



RSB
Roundtable on
Sustainable Biomaterials
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CONTROLUNION

Public Summary – RSB audit

Provident Biofuels Pte Ltd

Certificate Number: CUC/RSB/879570/591444

**80 Raffles Place, #54-01 UOB Plaza 1
Singapore 048624.**

CERTIFIED	EXPIRATION
25-06-2021	24-06-2026

DATE(S) OF AUDIT
1 st June 2021
date of last update
1 st June 2021

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FOREWORD

Methodology

Control Union Certifications (CUC), is an international inspection and certification Audit and certification is carried out in conformity with the procedures as laid down Based on the information provided by the auditor and by the client, the certifier reviews and evaluates all information provided and certifies the products when all conditions of the regulations are fulfilled. The result of the evaluation is documented in this report and the applicable Annexes. Audit work by the auditor and certification by the certifier are clearly separated activities.

Report

This report is the result of the findings of a certification evaluation carried out by an independent lead auditor or team of experts representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate. The report is made in accordance with the latest version of standard RSB-PRO-70-001. The full report can only be reviewed by authorized Control Union and RSB staff.

In case of any complaint or appeal with respect to findings and certification decisions taken by CUC, a dispute protocol and form is available on the CUC website:

<http://certifications.controlunion.com/publications> and can also be provided on request.

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PUBLIC SUMMARY

1.0 GENERAL INFORMATION

1.1 Operator Information

1.1.1 Name and Contact Information

Organization name	Provident Biofuels Pte Ltd		
Contact person	Douglas Tay		
Operator Number	2197	CUC PRJ number	879570
Address	80 Raffles Place #54-01 UOB Plaza 1 Singapore 048624.	Telephone	+65 6395 5200
		Fax	-
		e-mail	douglas@procap-partners.com
		Website	http://providentbiofuels.com

1.1.2 Additional Parties Involved

Is not included.

1.2 Scope of Certificate

Please select audit:		
<input type="checkbox"/> RSB EU RED	<input type="checkbox"/> RSB Global	<input checked="" type="checkbox"/> RSB Japan Fit
Please select boxes that apply:		
<input type="checkbox"/> Pre-assessment	<input type="checkbox"/> 1st Annual Surveillance	<input type="checkbox"/> 1st Annual Surveillance
<input checked="" type="checkbox"/> Initial Assessment	<input type="checkbox"/> 2nd Annual Surveillance	<input type="checkbox"/> 2nd Annual Surveillance
<input type="checkbox"/> Re-certification	<input type="checkbox"/> 3rd Annual Surveillance	<input type="checkbox"/> 3rd Annual Surveillance
<input type="checkbox"/> Follow-Up to NCs	<input type="checkbox"/> 4th Annual Surveillance	<input type="checkbox"/> 4th Annual Surveillance

CERTIFICATION SCOPE	
<p>Provident Biofuels is a company incorporated in Singapore for the purpose of trading of Palm Kernel Shell (PKS), a palm oil extraction waste product that is used to replace fossil fuels such as coal to generate electricity and reduce carbon emissions. Provident Biofuels sources and procures PKS waste from mills in Malaysia and Indonesia, and supplies the PKS to power producers in Singapore, Thailand, Taiwan, Japan, South Korea, Poland and Italy.</p>	
Please select boxes that apply:	<input type="checkbox"/> Biomass producer
	<input type="checkbox"/> Point of Origin
	<input type="checkbox"/> First collector
	<input type="checkbox"/> Industrial operator
	<input type="checkbox"/> Mechanical operator
	<input checked="" type="checkbox"/> Trader
The scope assessment agrees with the scope under which the operator applied	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If no, please explain:	N/A
<i>Note: If the scope is different, please contact CU.</i>	

Participating site I	
Name	NA
Address	NA

Participating site II	
Name	NA
Address	NA

Description of activities	
	Provident Biofuels performs business development, marketing and manages the administration and logistics for exporting PKS to their customers.
Annual throughput of previous 12 months	
if not confidential fill in below	
Feedstock input in mt	Confidential
Final/primary product output in mt	Confidential
Intermediate/by-product output in mt	Confidential
% output in yield (total output/total output)	Confidential
Amount sold as RSB certified in mt	Confidential
GHG CO ₂ eq/dry ton	Confidential
% of emission reductions	Confidential

1.3 Standards Used

1.3.1 Applicable RSB Standards

Title	Version
RSB Procedure for Participating Operators (RSB-PRO-30-001)	V3.2
RSB Procedure on Communications and Claims (RSB-PRO-50-001)	V3.5
RSB standard for Advanced Fuels (RSB-STD-01-010)	V2.3
RSB Procedure for Risk Management (RSB-PRO-60-001)	V3.2
RSB Procedure for Traceability (RSB-PRO-20-001)	V3.2
RSB Standard for Japan FIT (RSB-STD-13-001)	V1.0
All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials (RSB Procedures & Standards Archives RSB)	

2.0 EVALUATION PLANNING & PROCESS

2.1 Audit Type and Determination

The following table summarizes the audit types for RSB Audits:

	Low risk class	Medium risk class	High risk class
Certificate validity	5 years	3 years	2 year
Main audit	Every 5 years	Every 3 years	Every 2 year
Surveillance audit	Annual	Annual	Annual

2.2 Audit Team

Names audit team:	Zulkarnain Ishak	Auditor role:	Lead Auditor
Qualifications: Successful the RSB Lead Auditor, GRI Sustainability Report, TTP, NDPE IRF, PepsiCo Protocol, RSPO LA, RSPO SCC, MSPO LA, MSPO Peer Reviewer, ISCC (General, Waste and Residue, ISH and GHG Expert), LA EMS ISO 14001, LA ISO 9001, FSC COC, HACCP and Singapore Green Labelling Scheme (SGLS).			

2.3 Evaluation Schedule and Extent of Audit

2.3.1 Determination of Extent of Audit

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures	NA
Participating Operator Risk Class	Medium Risk (Main Assessment)
Disputes or prior Non-compliances	NA
Changes in scope since last evaluation	NA
Total number of compliance claims	NA

2.3.2 Activities Duration and justification audit

Duration and justification audit	
Audit Duration (in hours):	8 hours

Description of the evaluation / evaluation	
Operation(s)/ sites visited	Activities/ notes
Provident Biofuels Pte Ltd (Remote Audit)	Administration, Trading (Purchasing and Selling), Risk Management, CoC Management and Grievance Management

People interviewed
<ol style="list-style-type: none"> 1. Mr. Dougla Tay – Chief Executive Officer 2. Mr. Daniel Lee – Compliance Head 3. Mr. Erwyn Lam – Head of Trading 4. Ms. Katherine Lai – Head of Operation

Action taken by the organization prior to (re)issue of certificate
NA

2.4 Stakeholder Consultation Process

In accordance with CUC and RSB protocols, consultation with key stakeholders is an integral component of the evaluation process. Stakeholder consultation takes place prior to, concurrent with, and following field evaluations. The primary purpose of such consultation is to solicit input from affected parties as to the strengths and weaknesses of the Participating Operator’s management system and operations, relative to the standard, and the nature of the interaction between the company and the surrounding communities.

Principal stakeholder groups are identified based upon the certification scope of the participating operator.

Stakeholder consultation activities are organized according to the requirements of the RSB. The table below summarizes the major comments received from stakeholders and the assessment team’s response. Where a stakeholder comment has triggered a subsequent investigation during the evaluation, the corresponding follow-up action and conclusions from CUC are noted below.

3.0 RISK ASSESSMENT RESULTS

CUC Risk Assessment Results	0	Medium (Main Assessment)
Participation Operator Assessment Results	0	Low
Deviations and Risk Factor Difference	0	
Final risk class	<input checked="" type="checkbox"/> Low <input type="checkbox"/> Medium <input type="checkbox"/> High	

Due to the results of the Risk Assessment, the evaluation did not need to be rescheduled.

4.0 RESULTS OF THE EVALUATION

4.1 Process of Determining Compliance

4.1.1 Non-Compliance

Non-compliance: failure to comply with one or more requirements specified in the RSB standards and/or the RSB certification systems

4.1.2 Evaluation of Non-conformities

CUC shall monitor and evaluate all actions taken by the participating operator to address non-compliances finalization of the evaluation report CUC shall evaluate at minimum those aspects of the operation(s) of

CUC shall ensure that recommendations to close major non-compliances, and whether major non-compliances were corrected, rectified or otherwise brought into compliance with the RSB standards and the RSB certification systems, together with the respective certification reports are submitted to peer review and duly decided by its certification decision entity.

4.1.3 Identified non-conformities during present evaluation

Non-compliance (NCs) identified during this evaluation are listed below. In case the organization has Each element (requirement) of the Standard is evaluated by means of a checklist. Please refer to the annexed checklists for details.

4.1.4 Assessment of non-compliance raised during and after previous audit report

Check if section is not applicable, i.e. it concerns a main assessment/initial audit, or no NCs were raised Below an overview is given of the actions taken by the client/certificate holder to correct any NC's. For each NC a description of its current status is given. In the case a minor NC has not been settled within 3 months the RSB certificate will be withdrawing

4.1.5 Major Non-compliances

<input checked="" type="checkbox"/>	No major NCs were issued to the Operator during the evaluation. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation, which have all been closed to the satisfaction of the audit team and meet the requirements of the standards. Any minor NCs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation and the Operator has not yet satisfactorily closed all major NCs.

4.1.6 Non-compliances and Current Status

Instructions:

- Initial certification audit: list all Non-conformities and Opportunities for Improvement issued during this audit.
- Surveillance/Recertification: list status of minor NCs that were not closed in previous audit, then list new NCs/OFIs issued during this audit.

Summary of Non-compliances and Current Status	
Non- compliance Number	NA
Category	NA
Relevant RSB Standard & Indicator No	NA
Description of requirement	NA
Description of non-conformity	NA
Description of actions taken to address non-compliances, the evaluation of those actions and decision to accept them for compliance with RSB standards	NA
Status	NA

5.0 CERTIFICATION DECISION

Certification Recommendation		
Operator to be awarded RSB certification subject to the minor non-compliances stated in Section 4.1.6.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
The evaluation team makes the above recommendation for certification based on the full and proper execution of the CUC Responsible Biofuels Program evaluation protocols. If certification is recommended, the Operator has satisfactorily demonstrated the following without exception:		
Operator has closed any Major NC(s) assigned during the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that their system of management is capable of ensuring that all of the requirements of the applicable standards are met over the sites and facilities covered by the scope of the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that the described system of management is being implemented consistently over the sites and facilities covered by the scope of the certificate.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Comments and/or details of any issue which was difficult and/or impossible to evaluate: N.A.		
To be completed by Certification Decision-Making Entity	Technical Review by: If different to decision-maker	Glenn Feryn
	Certification decision:	The Organization has been (re-)assessed by CUC according to the standard(s) described in Section 3.
	Certification decision by:	Glenn Feryn
	Date of decision: For initial or continued certification	25-06-2021
	Surveillance schedule:	Notes: surveillance audit within 12 months of the certification date