

Certification Evaluation Report

Roundtable on Sustainable Biomaterials

Global/Advanced Products

Greatview Aseptic Packaging Manufacturing GmbH

SCS Certificate Code: SCS-RSB/C-0041

Orionstraße 8, D-06184 Kabelsketal (Halle/Saale), Germany

Tim Eisele

<https://greatviewpack.com/>

CERTIFIED	EXPIRATION
24 November 2020	23 November 2025

DATE(S) OF AUDIT
14 September 2020
DATE OF LAST UPDATE
24 November 2020

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FOREWORD

SCS Global Services (SCS) is a certification body accredited by the Roundtable on Sustainable Biomaterials (RSB) to conduct evaluations of biofuel operators (CB Registration No. 592). Under the RSB/SCS certification system, participating operators meeting international standards of biofuel production can be certified as “sustainable,” thereby permitting the Operator’s use of the RSB endorsement and logo in the marketplace subject to regular RSB/SCS oversight.

SCS deploys interdisciplinary teams of natural resource specialists and other experts all over the world to conduct evaluations of biofuel operations. SCS evaluation teams collect and analyze written materials, conduct interviews with Participating Operator’s staff and key stakeholders, and complete field and office audits of the operation(s) identified in the certification scope. Upon completion of the fact-finding phase of all evaluations, SCS teams determine compliance to the RSB Principles and Criteria.

Please Note: An RSB certificate itself does not constitute evidence that a particular product supplied by the certificate holder is certified to RSB standards. Products offered, shipped or sold by the certificate holder can only be considered covered by the scope of this certificate when the required RSBclaim is clearly stated on-product. For more information about the RSB, visit their website at www.rsb.org.

Organization of the Report

This report of the results of our evaluation is divided into two sections. Section A provides the public summary and background information that is required by the Roundtable on Sustainable Biomaterials. This section is made available to the general public and is intended to provide an overview of the evaluation process, the management programs, and policies applied to the Participating Operator, and the results of the evaluation. Section A will be posted on the RSB Participating Operators Database (<http://rsb.org/certification/participating-operators/>). Section B contains more detailed results and information for use by the Participating Operator.

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SECTION A – PUBLIC SUMMARY

1.0 GENERAL INFORMATION

1.1 Operator Information

1.1.1 Name and Contact Information

Organization name	Greatview Aseptic Packaging Manufacturing GmbH		
Operator Number	2150		
Contact person	Tim Eisele		
Address	Orionstraße 8, D-06184 Kabelsketal (Halle/Saale), Germany	Telephone	
		Fax	
		e-mail	tim.eisele@greatviewpack.com
		Website	https://greatviewpack.com/

1.2 Scope of Certificate

Please select one:	<input type="checkbox"/> RSB EU RED	<input checked="" type="checkbox"/> RSB Global
Please select boxes that apply:	<input type="checkbox"/> Pre-assessment <input checked="" type="checkbox"/> Initial Assessment <input type="checkbox"/> Re-certification <input type="checkbox"/> Follow-Up to NCs	<input type="checkbox"/> 1st Annual Surveillance <input type="checkbox"/> 2nd Annual Surveillance <input type="checkbox"/> 3rd Annual Surveillance <input type="checkbox"/> 4th Annual Surveillance
Scope as it appears on certificate:	RSB input: bio-attributed LDPE RSB output: Aseptic packaging for liquid food with bio-attributed LDPE	
The scope assessment agrees with the scope under which the operator applied	<input checked="" type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If no, please explain:		
<p><i>Note 1: If the scope is different, please contact SCS.</i></p> <p><i>Note 2: Where the client uses external organizations (public or private) to provide utilities services, such as electricity, waste disposal, water, the auditor shall check that these organizations are run according to local requirements (i.e. the law) but these organizations will not be considered in scope of the audit. Therefore no on-site visits to these utility services are required.</i></p>		
Total workers covered by scope of certification:	225	
Number of women workers	52	

1.2.1 Determination of Extent of Audit

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures	Two companies in scope: -Greatview Aseptic Packaging Manufacturing GmbH (Mechanical Processor) is the certificate holder and is the handler of the physical material. -Greatview Aseptic Packaging Europe GmbH (Trader) is the legal owner of incoming and outgoing RSB certified material.
Participating Operator Risk Class	Low
Disputes or prior Non-compliances	none
Changes in scope since last evaluation	none
Total number of compliance claims	none

1.2.2 Standards Used

Applicable RSB-Accredited Standards

Standard Name and Version
• RSB Chain of Custody (RSB-PRO-20-001 V3.2);
• RSB Standard for Participating Operators (RSB-PRO-30-001 V3.2);
• RSB Risk Management (RSB-PRO-60-001 V3.2);
• RSB Procedure on Communication and Claims (RSB-PRO-50-001 V3.4);
• RSB Standard for Advanced Products RSB-STD-02-001 V 2.0)

All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials (<https://rsb.org/the-rsb-standard/working-with-the-rsb-standard/>). Standards are also available, upon request, from SCS Global Services.

1.3 Sites in Scope

1.3.1 Industrial Facility (Mechanical Processor)

Name of Facility	
Greatview Aseptic Packaging Manufacturing GmbH	
Type	<input type="checkbox"/> Agriculture Milling and/or Fermentation <input type="checkbox"/> Vegetable oil Extraction <input type="checkbox"/> Biofuel Production and/or Distribution <input checked="" type="checkbox"/> Other, please explain here: Mechanical processor (advanced products)
Location/City	Germany/Kabelsketal
Geographic location (<i>Latitude & Longitude</i>)	51°59'04.6"N 12°04'25.4"E

Start date of operations (initial start date)	June2012
Number of processing steps	Production of aseptic packaging for liquid food using RSB bio-attributed LDPE as one of its raw material. No chemical transformation.
Description of the product or the product component that the certification covers, including, if applicable, the specification of the mass of the certified component related to the total product.	The aseptic package to be certified has the reference 1000 Slim PLH BA (with the specification numbers SP147, SP149 and SP151). It will be made using RSB bio-attributed LDPE, which will substitute 25% of the total mass of fossil-based chemicals. These chemicals represent 22% of total package mass.
Annual throughput of previous 12 months <i>(Can be moved to appendix if certain information is confidential)</i>	
Feedstock Input (Metric Ton)	N/A; first audit
Final/Primary Product Output (Metric Ton)	N/A; first audit
Intermediate/by-product Output (Metric Ton)	N/A; first audit
% output yield compared to input material (total output/total input)	N/A; first audit
Amount sold as RSB certified (tons)	N/A; first audit

1.3.2 Traders

1. Name	Greatview Aseptic Packaging Europe GmbH
Location/City	Bankstrasse 4 · 8400 Winterthur, Switzerland
Geographic location (<i>Latitude & Longitude</i>)	47°50'02.6"N 8°72'58.3"E
Activity:	Purchase of raw material and sales of finish products, associated documentation and payments. Note: this site is the legal owner of the material.

1.4 GHG Intensity

Client has opted out of GHG calculation per 20-09-18-PG-2020-05 Mechanical Operator GHG Exemption.

1.5 Advanced Product Information

If the feedstock for a batch of RSB certified Advanced Product is not wholly but only partly RSB-certified: state the amount of certified feedstock in relation to the total mass of the feedstock for the appropriate category:	N/A; as all certified material purchased is RSB
For Category III products:	

State the amount of primary fossil resources saved by the input of eligible feedstock in the production system	25%
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2.0 EVALUATION PLANNING & PROCESS

2.1 Audit Team

Auditor Name:	Maite Lasa	Auditor role:	Lead Auditor
Qualifications: Maite is a certified Auditor against sustainability schemes including RSB, ISCC, Bonsucro, ISO 14001. Previously she has worked as a sustainability consultant in renewable energy and in climate change mitigation, and in the biofuels sector particularly in the production of energy crops for biodiesel production. She has received a Master in Public Administration focused in energy and environment at the University of Columbia (New York, USA).			

2.2 Evaluation Schedule and Extent of Audit

2.2.1 RSB Audit types Matrix

	Low risk class	Medium risk class	High risk class
Certificate validity	5 years	3 years	2 year
Main audit	Every 5 years	Every 3 years	Every 2 year
Surveillance audit	Annual	Annual	Annual

2.2.2 Methodology and Strategies Employed

SCS deploys interdisciplinary teams with expertise in agriculture, ecology, forestry, social sciences, natural resource economics, and other relevant fields to assess an Operator’s compliance to RSB standards and policies. Evaluation methods include document and record review, implementing sampling strategies to visit a broad number of site and facility types, observation of implementation of management plans and policies, and stakeholder analysis. When there is more than one team member, team members may review parts of the standards based on their background and expertise. On the final day of an evaluation, team members convene to deliberate the findings of the assessment jointly. This involves an analysis of all relevant site observations, stakeholder comments, and reviewed documents and records. Where consensus between team members cannot be achieved due to lack of evidence, conflicting evidence or differences of interpretation of the standards, the team is instructed to report these in the certification decision section.

Due to the global pandemic the audit carried out remotely via zoom, using the share-screening tool to review part of the documentation not shared by the client.

2.2.3 Evaluation Itinerary and Activities

Time	Element/Activity	Personnel Involved
14/09/2020	REMOTE AUDIT	
9:00	<p>Opening Meeting</p> <ul style="list-style-type: none"> - Introduction to certification programme and assessment process - Clarification of the involved legal entities (a) - Review of scheduled activities - Review of RSB Procedures; confirm roles, responsibilities and processes. - Validation of scope of products to be certified and any product groupings and clarification of all suppliers. - Confirmation of the supply chain or step under scope of certification <p><i>Please have ready the following documents:</i></p> <p><i>Chamber of Commerce to confirm legal entity (this is for letter a)</i></p> <p><i>Environmental Permits (including plant capacity)</i></p> <p><i>Organigramme</i></p> <p><i>Appointment letters for the keystaff responsible for compliance to RSB requirements for receiving, handling and forwarding products under RSB certificate</i></p>	Management
10:00	<p>Boundaries of the system and remote site walk-through</p> <ul style="list-style-type: none"> - Flowchart of the process with clear identification of the certification boundaries - Check of crucial points for management of feedstock supply, waste and residues, chemicals & any other pollutant. <p><i>Please propose a way to carry out a remote site walk-through (eg. Whatsapp video call supported by photos/presentation/videos)</i></p>	Management
11:30	<p>Detailed review of the operations: mass balance and traceability</p> <ul style="list-style-type: none"> - Analysis of material balances and records (daily, monthly and yearly data) - Other critical control points for yield control of main product - Identification of weighbridges and/or in-line flowmeter, and link to SAP or similar, for yield control purposes. 	<p>Management/Production Manager/SAP Manager</p> <p>(Logistic personnel only if data are not available in SAP)</p>
12:30	Lunch Break	
13:30	Document Review	Management

	<ul style="list-style-type: none"> - Evidence supporting compliance with all RSB requirements (as per the checklist and the reference standards specified in "audit details" section) - Participating Operator and all eventual involved entities - Review site map(s) - Resource and energy usage, conservation and Efficiency - Self-evaluation records, including appointed person to carry it out - Training for RSB system - Risk management for RSB system implementation - Claims and communication strategy (templates and procedures) 	
16:30	Final review of evidence and report writing	Management
17:00	Closing Meeting <ul style="list-style-type: none"> - Presentation of General audit finding - Presentation of all non-compliances and opportunities for improvement - Fix timetables for corrective actions - Reiterate SCS appeal policy - Ask for questions 	Management
18:00	End audit	

2.3 Documentation Submitted by Operator

VerfahrensanweisungRSB-Handbuch	Self-risk tool assessment
RSB scope definition	HACCP system (risk management)
RSB training attendance records	Verfahrensanweisung Internal RSB - Trademark and Claims approval procedure
Email exchange with RSB on claims/logo/comms	Design approval records
Self-evaluation (RSB checklist)	RSB-claims
RSB training material	Managementhandbuch "Qualität- Hygiene- Umwelt- Energie"
ArbeitsanweisungWarenannahme Silo (incoming goods procedure for silo storage)	FormblattWarenannahme - RSB certified PE (Incoming goods template)

2.4 Evaluation of Management System

2.4.1 Capacity of the participating operator to implement its management systems

Note: include an overall evaluation of the participating operator's responsiveness and ability to consistently and effectively implement its management system based on the financial, technical, and human resources available.

The operator belongs to a group with operations in China and Mongolia since 2003. In 2012, operations began at the mechanical operator site to compete in the aseptic packaging for liquid foods market. Capacity was doubled in 2017. The operator has described a management system that includes integrated MES and Oracle (ERP system). The operations include descriptions for aspects relevant to RSB (leadership team, risk management, training, record keeping, grievances, among others). The operator is ISO certified 9001:2015, 14001:2015 and 50001:2018, and also is certified against FSC and BRC.

Due to the online character of the audit, it is recommended that this is taken into account when observing on-site the management system since such observation was limited via share screen or camera.

2.4.2 Evaluation of RSB compliance claims and use of RSB trademarks

The RSB short claim, as defined in RSB-PRO-50-001	RSB claims approved by RSB
Any other claims used as per RSB-PRO-50-001	RSB claims approved by RSB
Does Operator use RSB trademarks on off-product or on-product claims?	Yes, RSB claims approved by RSB

3.0 RISK ASSESSMENT RESULTS

Site	Based on the most recent self-risk assessment the PO's risk assessment results are (The number):	Corresponding risk class (low, medium, high):	Date of risk assessment (must be no older than 3 months from the audit date)	Auditor's assessment of Operator's risk
Greatview Aseptic Packaging Manufacturing GmbH	8	low	7/29/20	Low (revised from 8 to 5)
Greatview Aseptic Packaging Europe GmbH	5	low	11/6/20	5 (Low)
Risk for Operator				5 (Low)

If risk assessment deviates:

Risk Assessment #	Risk Assessment Topic	PO's assessment	Auditor's assessment and explanation
C1	Supply Chain	The PO indicated that they have suppliers under the scope	During the audit it was clarified that there are no suppliers in scope.

4.0 RESULTS OF THE EVALUATION

4.1 Process of Determining Compliance

4.1.1 Structure of Standard and Degrees of Non-Compliance

RSB-accredited biofuel standards consist of a three-level hierarchy: the principle, the criteria that correspond to that principle, and then the performance indicators that elaborate upon each criterion. Consistent with SCS Sustainable Biofuels Program evaluation protocols, the team collectively determines whether or not the subject operation is in compliance with every applicable indicator of the relevant sustainable biofuel standard. Each non-compliance must be evaluated to determine whether it constitutes a major or minor non-compliance at the level of the associated criterion or sub-criterion. Not all indicators are equally important, and there is no simple numerical formula to determine whether an operation is in non-compliance. The team therefore must use their collective judgment to assess each criterion and determine if the Operator is in compliance. If the Operator is determined to be in non-compliance at the criterion level, then at least one of the applicable indicators must be in major non-compliance.

4.1.2 Interpretations of Findings

Major Non-compliances, either alone or in combination with non-compliances of other applicable indicators, result (or are likely to result) in a fundamental failure to achieve the objectives of the relevant RSB Criterion. These non-compliances must be resolved or closed out before a certificate can be awarded. If Major NCs arise after an operation is certified, the timeframe for correcting these non-compliances is typically no more than three months. Certification is contingent on the operator's response to the NCs within the stipulated time frame.

Minor Non-compliances are typically limited in scale or can be characterized as an unusual lapse in the system. Most minor NCs are the result of a non-conformance at the indicator-level. Non-compliances must be closed out within a specified time period of award of the certificate.

Opportunity for Improvement is an observation made which does not fully impact compliance but could potentially affect the PO's ability to comply with RSB requirements in the future.

4.1.3 Major Non-compliances

<input type="checkbox"/>	No major NCs were issued to the Operator during the evaluation. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input checked="" type="checkbox"/>	Major NCs were issued to the Operator during the evaluation, which have all been closed to the satisfaction of the audit team and meet the requirements of the standards. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation and the Operator has not yet satisfactorily closed all major NCs.

4.1.4 Non-compliances (NCs) and Current Status

Summary of Non-compliances and Current Status				
NC Number	Type of NC	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
2020-1	minor	4.1 Standards checklist	<p>The company has a grievance mechanism aligned with RSB procedures. However, the link between this procedure and the RSB team to whom any complains should be addressed is not done.</p> <p>Update: Action plan/ RCA received</p>	Open Due at next audit
2020-2	Major	8.3.5 Standards Checklist	<p>The conversion factor is not correctly calculated and applied to the mass balance system</p> <p>Update: Action plan/ RCA received</p> <p>Evidence reviewed: Client updated the information about the conversion factor in chapter 4.3.5 in the RSB handbook</p> <p>PO clarified that the conversion factor is factored into the mass balance, however, the calculation itself is not done within the spreadsheet, but is built into the actuals that will be the inputs.</p> <p>PE usage is less than PE input showing that wastage (conversion) factor is included.</p>	Closed

2020-3	Major	8.3.9 Standards Checklist	<p>The operator has not clearly stated how they will control to ensure that a balance is achieved over maximum three months.</p> <p>Update: Action plan/ RCA received</p> <p>Evidence received: PO demonstrated how three-month mass balance is taken into account in the "RSB - PE Kalulation"</p>	Closed
2020-4	Major, downgraded to an observation in technical review.	11.7.1, 14.12.1-4 Standards checklist	<p>The product is composed of three fossil based chemicals which have not been normalized to express the total fossil feedstock demand in one common unit. The fossil demand of each chemical is not clear at the time of the audit.</p> <p>Update: Action plan/ RCA received</p> <p>Following technical review: This NC is graded as an observation as the issue cannot be corrected by Greatview and must be addressed at the level of the certified supplier.</p>	Open Due at next audit
2020-5	Minor	5.1 Standards checklist	<p>The IT systems operated along with the certifications in place indicate developed and robust infrastructure seems to incorporate the RSB tracking system. The operator plans to generate unique codes for RSB but will not be able to generate them until they receive RSB material, for which they need the certificate. The auditor could therefore not yet verify complete traceability of the RSB incoming and outgoing material.</p> <p>Update: Action plan/ RCA received</p>	Open Due at next audit

5.0 CERTIFICATION DECISION

Certification Recommendation		
For Initial and Re-certifications: Operator to be awarded RSB certification subject to the minor non-compliances stated in Section 4.2.5.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
For Surveillance Audits: Operator is to continue as an RSB certified Participating Operator subject to the minor non-compliances stated in Section 4.2.5.	Yes <input type="checkbox"/> No <input type="checkbox"/>	
The SCS evaluation team makes the above recommendation for certification based on the full and proper execution of the SCS Responsible Biofuels Program evaluation protocols. If certification is recommended, the Operator has satisfactorily demonstrated the following without exception:		
Operator has addressed any Major NC(s) assigned during the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No Major NCs issued <input type="checkbox"/>	
Operator has demonstrated that their system of management is capable of ensuring that all of the requirements of the applicable standards are met over the sites and facilities covered by the scope of the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that the described system of management is being implemented consistently over the sites and facilities covered by the scope of the certificate.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Comments and/or details of any issue which was difficult and/or impossible to evaluate:		
To be completed by Certification Decision-Making Entity	Technical Review by: If different to decision-maker	Inna Kitaychik
	Certification decision:	Participating Operator is granted certification against the RSB standards listed in Section 1.2.2
	Certification decision by:	Inna Kitaychik
	Date of decision: For initial or continued certification	24 November 2020
	Surveillance schedule:	1 st Surveillance audit by 23 November 2021 Notes:

Sub Certificate Codes (if applicable)

Legal Entity/Operational Site	Sub-Certificate Code