

Certification Evaluation Summary Report

Roundtable on Sustainable Biomaterials

Global

Solocap MAB S.A.

SCS Certificate Code: SCS-RSB/C-0042

130 chemin des Lacs
Contrexéville, France 88140
Laurent VANNINI

<https://www.maisonmelanmoutet.com>

CERTIFIED	EXPIRATION
6 May 2021	5 May 2026

DATE(S) OF AUDIT
5 November 2020
DATE OF LAST UPDATE
11 May 2021

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FOREWORD

SCS Global Services (SCS) is a certification body accredited by the Roundtable on Sustainable Biomaterials (RSB) to conduct evaluations of biofuel operators (CB Registration No. 592). Under the RSB/SCS certification system, participating operators meeting international standards of biofuel production can be certified as “sustainable,” thereby permitting the Operator’s use of the RSB endorsement and logo in the marketplace subject to regular RSB/SCS oversight.

SCS deploys interdisciplinary teams of natural resource specialists and other experts all over the world to conduct evaluations of biofuel operations. SCS evaluation teams collect and analyze written materials, conduct interviews with Participating Operator’s staff and key stakeholders, and complete field and office audits of the operation(s) identified in the certification scope. Upon completion of the fact-finding phase of all evaluations, SCS teams determine compliance to the RSB Principles and Criteria.

Please Note: An RSB certificate itself does not constitute evidence that a particular product supplied by the certificate holder is certified to RSB standards. Products offered, shipped or sold by the certificate holder can only be considered covered by the scope of this certificate when the required RSB claim is clearly stated on-product. For more information about the RSB, visit their website at www.rsb.org.

Organization of the Report

This report of the results of our evaluation is divided into two sections. Section A provides the public summary and background information that is required by the Roundtable on Sustainable Biomaterials. This section is made available to the general public and is intended to provide an overview of the evaluation process, the management programs, and policies applied to the Participating Operator, and the results of the evaluation. Section A will be posted on the RSB Participating Operators Database (<http://rsb.org/certification/participating-operators/>). Section B contains more detailed results and information for use by the Participating Operator.

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SECTION A – PUBLIC SUMMARY

1.0 GENERAL INFORMATION

1.1 Operator Information

1.1.1 Name and Contact Information

Organization name	Solocap Mab SA		
Operator Number	2172		
Contact person	Laurent Vannini		
Address	130 Chemin des Lacs 88140 Contrexéville France	Telephone	+33 (0)3 29 08 85 63
		Fax	
		e-mail	laurent.vannini@solocapmab.com
		Website	www.maisonmelanmoutet.com

1.2 Scope of Certificate

Please select one:	<input type="checkbox"/> RSB EU RED	<input checked="" type="checkbox"/> RSB Global
Please select boxes that apply:	<input type="checkbox"/> Pre-assessment <input checked="" type="checkbox"/> Initial Assessment <input type="checkbox"/> Re-certification <input type="checkbox"/> Follow-Up to NCs	<input type="checkbox"/> 1st Annual Surveillance <input type="checkbox"/> 2nd Annual Surveillance <input type="checkbox"/> 3rd Annual Surveillance <input type="checkbox"/> 4th Annual Surveillance
Scope as it appears on certificate:	Mechanical Processor Input: Pelletized RSB certified polymers (RSB certified High Density Polyethylene) Output: Plastic Closures for liquid foods	
The scope assessment agrees with the scope under which the operator applied	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
If no, please explain:		
Note 1: If the scope is different, please contact SCS. Note 2: Where the client uses external organizations (public or private) to provide utilities services, such as electricity, waste disposal, water, the auditor shall check that these organizations are run according to local requirements (i.e. the law) but these organizations will not be considered in scope of the audit. Therefore no on-site visits to these utility services are required.		
Total workers covered by scope of certification:	74	
Number of women workers	14	

1.2.1 Determination of Extent of Audit

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures	One site. Mechanical operator that purchases pelletized RSB certified polymers and blends them with other materials to produce plastic closures for water, milk and juice plastic containers (eg. cartons, bottles).
Participating Operator Risk Class	Low
Disputes or prior Non-compliances	NA; first audit
Changes in scope since last evaluation	NA; first audit
Total number of compliance claims	NA; first audit

1.2.2 Standards Used

Applicable RSB-Accredited Standards

Standard Name and Version
• RSB Standard for Participating Operators (RSB-PRO-30-001 V3.2);
• RSB Risk Management (PRO-STD-60-001 V3.2);
• RSB GHG Calculation Methodology (RSB-STD-01-003-01 V2.3);
• RSB Procedure on Communication and Claims (RSB-PRO-50-001 V3.4);
• RSB Chain of Custody (RSB-PRO-20-001 V3.2);
• RSB Standard for Advanced Products RSB-STD-02-001 V 2.0)

All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials (<https://rsb.org/the-rsb-standard/working-with-the-rsb-standard/>). Standards are also available, upon request, from SCS Global Services.

1.3 Sites in Scope

1.3.1 Industrial Facilities

Name of Facility	Solocap Mab
Type	<input type="checkbox"/> Agriculture Milling and/or Fermentation <input type="checkbox"/> Vegetable oil Extraction <input type="checkbox"/> Biofuel Production and/or Distribution <input checked="" type="checkbox"/> Other, please explain here: Mechanical processor.
Location/City	Contrexéville - France
Geographic location (<i>Latitude & Longitude</i>)	48.1921588, 5.9004445
Start date of operations (initial start date)	1971
Number of processing steps	Injection molding + secondary operations (assembly, slitting, printing). Operations vary slightly per product type.

Description of the product or the product component that the certification covers, including, if applicable, the specification of the mass of the certified component related to the total product.	Products are composed of polypropylene and polyethylene. Type of polymer used, quantities and exact composition changes depending on product.
Annual throughput of previous 12 months	
Feedstock Input (Metric Ton)	NA; first audit
Final/Primary Product Output (Metric Ton)	NA; first audit
Intermediate/by-product Output (Metric Ton)	NA; first audit
% output yield compared to input material (total output/total input)	NA; first audit
Amount sold as RSB certified (tons)	NA; first audit

1.4 GHG Intensity

Mechanical processor – exempt from GHG reporting on product.

1.5 Advanced Product Information *(Can be moved to appendix if certain information is confidential)*

If the feedstock for a batch of RSB certified Advanced Product is not wholly but only partly RSB-certified: state the amount of certified feedstock in relation to the total mass of the feedstock for the appropriate category:	Not yet defined – initial audit
For Category III products:	
State the amount of primary fossil resources saved by the input of eligible feedstock in the production system	Minimum 25% to comply with standard. Exact amount will depend on customer demand

2.0 EVALUATION PLANNING & PROCESS

2.1 Audit Team

Auditor Name:	Maite Lasa	Auditor role:	Lead Auditor
Qualifications: Maite is a certified Auditor against sustainability schemes including RSB, ISCC, Bonsucro, and ISO 14001. Previously she worked as a sustainability consultant in renewable energy and in climate change mitigation, and in the biofuels sector, particularly in the production of energy crops for biodiesel production. She received a master’s degree in public administration focused in energy and environment at the University of Columbia (New York, USA).			
Auditor Name:	Justin Richter	Auditor role:	GHG Verifier
Qualifications: Dr. Richter is a Life Cycle Analysis practitioner and Supply Chain researcher in the areas of biofuels, renewable energy, advanced products, and social impacts. He holds GHG certification from ISCC. Dr. Richter has received a Ph.D. in Environmental and Ecological Engineering from Purdue University (West Lafayette, IN, USA).			

2.2 Evaluation Schedule and Extent of Audit

2.2.1 RSB Audit types Matrix

	Low risk class	Medium risk class	High risk class
Certificate validity	5 years	3 years	2 year
Main audit	Every 5 years	Every 3 years	Every 2 year
Surveillance audit	Annual	Annual	Annual

2.2.2 Methodology and Strategies Employed

SCS deploys interdisciplinary teams with expertise in agriculture, ecology, forestry, social sciences, natural resource economics, and other relevant fields to assess an Operator’s compliance to RSB standards and policies. Evaluation methods include document and record review, implementing sampling strategies to visit a broad number of site and facility types, observation of implementation of management plans and policies, and stakeholder analysis. When there is more than one team member, team members may review parts of the standards based on their background and expertise. On the final day of an evaluation, team members convene to deliberate the findings of the assessment jointly. This involves an analysis of all relevant site observations, stakeholder comments, and reviewed documents and records. Where consensus between team members cannot be achieved due to lack of evidence, conflicting evidence or differences of interpretation of the standards, the team is instructed to report these in the certification decision section.

Audit took place remotely due to the COVID pandemic.

2.2.3 Evaluation Itinerary and Activities

Time	Element/Activity	Personnel
5 Nov 2020	Remote: [Video Conferencing Platform]	
9:00 a.m.	<p>Opening Meeting and General Requirements</p> <ul style="list-style-type: none"> - Introduction to certification program and assessment process to on-site staff - Review of scheduled activities - Review of RSB Procedures; confirm roles, responsibilities and processes - Confirmation of scope of products to be certified - Clarification of all suppliers; i.e. farms, blending, transportation, storage - Client to outline production process and overall process flow - Review site map(s) <p><i>Please have ready the following documents:</i></p> <ul style="list-style-type: none"> - <i>Organizational chart and clear roles of entities under the scope (all entities owning RSB material at any stage or physically handling the RSB material at any stage must be included)</i> - <i>Chamber of Commerce to confirm legal entities under the scope (this is for letter a)</i> - <i>Environmental Permits (including plant capacity)</i> - <i>Appointment letters for the key staff responsible for compliance to RSB requirements for receiving, handling and forwarding products under RSB certificate</i> - <i>Flowchart of the process with clear identification of the certification boundaries</i> - <i>Check of crucial points for management of feedstock supply, waste and residues, chemicals.</i> 	Management
10:00	<p>Document Review: Participating Operator/ Standards Checklist</p> <ul style="list-style-type: none"> - Review of training procedures and records - Review of Grievance Mechanism - Review of traceability method and implementation (including acquiring, handling, and forwarding sustainable material) - Analysis of material balance template - Review of GHG inputs (if verification table is available at the time of audit) - Communications and Claims - Requirement for Advanced Products <p><i>Not limited to the below, but please be ready to explain and show:</i></p> <ul style="list-style-type: none"> - <i>the full document and physical traceability of RSB certified material, from input to output</i> - <i>new or existing procedures, systems and/or templates in place to ensure compliance with RSB requirements (as per list above)</i> - <i>Records, sources of data used in calculations</i> - <i>Internal management system in place</i> - <i>Chain of custody system selected and fulfillment of requirements</i> 	Management
1:30	Lunch Break	

2:30	Site Walk-through (video conferencing) <ul style="list-style-type: none"> - Observe operations at processing facility 	Production, Warehouseman, and Post Production Personnel
3:00	Report Writing <ul style="list-style-type: none"> - Auditor consolidates note 	Auditor
4:00	Closing Meeting <ul style="list-style-type: none"> - Presentation of General audit finding - Presentation of all non-compliances and opportunities for improvement - Fix timetables for corrective actions - Reiterate SCS appeal policy - Questions 	Management

2.3 Documentation Submitted by Operator

PQ022 Procedure gest produits RSB	Chamber of Commerce registry
Technical data sheets and specifications	Delivery documents of incoming materials (sample)
Bill of materials	Delivery documents of finished product (sample)
Process flow chart	Mass balance tracking tool`
Self-risk assessment	Training records

2.4 Evaluation of Management System

2.4.1 Capacity of the participating operator to implement its management systems

Overall evaluation of the participating operator’s responsiveness and ability to consistently and effectively implement its management system based on the financial, technical, and human resources available in the table in Appendix 2.

2.4.2 Evaluation of RSB compliance claims and use of RSB trademarks

For Advanced Products, signed document specifying claims approved by RSB:	NA
If other claims are used, are they in line with scope and allowed claims per RSB-PRO-50-001?	No, only in POS
Does Operator use RSB trademarks on off-product or on-product claims?	No, only in POS

2.5 Stakeholder Consultation Process: N/A for Mechanical Processors

3.0 RISK ASSESSMENT RESULTS

Highest Risk Class will Apply for the Participating Operator

Site	Based on the most recent self-risk assessment the PO's risk assessment results are (The number):	Corresponding risk class (low, medium, high):	Date of risk assessment (must be no older than 3 months from the audit date)	Auditor's assessment of Operator's risk
Solocap Mab	6	Low	5 Nov 2020	Revised from 6 to 3

If risk assessment deviates:

Risk Assessment #	Risk Assessment Topic	PO's assessment	Auditor's assessment and explanation
C1	Supply Chain	Medium risk, PO selected that suppliers are included in the scope	No suppliers in the scope

4.0 RESULTS OF THE EVALUATION

4.1 Process of Determining Compliance

4.1.1 Structure of Standard and Degrees of Non-Compliance

RSB-accredited biofuel standards consist of a three-level hierarchy: the principle, the criteria that correspond to that principle, and then the performance indicators that elaborate upon each criterion. Consistent with SCS Sustainable Biofuels Program evaluation protocols, the team collectively determines whether or not the subject operation is in compliance with every applicable indicator of the relevant sustainable biofuel standard. Each non-compliance must be evaluated to determine whether it constitutes a major or minor non-compliance at the level of the associated criterion or sub-criterion. Not all indicators are equally important, and there is no simple numerical formula to determine whether an operation is in non-compliance. The team therefore must use their collective judgment to assess each criterion and determine if the Operator is in compliance. If the Operator is determined to be in non-compliance at the criterion level, then at least one of the applicable indicators must be in major non-compliance.

4.1.2 Interpretations of Findings

Major Non-compliances, either alone or in combination with non-compliances of other applicable indicators, result (or are likely to result) in a fundamental failure to achieve the objectives of the relevant RSB Criterion. These non-compliances must be resolved or closed out before a certificate can be awarded. If Major NCs arise after an operation is certified, the timeframe for correcting these non-compliances is typically no more than three months. Certification is contingent on the operator’s response to the NCs within the stipulated time frame.

Minor Non-compliances are typically limited in scale or can be characterized as an unusual lapse in the system. Most minor NCs are the result of a non-conformance at the indicator-level. Non-compliances must be closed out within a specified time period of award of the certificate.

Opportunity for Improvement is an observation made which does not fully impact compliance but could potentially affect the PO’s ability to comply with RSB requirements in the future.

4.1.3 Major Non-compliances

<input type="checkbox"/>	No major NCs were issued to the Operator during the evaluation. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation, which have all been closed to the satisfaction of the audit team and meet the requirements of the standards. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input checked="" type="checkbox"/>	Major NCs were issued to the Operator during the evaluation and the Operator has not yet satisfactorily closed all major NCs.

4.1.4 Non-compliances and Current Status

Summary of Non-compliances and Current Status				
Non-compliance Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
1-2020	Major	RSB-PRO-30-001 Standards Checklist: 1.4, 14.12	The products types and references have not been specified in the scope. Products vary in recipe and final weight. Different fossil-based ingredients are used depending on the product.(1.4) As a result, not all requirements could be assessed (14.12)	Closed

			<p><u>Evidence of Closure (5 Feb 2021):</u> The RSB procedure PQ022 now includes the two product types to be included under the scope, and relative weight compositions and recipes.</p>	
2-2020	Major	RSB-PRO-30-001 Standards Checklist: 2.1	<p>The PO has not a training system in place to establish and maintain necessary knowledge, competencies, skills for complying with RSB Standards and procedures.</p> <p><u>Evidence of Closure (17 March, 2021):</u> Update: Training record has been made available, and a summary of the training was provided and a participation list. The relevant staff went through the procedure which covers chain of custody issues, and discussed issues and scenarios around the RSB standard.</p>	Closed
3-2020	Major	RSB-PRO-60-001 Standards Checklist: 3.1, 3.2	<p>The operator has not integrated RSB's risk management under its existing risk management procedure (3.1). Medium risk identified in the self-risk-assessment tool was not considered (3.2).</p> <p><u>Evidence of Closure (5 February, 2021):</u> The relevant procedure now includes risk management for RSB, including the consideration of the medium risk identified in the self-risk assessment tool.</p>	Closed
4-2020	Major	RSB-PRO-20-001 RSB-STD-02-001 Standards Checklist: 5.1, 5.2, 8.3.7, 8.3.9, 14.12.5, 14.12.6	<p>The completed chain of custody system could not be observed. Specifically, the following could not be observed:</p> <ol style="list-style-type: none"> 1. how the RSB certified material in purchases and sales is adequately tracked, taking into consideration the different RSB certified resins and different products that will be sold as bio-attributed. (5.1, 14.12.5, 14.12.6) 2. The responsible person for 	Closed

			<p>monitoring of the chain of custody is not specified in the procedures. (5.2)</p> <p>3. The conversion factors could not be observed (8.3.7)</p> <p>4. Continuous accounting system could not be checked per product (8.3.9)</p> <p><u>Evidence of Closure (5 February, 2021):</u> Through internal software Microsoft Navision specific article codes for incoming and outgoing RSB certified products will be created. The system works such that a production order will not be possible if raw material is not available, therefore the balance of RSB certified material is continuously monitored, along with the conversion factors, which can be compared to the bill of materials (unit weight” column in “list of RSB certified products” in PQ022- RSB procedure). Separate excel files will be created to keep track of each RSB certified material, which will be balanced out quarterly. The file templates have been checked by the auditor. The responsible person for the chain of custody has been included in PQ022.</p>	
5-2020	Minor	RSB-PRO-20-001 Standards Checklist: 6.1	<p>Although the operator keeps records of its operations by default, the RSB procedures do not specify the term that records will be kept for five years and the type of records that will be included. Specifically, it is not clear the operator will keep the records as specified in sections 6.2.2 and 6.3.2 of the Standards Checklist.</p> <p><u>Evidence of Closure (5 February, 2021):</u> The procedure PQ022 has been complemented to cover this information, most of which is already captured and kept by the operator as part of its operations.</p>	Closed

6-2020	Observation		<p>The operator only plans on making use of RSB trademarks and logos in the POS template. The operator wishes to make claims on the product or on the component depending on customer preferences. Any other use of the logo for communication or product labelling purposes must be approved by RSB first.</p> <p><u>Evidence of Closure (5 February, 2021):</u> PQ022 specifies that use of RSB trademarks other than in the POS will have to be approved by RSB</p>	Closed
7-2020	Major raised during technical review	RSB-PRO-20-001 Standards Checklist: 14.13.1	<p>Operator did not get approval from RSB for use of claims deviating from claims allowed in the standard.</p> <p>Evidence of Closure (12 February 2021): POS template submitted with no deviating claim noted.</p>	Closed

5.0 CERTIFICATION DECISION

Certification Recommendation		
For Initial and Re-certifications: Operator to be awarded RSB certification subject to any open minor non-compliances stated in Section 4.1.4.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
The SCS evaluation team makes the above recommendation for certification based on the full and proper execution of the SCS Responsible Biofuels Program evaluation protocols. If certification is recommended, the Operator has satisfactorily demonstrated the following without exception:		
Operator has addressed any Major NC(s) assigned during the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No Major NCs issued <input type="checkbox"/>	
Operator has demonstrated that their system of management is capable of ensuring that all of the requirements of the applicable standards are met over the sites and facilities covered by the scope of the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that the described system of management is being implemented consistently over the sites and facilities covered by the scope of the certificate.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Comments and/or details of any issue which was difficult and/or impossible to evaluate:		
To be completed by Certification Decision-Making Entity	Technical Review by: If different to decision-maker	Inna Kitaychik
	Certification decision:	Participating Operator is granted certification against the RSB standards listed in Section 1.2.2
	Certification decision by:	Robert Earley
	Date of decision: For initial or continued certification	6 May, 2021
	Surveillance schedule:	First surveillance audit by 5 May 2022 Notes: