

Surveillance Evaluation Report

Roundtable on Sustainable Biomaterials

RSB Global Advanced Products

Hebei Shoulang New Energy Technology Co. Ltd.

SCS Certificate Code: SCS-RSB/PC-0040

Shougang Jingtang United Iron & Steel Co., Ltd. Caofeidian Industrial Zone, Inside Yard, Tangshan City,
Hebei, 063200, China
Contact: Zhang Chunyue
<http://www.bjsgltne.com/>

CERTIFIED	EXPIRATION
2 December 2020	1 December 2025

DATE(S) OF AUDIT
13-27 December, 2021
DATE OF LAST UPDATE
11 April 2022

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FOREWORD

SCS Global Services (SCS) is a certification body accredited by the Roundtable on Sustainable Biomaterials (RSB) to conduct evaluations of biofuel operators (CB Registration No. 592). Under the RSB/SCS certification system, participating operators meeting international standards of biofuel production can be certified as “sustainable,” thereby permitting the Operator’s use of the RSB endorsement and logo in the marketplace subject to regular RSB/SCS oversight.

SCS deploys interdisciplinary teams of natural resource specialists and other experts all over the world to conduct evaluations of biofuel operations. SCS evaluation teams collect and analyze written materials, conduct interviews with Participating Operator’s staff and key stakeholders, and complete field and office audits of the operation(s) identified in the certification scope. Upon completion of the fact-finding phase of all evaluations, SCS teams determine compliance to the RSB Principles and Criteria.

Please Note: An RSB certificate itself does not constitute evidence that a particular product supplied by the certificate holder is certified to RSB standards. Products offered, shipped or sold by the certificate holder can only be considered covered by the scope of this certificate when the required RSB claim is clearly stated on-product. For more information about the RSB, visit their website at www.rsb.org.

Organization of the Report

This report of the results of our evaluation is divided into two sections. Section A provides the public summary and background information that is required by the Roundtable on Sustainable Biomaterials. This section is made available to the general public and is intended to provide an overview of the evaluation process, the management programs, and policies applied to the Participating Operator, and the results of the evaluation. Section A will be posted on the RSB Participating Operators Database (<http://rsb.org/certification/participating-operators/>). Section B contains more detailed results and information for use by the Participating Operator.

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SECTION A – PUBLIC SUMMARY

1.0 GENERAL INFORMATION

1.1 Operator Information

1.1.1 Name and Contact Information

Organization name	Hebei Shoulang New Energy Technology Co. Ltd.		
Operator Number	2136		
Contact person	Zhang Chunyue		
Address	Shougang Jingtang United Iron & Steel Co., Ltd.	Telephone	[Confidential]
	Caofeidian Industrial Zone, Inside Yard,	Fax	
	Tangshan City, Hebei,	e-mail	zhangchunyue@sgltn.com
	063200, China	Website	http://www.bjsgltn.com/

1.2 Scope of Certificate

Please select one:	<input type="checkbox"/> RSB EU RED	<input checked="" type="checkbox"/> RSB Global
Please select boxes that apply:	<input type="checkbox"/> Pre-assessment	<input checked="" type="checkbox"/> 1st Annual Surveillance
	<input type="checkbox"/> Initial Assessment	<input type="checkbox"/> 2nd Annual Surveillance
	<input type="checkbox"/> Re-certification	<input type="checkbox"/> 3rd Annual Surveillance
	<input type="checkbox"/> Follow-Up to NCs	<input type="checkbox"/> 4th Annual Surveillance
Scope as it appears on certificate:	Ethanol made from Carbon Monoxide from Steel Mill Basic Oxygen Furnace off-gas	
The scope assessment agrees with the scope under which the operator applied	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<p><i>Note 1: If the scope is different, please contact SCS.</i></p> <p><i>Note 2: Where the client uses external organizations (public or private) to provide utilities services, such as electricity, waste disposal, water, the auditor shall check that these organizations are run according to local requirements (i.e. the law) but these organizations will not be considered in scope of the audit. Therefore no on-site visits to these utility services are required.</i></p>		

1.2.1 Determination of Extent of Audit

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures	1 Point of Origin 1 Industrial Operator
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Participating Operator Risk Class	Low
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1.2.2 Standards Used

Applicable RSB-Accredited Standards

Standard Name and Version
<ul style="list-style-type: none"> • RSB Principles & Criteria (RSB-STD-01-001 V3.0); • RSB Procedure for Traceability (Chain of Custody) (RSB-PRO-20-001 V3.2); • RSB Standard for Participating Operators (RSB-PRO-30-001 V3.3); • RSB Procedure on Communication and Claims (RSB-PRO-50-001 V3.5); • RSB Procedure for Risk Management (RSB-PRO-60-001 V3.3); • RSB Standard for Advanced Fuels (RSB-STD-02-010 V2.3); • RSB Standard for Advanced Products (RSB-STD-01-001 V2.0); • RSB GHG Calculation Methodology (RSB-STD-01-003-01 V2.3)

All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials (<https://rsb.org/the-rsb-standard/working-with-the-rsb-standard/>). Standards are also available, upon request, from SCS Global Services.

1.3 Sites in Scope

1.3.1 Industrial Operator/ Mechanical Processor

Name of Facility	Hebei Shoulang New Energy Technology Co. Ltd.
Type	<input type="checkbox"/> Agriculture Milling and/or Fermentation <input type="checkbox"/> Vegetable oil Extraction <input type="checkbox"/> Biofuel Production and/or Distribution <input checked="" type="checkbox"/> Other, please explain here: Production of non-denatured ethanol
Location/City	Tangshan City, Hebei Province, China
Geographic location (<i>Latitude & Longitude</i>)	38.972839, 118.504954
Start date of operations (initial start date)	29 August 2019
Number of processing steps	7 processing steps
Description of the product or the product component that the certification covers, including, if applicable, the specification of the mass of the certified component related to the total product.	99.5% Ethanol

1.3.2 Points of Origin

Number of Points of Origin in Scope	1
Number of Points of Origin providing more than ten metric tons per months	1

Number of Points of Origin Assessed on a Sample Basis during This Audit	1
List of Points of Origin Assessed on a Sample Basis during This Audit	
1. Name	Shougang Jingtang United Iron and Steel Co. Ltd Steel Mill
Location/City	Tangshan, China
Geographic location (<i>Latitude & Longitude</i>)	38.9557, 118.5055
Material delivered:	Basic Oxygen Furnace off-gas (carbon monoxide)

1.4 GHG Intensity

GHG emissions are considered to be confidential information. The information will be made available to buyers in the outgoing declaration.

1.5 Advanced Product Information

For Category II products:	
State the recycled carbon content in relation to the total carbon content	100%
State the method used to determine the recycled carbon content	Material balance (Single source of carbon – steel mill)

2.0 EVALUATION PLANNING & PROCESS

2.1 Audit Team

Auditor Name:	Robert Earley	Auditor role:	Lead Auditor
Qualifications: Robert Earley is the technical manager for RSB Certifications at SCS Global Services and is a qualified lead auditor of RSB, Bonsucro and ISCC certifications, a certified lead verifier of the California Low Carbon Fuel Standard, and has been trained in ISO 9001:2015 auditing. Previously, Robert was the Transport Program Manager of Manila-based Clean Air Asia, promoting clean and efficient freight and logistics across Asia, and before that was the Director of the Clean Transportation Program at the Innovation Center for Energy and Transportation (iCET), which developed standards for lifecycle GHG emissions assessment for biofuels in China, and which became the first member of the RSB in China. Mr. Earley, who has lived in China since 2004 and is fluent in Mandarin Chinese, studied environmental science at the University of Calgary and Urban and Regional Planning at the University of Waterloo in Canada. His coursework at the University of Calgary included impacts of agriculture and conservation in agricultural areas in southern Alberta.			
Auditor Name:	Qiuxia Dun	Auditor role:	Team Auditor (Lead auditor of Point of Origin audit)
Qualifications: Ms Dun Qiuxia is currently a lead auditor-in-training in the RSB certification system. She is a highly experienced auditor having undertaken audits in the ISCC EU and ISCC PLUS, CARBEPA building materials certification, FSC Chain of Custody and other sustainability certifications since 2017.			

Ms Dun has a bachelor’s degree in Pulp and Paper Engineering from Tianjin University of Science and Technology and an Master’s degree in Printing Engineering from Qufu Normal University in China. She is a fluent English speaker.

Auditor Name:	Justin Richter, Ph.D.	Auditor role:	GHG Verifier
Qualifications: Dr. Richter is a Life Cycle Analysis practitioner and Supply Chain researcher in the areas of biofuels, renewable energy, advanced products, and social impacts. He holds GHG certification from ISCC. Dr. Richter has received a Ph.D. in Environmental and Ecological Engineering from Purdue University (West Lafayette, IN, USA).			

2.2 Evaluation Schedule and Extent of Audit

2.2.1 Methodology and Strategies Employed

SCS deploys interdisciplinary teams with expertise in agriculture, ecology, forestry, social sciences, natural resource economics, and other relevant fields to assess an Operator’s compliance to RSB standards and policies. Evaluation methods include document and record review, implementing sampling strategies to visit a broad number of site and facility types, observation of implementation of management plans and policies, and stakeholder analysis. When there is more than one team member, team members may review parts of the standards based on their background and expertise. On the final day of an evaluation, team members convene to deliberate the findings of the assessment jointly. This involves an analysis of all relevant site observations, stakeholder comments, and reviewed documents and records. Where consensus between team members cannot be achieved due to lack of evidence, conflicting evidence or differences of interpretation of the standards, the team is instructed to report these in the certification decision section.

2.2.2 Evaluation Itinerary and Activities

Time	Element/Activity	Personnel Involved
Auditor(s) names: Lead auditor: Robert Earley; Team auditor-in-training: Qiuxia Dun		
Day 1 13 December, 2021	Hebei Shoulang New Energy Technology Co., Ltd. Shougang Jingtang United Iron & Steel Co., Ltd. Caofeidian Industrial Zone, Inside Yard, Tangshan City, Hebei, 063200, China	
8:30 a.m.	Opening Meeting and General Requirements <ul style="list-style-type: none"> - Introduction to certification program and assessment process to on-site staff; confidentiality; safety procedures; method of reporting and NC grading, etc. - Review of scheduled activities - Review of RSB procedures; confirm roles, responsibilities and processes - Confirmation of scope of products to be certified - Client to outline production process and overall process flow - Review of site map(s) - Review of Risk Assessment Tool - Review of Screening Tool 	Management

	<ul style="list-style-type: none"> - Relevant updates from client and any social or environmental changes to the operation - Follow-up on implementation of any corrective action plans from desk audit or previous initial field audit. 	
	<p>Document Review: Participating Operator/Standards Checklist</p> <ul style="list-style-type: none"> - Review of training procedures and records - Review of grievance mechanism and records - Review of traceability method and implementation (including acquiring, handling and forwarding of sustainable material); meter calibration records - Analysis of material balances and records - Review of records - Review of GHG inputs - Communications and claims - Requirements for Advanced Fuels/Advanced Products 	Management
11:30 a.m.	<p>Site walk-through: If audit is remote, please submit high-definition photos of the site including the following:</p> <ul style="list-style-type: none"> - Observe operations at processing facility - Observe control room - Observe tanks - Observe product storage area - Observe chemical storage and disposal - Observe sludge repository or disposal - Observe other critical control points 	Production, Warehouseman, and Post-Production Personnel
1:00 p.m.	<p>Lunch Break</p> <ul style="list-style-type: none"> - Please prepare a simple meal on-site or nearby to maintain audit schedule 	
1:45 p.m.	<p>Document Review: Compliance with Principles and Criteria</p> <p>Ensure that risks identified in the Risk assessment tool and screening tool are directly addressed</p> <p>Principle 1:</p> <ul style="list-style-type: none"> - Review any changes in scope/permitting <p>Principle 2:</p> <ul style="list-style-type: none"> - Review records of ESMP implementation - Review grievance records - Review planning documents and budget for RSB implementation <p>Principle 4:</p> <ul style="list-style-type: none"> - Review contracts of 3rd party employees for equal labour rights as full-time employees - Review employee grievance records <p>Principle 7: Ecosystems</p> <ul style="list-style-type: none"> - Review records of ESMP monitoring and evaluation <p>Principle 9: Water</p> <ul style="list-style-type: none"> - Review records of ESMP monitoring and evaluation <p>Principle 10: Air</p> <ul style="list-style-type: none"> - Review records of ESMP monitoring and evaluation <p>Principle 11:</p>	Management and relevant operator staff

	<ul style="list-style-type: none"> - Use of technology: micro-organisms: Check ESMP monitoring and implementation - Integrated waste management - Resource and energy use, energy efficiency 	
5:00 p.m.	Report writing Auditor(s) take time to consolidate notes and confirm audit findings and prepare the closing meeting record	
5:30 p.m.	Review of day's findings	
End of day 1		

Time	Point(s) of Origin of Wastes and Residues	Personnel Involved
Auditor(s) names: Lead Auditor: Qiuxia DUN Supervized by Robert Earley		
Day 2 3 Dec 2021	Shougang Steel Factory (Point of Origin) Shougang Jingtang United Iron & Steel Co., Ltd. Caofeidian Industrial Zone, Inside Yard, Tangshan City, Hebei, 063200, China	
9:00 a.m.	Opening meeting and general requirements <ul style="list-style-type: none"> - Review of assessment process to on-site staff, list of applicable standards, confidentiality, safety procedures if relevant - Confirm roles, responsibilities and processes - Confirmation of scope of products to be certified - Review of site map(s) 	Point of Origin Management
	Document Review: Participating Operator / Standards Checklist (Point of Origin Checklist) Review eligibility of material Review process flows and feasibility of production volumes (including mass balance) Review of declarations of outgoing material Review of feedstock-specific requirements, if applicable	Point of Origin Management
11:30 a.m.	Report Writing Auditor(s) take time to consolidate notes and confirm audit findings	
12:00 p.m.	Findings Presentation of all non-compliances and opportunities for improvement	
End of Point of Origin Audit		

Time	Closing meeting	Personnel Involved
Auditor(s) names: Lead Auditor: Robert Earley; Team auditor: Qiuxia DUN		
Day 3 27 December, 2021	Hebei Shoulang New Energy Technology Co., Ltd. Online via Tencent Meetings	
1:30 p.m.	Resolve any remaining questions from day 1	Management
3:00 p.m.	Auditors to finalize audit findings	Auditors

3:30 p.m.	Closing meeting <ul style="list-style-type: none"> - Presentation of general audit findings - Presentation of all non-compliances and opportunities for improvement - Review of closing meeting record - Establish timetables for signed closing meeting record, corrective action and submission of Correction Action Plan - Overview of timetable for audit report completion - Reiterate SCS appeal and grievance policy - Questions 	Management, Senior Management
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2.2.3 Evaluation of RSB compliance claims and use of RSB trademarks

Are all claims used in line with scope and allowed claims per RSB-PRO-50-001 or Advanced Product Standard, as applicable?	No claims to date
If claims deviate from approved language in standard, signed document specifying claims approved by RSB:	N/A
Does Operator use RSB trademarks on off-product or on-product claims?	No claims to date

3.0 RISK ASSESSMENT RESULTS

3.1.1 Risk assessment

Highest Risk Class will Apply for the Participating Operator

Site	Based on the most recent self-risk assessment the PO's risk assessment results are (The number):	Corresponding risk class (low, medium, high):	Date of risk assessment (must be no older than 3 months from the audit date)	Auditor's assessment of Operator's risk
Hebei Shoulang New Energy Technology	9 (adjusted)	Low	29 Nov 2021	Auditor agrees with risk level and the mitigation strategies offered for the adjusted number
Overall Risk				LOW

3.1.2 RSB Audit types Matrix

	Low risk class	Medium risk class	High risk class
Certificate validity	5 years	3 years	2 years
Main audit	Every 5 years	Every 3 years	Every 2 years

Surveillance audit	Annual	Annual	Annual
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4.0 RESULTS OF THE EVALUATION

4.1 Process of Determining Compliance

4.1.1 Structure of Standard and Degrees of Non-Compliance

RSB-accredited biofuel standards consist of a three-level hierarchy: the principle, the criteria that correspond to that principle, and then the performance indicators that elaborate upon each criterion. Consistent with SCS Sustainable Biofuels Program evaluation protocols, the team collectively determines whether or not the subject operation is in compliance with every applicable indicator of the relevant sustainable biofuel standard. Each non-compliance must be evaluated to determine whether it constitutes a major or minor non-compliance at the level of the associated criterion or sub-criterion. Not all indicators are equally important, and there is no simple numerical formula to determine whether an operation is in non-compliance. The team therefore must use their collective judgment to assess each criterion and determine if the Operator is in compliance. If the Operator is determined to be in non-compliance at the criterion level, then at least one of the applicable indicators must be in major non-compliance.

4.1.2 Interpretations of Findings

Major Non-compliances, either alone or in combination with non-compliances of other applicable indicators, result (or are likely to result) in a fundamental failure to achieve the objectives of the relevant RSB Criterion. These non-compliances must be resolved or closed out before a certificate can be awarded. If Major NCs arise after an operation is certified, the timeframe for correcting these non-compliances is typically no more than three months. Certification is contingent on the operator’s response to the NCs within the stipulated time frame.

Minor Non-compliances are typically limited in scale or can be characterized as an unusual lapse in the system. Most minor NCs are the result of a non-conformance at the indicator-level. Non-compliances must be closed out within a specified time period of award of the certificate.

Opportunity for Improvement is an observation made which does not fully impact compliance but could potentially affect the PO’s ability to comply with RSB requirements in the future.

4.1.3 Major Non-compliances

<input type="checkbox"/>	No major NCs were issued to the Operator during the evaluation. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
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<input checked="" type="checkbox"/>	Major NCs were issued to the Operator during the evaluation, which have all been closed to the satisfaction of the audit team and meet the requirements of the standards. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation and the Operator has not yet satisfactorily closed all major NCs.

4.1.4 Non-compliances and Current Status

Summary of Non-compliances and Current Status				
Non-compliance Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
Resolution of 2020 NCs				
2020-01	Minor	Standards Checklist 1.2	Governing bodies not available in PO profile. Action plan received. Evidence received: Governing bodies added to ESMP.	Closed.
2020-02	Minor	Standards Checklist 1.4	The certification scheme was not identified in any document observed at the time of the audit. Action plan received. "RSB" added to ESMP; however, for clarity, full name of scheme should be listed. Evidence received: RSB Global noted in ESMP	Closed 13 Dec 2021
2020-03	Minor	Standards checklist 1.5	The person responsible for RSB implementation is not clearly defined in documentation Action plan received. Evidence received: Following information is added to Section 3 of the ESMP: " SGLT Deputy General Manager Leading RSB-related certification and single point of contact. Fully responsible for system implementation, personnel training, and continuous improvement"	Closed.
2020-04	OFI	Standards checklist 1.5	Required documentation is not consolidated in an easy-to-find document or set of documents.	Closed December 13, 2021

			Evidence received: Procedures and documents have been organized in an easy-to-find set as annexes to the ESMP	
2020-05	Minor	Standards checklist 1.8	<p>Discrepancy related to the interpretation of contracts and requirements.</p> <p>Action plan received.</p> <p>Evidence received: The operator presented a “Point of Origin Declaration – RSB Global” signed by the Shougang Steel Plant’s manager, Wang Hong Tao indicating agreement to the relevant requirements of the RSB and allowing auditors, certification bodies, etc, to verify compliance.</p>	Closed December 13, 2021
2020-06	Major downgraded to a Minor during technical review.	Standards Checklist 1.10	<p>The PO did not self-evaluate against the standards and procedures of the RSB standards</p> <p>Action plan received. ESMP has been updated to specify that both the P&Cs and Chain of Custody requirements will be evaluated during the self-evaluation. Full self-evaluation will be evaluated at the next audit.</p> <p>Evidence received: The operator has submitted a self-evaluation against the standards checklist as well as the P&Cs checklist.</p>	Closed December 13, 2021
2020-07	Minor	Standards checklist 1.11	<p>The screening exercise did not properly quantify the size of the operation.</p> <p>Action plan received.</p> <p>Evidence received: Updated screening exercise identifies the size of the facility as 'medium'</p>	Closed
2020-08	Major	Standards checklist 2.3	<p>Chain of custody employees have not yet been trained specifically on RSB implementation at the time of audit.</p> <p>Action Plan received.</p> <p>Evidence received: Training logs for chain of custody have been provided, Training presentation also provided.</p>	Closed August 14, 2020

			Training exercises have both English and Chinese content.	
2020-9	Minor	Standards checklist 6.2.2, 6.3.2	Records of conversion factors were not observed during the audit. Action Plan received. Evidence received: 20200727 LCA for RSB Certification for 2019/pre-certification includes conversion factor. Using one month of sample data from 2020.1.29-2020.2.29.	Closed 13 December 2021
2020-10	Major	Standards Checklist 6.3.3, 6.3.4, 14.13.1	Required information was missing from the outgoing declaration template. Action Plan received. Evidence received: Updated 'Template - Transport Record .docx' shows: 1. outgoing materials 2. Certification scheme Updated template meets the requirements of the standard.	Closed
2020-11	Major	Standards checklist 9.3.1, 9.3.3 Point of Origin Checklist 6.1	LCA requires updating to comply with RSB standard. Action Plan Received Evidence received: LCA was updated and is now in compliance with RSB requirements.	Closed.
2020-12	Major	Standards Checklist 9.1, 9.3.5, 9.3.6 P&C 3.b.1	The methodology used to calculate lifecycle GHG emissions was not compliant with the RSB standard. Action Plan Received Evidence received: GHG calculations now follow RSB Global and Advanced Products methodology.	Closed.
2020-13	OFI	Standards checklist 11.2	The procedure for communications and claims, while complete, has not been fully integrated into the controlled document procedure management system.	Open
2020-14	Minor	Standards checklist 12.1, 12.5.3, 13.4.1 Point of Origin Checklist 3, 5.1, 5.3	Discrepancy regarding interpretation of material eligibility at point of origin to be confirmed in line with the Standard for Advanced Fuels (RSB-STD-01-010 v2.3 G 4.2.1).	Closed 13 December 2021

			<p>Action Plan Received</p> <p>Evidence received: Material clearly eligible to be used as production residue in RSB system, signed self-declaration offered by the Point of Origin to confirm eligibility as production residue.</p>	
2020-15	Major	P&C 1.a.1	<p>Written anti-bribery policy was not observed during the audit.</p> <p>Action Plan Received</p> <p>Evidence received: Documentation with written anti bribery policy provided.</p>	Closed.
2020-16	Major	P&C 2.b.8, 9.b.3	<p>Management documents and also water management plan were not publicly available at the time of the audit.</p> <p>Action Plan Received</p> <p>Evidence received: Water Management documents provided and are available publicly on the website.</p>	Closed.
2020-18	Observation	Checklist 1.4	<p>Additional scope expansion needed to include full chain of custody of material to be certified</p>	Open.
Non-conformities for 2021 audit				
2021-1	Major	RSB-PRO-20-001 F.11 (Checklist 5.1):	<p>A clear procedure for communication between LanzaTech and Hebei Shoulang is not in place, resulting in misunderstandings in communication between supply chain participants downstream of Hebei Shoulang.</p> <p>RCA received</p> <p>Evidence received: Forms, work instructions and training materials updated. Transfer documents will not carry certification until a solution is found for an in-scope trader.</p>	Closed 7 March 2022
2021-2	Major	RSB-STD-01-010 F4.2, Standards Checklist 1.8, 13.4.1, 13.4.2	<p>The sustainability declaration signed by the steel mill does not include reference to the feedstock-specific requirements for CO2 and precursors contained in flue gas.</p>	Closed 7 March 2022

			<p>RCA Received</p> <p>Evidence received: An updated signed self-declaration from the steel mill was observed by the auditor including the required declarations about flue gas.</p>	
2021-3	Major	RSB-PRO-20-001 Checklist 6.3.1, 6.3.3	<p>No statement whether or not the raw material is eligible as production residue or end-of-life product under RSB certification system" is noted on either Proof of Sustainability from the PO to its customer/self-declaration from the Point of Origin</p> <p>RCA Received</p> <p>Evidence received: Forms, work instructions and training materials updated with statement regarding production residue eligible, as well as new signed self-declaration from Point of Origin.</p>	Closed 7 March 2022
2021-4	OFI	RSB-STD-02-001, Checklist 14.13.7	Transaction of sales of denatured ethanol does not specifically indicate the volume of potentially sustainable ethanol in the batch, nor the minimum recycled carbon content. As this was not an official RSB transaction, this is an OFI, not a non-conformity.	Open
2021-5	OFI	Principle 2.a.6 ESMP implementation, Principle 9.b.1, etc.	ESMP implementation data was accessed upon request, but all on paper documents. Digitization of environmental systems including water, air and waste data could help the operator more accurately and quickly report on ESMP implementation	Open

5.0 CERTIFICATION DECISION

Certification Recommendation	
For Surveillance Audits: Operator is to continue as an RSB certified Participating Operator subject to the minor non-compliances stated in Section 4.2.5.	Yes <input type="checkbox"/> No <input type="checkbox"/>
The SCS evaluation team makes the above recommendation for certification based on the full and proper execution of the SCS Responsible Biofuels Program evaluation protocols. If certification is recommended, the Operator has satisfactorily demonstrated the following without exception:	

Operator has addressed any Major NC(s) assigned during the evaluation.		Yes <input type="checkbox"/> No <input type="checkbox"/> No Major NCs issued <input type="checkbox"/>
Operator has demonstrated that their system of management is capable of ensuring that all of the requirements of the applicable standards are met over the sites and facilities covered by the scope of the evaluation.		Yes <input type="checkbox"/> No <input type="checkbox"/>
Operator has demonstrated that the described system of management is being implemented consistently over the sites and facilities covered by the scope of the certificate.		Yes <input type="checkbox"/> No <input type="checkbox"/>
Comments and/or details of any issue which was difficult and/or impossible to evaluate:		
To be completed by Certification Decision-Making Entity	Technical Review by: If different to decision-maker	Inna Kitaychik
	Certification decision:	Lifting of suspension following the tech review of the 1 st surveillance audit. Operator meets requirements of standards listed in Section 1.2.2
	Certification decision by:	Inna Kitaychik
	Date of decision: For initial or continued certification	6 April 2022
	Surveillance schedule:	2 nd surveillance audit by 27 December 2022 Notes: Certificate validity extended to 1 Dec 2025 with change of risk class from medium to low