kepala Desa Giti)	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	Feedback received. Positive efforts and no issues
Kampung Teleng Village officials	Selamat	Kampung Teleng Head (Kepala RT Kp Teleng)	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	No feedback received. Checking company records during onsite visit, no issues so far.
Police officials	AKP Didi	Kabun Sector Head (Kapolsek)	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	No feedback received. Checking company records during onsite visit, no issues so far.
Military officials	Alza Septendi	Ujung Batu Area Head (Danramil Ujung Batu)	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	No feedback received. Checking company records during onsite visit, no issues so far.

Dinas Tenaga Kerja (Ministry of Workers)	Pamungkas Nasution	Disnaker Rokan Hulu	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	Feedback received. Positive efforts and no issues
Dinas Lingkungan Hidup (Ministry of Environment)	T Omar Krishna Adiwinata ST	DLH Rokan Hulu	Letter send via whatsapp and requested for feedback (1 month before audit date - start from 27 Sept 2021)	Feedback received. Positive efforts and no issues
Dinas Perkebunan (Ministry of Agricultural & Plantation)	Roni Pasla	Disbun Rokan Hulu	Letter send via whatsapp and requested for feedback (1 month before audit date - start from 27 Sept 2021)	Feedback received. Positive efforts and no issues
Workers Union	Lisman	Head of Serikat Pekerja Transportasi Indonesia (SPTI) Rokan Hulu	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	No feedback received. Checking company records during onsite visit, no issues so far.
NGO - Journalist	AC Nauli Harahap	Ikatan Wartawan Online (IWO) Rokan Hulu	Letter send via whatsapp (1 month before audit date - start from 27 Sept 2021) and auditor requested for feedback	Feedback received. Positive efforts and no issues

3.0 RISK ASSESSMENT RESULTS

CUC Risk Assessment Results	3 (Low)
Participation Operator Assessment Results	6 (Low)
Deviations and Risk Factor Difference	N/A
Final risk class	<input checked="" type="checkbox"/> Low <input type="checkbox"/> Medium <input type="checkbox"/> High

Due to the results of the Risk Assessment, the evaluation did not need to be rescheduled.

4.0 RESULTS OF THE EVALUATION

4.1 Process of Determining Compliance

4.1.1 Non-Compliance

Non-compliance: failure to comply with one or more requirements specified in the RSB standards and/or the RSB certification systems

4.1.2 Evaluation of Non-conformities

CUC shall monitor and evaluate all actions taken by the participating operator to address non-compliances finalization of the evaluation report CUC shall evaluate at minimum those aspects of the operation(s) of

CUC shall ensure that recommendations to close major non-compliances, and whether major non-compliances were corrected, rectified or otherwise brought into compliance with the RSB standards and the RSB certification systems, together with the respective certification reports are submitted to peer review and duly decided by its certification decision entity.

4.1.3 Identified non-conformities during present evaluation

Non-compliance (NCs) identified during this evaluation are listed below. In case the organization has Each element (requirement) of the Standard is evaluated by means of a checklist. Please refer to the annexed checklists for details.

4.1.4 Assessment of non-compliance raised during and after previous audit report

Check if section is not applicable, i.e. it concerns a main assessment/initial audit, or no NCs were raised Below an overview is given of the actions taken by the client/certificate holder to correct any NC's. For each NC a description of its current status is given. In the case a minor NC has not been settled within 3 months the RSB certificate will be withdrawing

4.1.5 Major Non-compliances

<input type="checkbox"/>	No major NCs were issued to the Operator during the evaluation. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input checked="" type="checkbox"/>	Major NCs were issued to the Operator during the evaluation, which have all been closed to the satisfaction of the audit team and meet the requirements of the standards. Any minor NCs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation and the Operator has not yet satisfactorily closed all major NCs.

4.1.6 Non-compliances and Current Status

Summary of Non-compliances and Current Status	
Non- compliance Number	2021-01
Category	MAJOR
Relevant RSB Standard & Indicator No	RSB Japan FIT (1.4) RSB-PRO-30-001
Description of requirement	The PO shall define the certification scope: <ul style="list-style-type: none"> the product(s) for which the certification is intended; the sites and facilities that the certification is covering, including a list of feedstock producers and points of origin (if covered by the certification); the applicable certification scheme, and the applicable standards and other normative document(s)
Description of non-conformity	PT FAA defined the product which covered with RSB is palm kernell shell. The PO type are POO, first collector, and traders under Japan FIT scheme. Procedure in place for traceability of oil palm FFB up to processing and shipment of crude palm oil or palm kernell oil from mill. Warehouse listed by PT. FAA received RSB certified palm kernell shell from PT. FAA only (no other RSB certified so far). Found that no procedure yet for palm kernell shell traceability from the mill up to warehouse and shipment (process flow of supply chain, receiving, storing, outgoing, shipment).
Description of actions taken to address non-compliances, the evaluation of those actions and decision to accept them for compliance with RSB standards	Root cause of non-conformity: Lack of awareness to include the procedure for palm kernel shell traceability on management system documents. Corrections taken: Revised the procedure for palm kernel shell traceability from the mill up to warehouse and shipment (process flow of supply chain, receiving, storing, outgoing, shipment) -

	<p>procedure nr. FAA/SOP-FFB-02 "Traceability" rev.4 dated 5 Nov 2021</p> <p>Measures for preventing a recurrence:</p> <ul style="list-style-type: none"> - Regular socialization of procedures to all section involved in the process. - Planning of internal training of RSB system
Status	CLOSED

Summary of Non-compliances and Current Status	
Non- compliance Number	2021-02
Category	MAJOR
Relevant RSB Standard & Indicator No	RSB Japan FIT (8.2.1) RSB-PRO-20-001
Description of requirement	The operator documents certified material separately from material that is not certified
Description of non-conformity	<p>MR is show clear understanding about this requirement. Warehouse staffs interviewed as well and also show clear understanding about the segregation on their warehouse for RSB and non-RSB certified PKS. There is no process in the warehouse, the activity only screening for foreign material (stone, iron, plate, etc) before PKS send to port for shipment.</p> <p>However, there is no procedure yet for product segregation in the warehouse.</p>
Description of actions taken to address non-compliances, the evaluation of those actions and decision to accept them for compliance with RSB standards	<p>Root cause of non-conformity: Lack of awareness to made a procedure for palm kernel shell storing in the warehouse.</p> <p>Corrections taken: Made a procedure for palm kernel shell storing at warehouse (segregation method) - procedure nr. FAA/SOP-WH-01 "Palm Kernel Shell Storing" dated 5 Nov 2021.</p> <p>Measures for preventing a recurrence:</p> <ul style="list-style-type: none"> - Regular socialization of procedures to all section involved in the process. - Planning of internal training of RSB system
Status	CLOSED

Summary of Non-compliances and Current Status	
Non- compliance Number	2021-03
Category	MAJOR
Relevant RSB Standard & Indicator No	RSB Japan FIT (9.2.1) RSB-STD-11-001

Description of requirement	The operator ensures that all relevant transport steps and all relevant processing steps in the scope of certification are taken into account
Description of non-conformity	MR is aware and show understanding about the GHG emissions for their RSB COC system. However, no procedure yet for GHG calculation of palm kernel shell (PKS) start from mills up to shipment. However, there is no procedure yet for product segregation in the warehouse.
Description of actions taken to address non-compliances, the evaluation of those actions and decision to accept them for compliance with RSB standards	<p>Root cause of non-conformity: Lack of awareness to include the procedure of GHG calculation on management system documents.</p> <p>Corrections taken: Revised the procedure for GHG calculation of palm kernel shell (procedure nr. FAA/SOP-GRK-01 " GHG Calculation" rev.1 dated 4 Nov 2021)</p> <p>Measures for preventing a recurrence</p> <ul style="list-style-type: none"> - Regular socialization of procedures to all section involved in the process. - Planning of internal training of RSB system
Status	CLOSED

5.0 CERTIFICATION DECISION

Certification Recommendation		
Operator to be awarded RSB certification subject to the minor non-compliances stated in Section 4.1.6.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
The evaluation team makes the above recommendation for certification based on the full and proper execution of the CUC Responsible Biofuels Program evaluation protocols. If certification is recommended, the Operator has satisfactorily demonstrated the following without exception:		
Operator has closed any Major NC(s) assigned during the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that their system of management is capable of ensuring that all of the requirements of the applicable standards are met over the sites and facilities covered by the scope of the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that the described system of management is being implemented consistently over the sites and facilities covered by the scope of the certificate.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Comments and/or details of any issue which was difficult and/or impossible to evaluate: N.A.		
To be completed by Certification Decision-Making Entity	Technical Review by: If different to decision-maker	Glenn Feryn (RSB certifier)
	Certification decision:	The Organization has been (re) assessed by CUC according to the standard(s) described in Section 3.
	Certification decision by:	Glenn Feryn
	Date of decision: For initial or continued certification	09-12-2021
	Surveillance schedule:	Notes: First surveillance audit at Q4 2022 (before 09-12-2022)