

# Surveillance Evaluation Report

*Roundtable on Sustainable Biomaterials*

*PT Tasma Bioenergy Indonesia*

**SCS Certificate Code: SCS-RSB/PC-0031**

Surabaya, Indonesia

Rangga Desapta

<https://tasma-bioenergy.com>

CERTIFIED	EXPIRATION
January 22, 2019	January 21, 2024

DATE(S) OF AUDIT
January 21 - 23, 2020
DATE(S) OF SCOPE AUDIT
June 11-12, 2020
DATE OF LAST UPDATE
December 28, 2020

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## FOREWORD

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SCS Global Services (SCS) is a certification body accredited by the Roundtable on Sustainable Biomaterials (RSB) to conduct evaluations of biomaterials operators (CB Registration No. 592). Under the RSB/SCS certification system, participating operators meeting international standards of biomaterial production can be certified as “sustainable,” thereby permitting the Operator’s use of the RSB endorsement and logo in the marketplace subject to regular RSB/SCS oversight.

SCS deploys interdisciplinary teams of natural resource specialists and other experts all over the world to conduct evaluations of biomaterial operations. SCS evaluation teams collect and analyze written materials, conduct interviews with Participating Operator’s staff and key stakeholders, and complete field and office audits of the operation(s) identified in the certification scope. Upon completion of the fact-finding phase of all evaluations, SCS teams determine compliance to the RSB Principles and Criteria.

Please Note: An RSB certificate itself does not constitute evidence that a particular product supplied by the certificate holder is certified to RSB standards. Products offered, shipped or sold by the certificate holder can only be considered covered by the scope of this certificate when the required RSB claim is clearly stated on-product. For more information about the RSB, visit their website at [www.rsb.org](http://www.rsb.org).

### **Organization of the Report**

This report of the results of our evaluation is divided into two sections. Section A provides the public summary and background information that is required by the Roundtable on Sustainable Biomaterials. This section is made available to the general public and is intended to provide an overview of the evaluation process, the management programs, and policies applied to the Participating Operator, and the results of the evaluation. Section A will be posted on the RSB Participating Operators Database (<http://rsb.org/certification/participating-operators/>). Section B contains more detailed results and information for use by the Participating Operator.

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## SECTION A – PUBLIC SUMMARY

### 1.0 GENERAL INFORMATION

#### 1.1 Operator Information

##### 1.1.1 Name and Contact Information

Organization name	PT Tasma Bioenergy Indonesia		
Operator Number	2104		
Contact person	Rangga Desapta		
Address	Office: 9Blv Office Tower, 27th Floor Unit 27A, Jl Mayjend Yono Suwoyo No 264, Pradah Kalikendal, Dukuh Pakis Surabaya 60225, East Java, Indonesia  PT Tasma Bioenergy Biomass Boiler Facility and Warehouse (of rice husks): Jl Mojosari Km 50, Turi, Pesanggrahan, Kutorejo, Mojokerto, Jawa Timur 61383 <i>N 7.57686111; E 112.53611111</i>	Telephone	+62 31 51163090; +62 81 12106119
		Fax	none
		e-mail	Rangga.desapta@tasma-bioenergy.com
		Website	https://tasma-bioenergy.com

##### 1.1.2 Additional Parties Involved

2. Organization name	PT. Bintang Surya Gemilang (BSG)
Nature of Involvement:	Labor Agency

#### 1.2 Scope of Certificate

Please select one:	<input type="checkbox"/> RSB EU RED	<input checked="" type="checkbox"/> RSB Global
Please select boxes that apply:	<input type="checkbox"/> Pre-assessment <input type="checkbox"/> Initial Assessment <input type="checkbox"/> Re-certification <input type="checkbox"/> Follow-Up to NCs <input checked="" type="checkbox"/> Scope Expansion	<input checked="" type="checkbox"/> 1st Annual Surveillance <input type="checkbox"/> 2nd Annual Surveillance <input type="checkbox"/> 3rd Annual Surveillance <input type="checkbox"/> 4th Annual Surveillance
Scope (as should appear/appears) on certificate:	Production of steam from waste materials	
The scope assessment agrees with the scope under which the operator applied	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
If no, please explain:		

<p><i>Note 1: If the scope is different, please contact SCS.</i></p> <p><i>Note 2: Where the client uses external organizations (public or private) to provide utilities services, such as electricity, waste disposal, water, the auditor shall check that these organizations are run according to local requirements (i.e. the law) but these organizations will not be considered in scope of the audit. Therefore no on-site visits to these utility services are required.</i></p>	
<b>Total workers covered by scope of certification:</b>	53
<b>Number of women workers</b>	3

### 1.2.1 Industrial Facilities

INDUSTRIAL FACILITIES	
<b>Name of Facility</b>	PT Tasma Bioenergy Indonesia
<b>Type</b>	<input type="checkbox"/> Agriculture Milling and/or Fermentation <input type="checkbox"/> Vegetable oil Extraction <input type="checkbox"/> Biofuel Production and/or Distribution <input checked="" type="checkbox"/> Other, please explain here: Steam production
<b>Location/City</b>	Jl MOJOSARI Km 50, Turi, Pesanggrahan, Kutorejo, Mojokerto, Jawa Timur 61383
<b>Geographic location (Latitude &amp; Longitude)</b>	N 7.57686111; E 112.53611111
<b>Start date of operations (initial start date)</b>	June 1, 2018
<b>Number of processing steps</b>	4
<b>Description of the product or the product component that the certification covers, including, if applicable, the specification of the mass of the certified component related to the total product.</b>	Rice Husk (Biomass) is made into steam (delivered to MBI)

### 1.2.2 Points of Origin

<b>Number of Points of Origin in Scope</b>	5		
<b>Number of Points of Origin providing more than ten metric tons per months</b>	5		
<b>Number of Points of Origin Assessed on a Sample Basis during This Audit</b>	3		
<b>List of Points of Origin in Scope</b>			
<b>1. Organization name</b>	Wilmar Rice Mill		
<b>Nature of Involvement:</b>	Rice Husk supplier – waste from the rice mill		
<b>Contact person</b>	Mr. Yanto		
<b>Address</b>	Jl Mojosari Kejapanan Km 7, Desa Jasem, Ngoro, Jasem, Mojokerto, Jawa Timur 61385	<b>Telephone</b>	+82 821 1383 3487
		<b>Fax</b>	
		<b>e-mail</b>	Derita.yanto@id.wilmar-intl.com
		<b>Website</b>	

2. Organization name				PT. Bintang Anugrah Kita (BAK)			
Nature of Involvement:				Labor Agency & Rice Husk supplier – waste from the rice mill			
Contact person				Khoirul/Chandra			
Address		Jl. Raya Mojjosari, Pacet Km 5.6, Sampangagung. Kec. Kutorejo, Mojokerto 61383		Telephone		+62 813-3024-9685	
				Fax		na	
				e-mail		na	
				Website		na	
3. Organization name				CV. Haza			
Nature of Involvement:				Rice Husk supplier – waste from farmer’s cooperative and rice mills			
Contact person				Mr. Yansri			
Address		Pandan Wangi II Kedungmundu, Tembalang		Telephone		+62 878-3293-3741/ +62 24 7672 5566	
				Fax		NA	
				e-mail		NA	
				Website		NA	
4. Organization name				Mr. Aan			
Nature of Involvement:				Individual Pooler Rice Husk supplier – waste from farmer’s cooperative and rice mills			
Contact person				Mr. Aan			
Address		Dusun Wonorejo, Simbaringin, Kutorejo, Mojokerto		Telephone		+62 812-3002-1636	
				Fax		NA	
				e-mail		NA	
				Website		NA	
5. Organization name				Mr. Mualim			
Nature of Involvement:				Individual Pooler Rice Husk supplier – waste from farmer’s cooperative and rice mills			
Contact person				Mr. Mualim			
Address		Karang Diyeng, Kutorejo		Telephone		+62 811-2106-119/ +62 815 5443 5003	

		Fax	NA
		e-mail	NA
		Website	NA

### 1.3 GHG Intensity

Primary Producers			
Raw Material:	Rice Husk	GHG:	135.50 t CO2e
Final Products			
Please state the GHG emissions occurring at the operator's sites in g CO2eq/ dry-ton for raw materials and intermediary products and g CO2eq/MJ for final biofuels (annualized, after allocation) and if applicable, achieved emission savings, and if applicable, explanation for deviation from typical GHG values			
Final Product:	Steam	GHG:	281.41 t CO2e

### 1.4 Standards Used

#### Applicable RSB-Accredited Standards

Title	Version
RSB Principles & Criteria	RSB-STD-01-001 V3.0
RSB Std. for Traceability (Chain of Custody)	RSB-STD-20-001 V3.1
RSB Standard for Participating Operators	RSB-STD-30-001 V3.1
RSB Risk Management	RSB-STD-60-001 V3.1
RSB Procedure on Communication and Claims	RSB-PRO-50-001 V3.2
RSB Std. for Advanced Fuels (Waste and Residues)	RSB-STD-01-010 V2.0
All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials ( <a href="http://rsb.org/sustainability/rsb-sustainability-standards/">http://rsb.org/sustainability/rsb-sustainability-standards/</a> ). Standards are also available, upon request, from SCS Global Services ( <a href="http://www.scsglobalservices.com/">http://www.scsglobalservices.com/</a> ).	

## 2.0 EVALUATION PLANNING & PROCESS

### 2.1 Documentation Submitted by Operator

ESMP Report	HSE Data
ESMS Data	E & S Report
Dry Ash System, Ash Utilization & Ash Audit Report	Third Party Purchase Order (Contract)
Standard Operating Procedure	GHG Report
Workers Payslip	E-mail from RSB re Production report
Pest Control	Safety Induction from Wilmar/Workers training
Self-internal Audit	Self-Risk Assessment



## 2.2 Audit Type and Determination

The following table summarizes the audit types for RSB Audits:

	Low risk class	Medium risk class	High risk class
Certificate validity	5 years	3 years	2 year
Main audit	Every 5 years	Every 3 years	Every 2 year
Surveillance audit	Annual	Annual	Annual

## 2.3 Audit Team

### 2.3.1 Determination of Audit Team

- Desk audits shall always be conducted by at minimum one (1) international lead auditor. The lead auditor appointed may include additional auditors and/or technical experts in the audit team if this is required by the extent of the audit.
- Field audits shall always be led by one (1) international lead auditor.
- The lead auditor appointed shall include at minimum one (1) local auditor in the audit team. The lead auditor appointed may include additional auditors and/or technical experts in the audit team if this is required by the extent of the audit.
- For field audits of participating operators in High Risk class shall always the lead auditor appointed shall appoint at minimum
  - one (1) local auditor and
  - one (1) technical expert on social issues to evaluate compliance with social requirements and the risk of non-compliance due to social issues and
  - one (1) technical expert on environmental issues to evaluate compliance with environmental requirements and the risk of non-compliance due to environmental issues.
  - The lead auditor appointed may include additional auditors and/or technical experts in the audit team if this is required by the extent of the audit.

### 2.3.2 Audit Team

<b>Auditor Name:</b>	Annabelle Silla-Calicdan	<b>Auditor role:</b>	Lead Auditor
<b>Qualifications:</b> Nine years of international work experience as lead auditor in Rainforest Alliance Sustainable Agriculture Standard, Fair Trade USA, Global Gap, Organic Certifications, ULSAC, CAFÉ Practices and other certification schemes in South East Asia (Philippines, Malaysia, Indonesia, Thailand, Vietnam, China, India, Laos and Japan) and Oceania (Papua New Guinea and Australia). Conducted RSB Audits in the Philippines as a local and lead auditor for three years. Attended RSB training in SCS Headquarters in the USA last June 2017. Conducted RSB Audit in Indonesia.			
<b>Auditor Name:</b>	Justin Richter	<b>Auditor role:</b>	GHG Verifier
<b>Qualifications:</b> Dr. Richter is a Life Cycle Analysis practitioner and Supply Chain researcher in the areas of biofuels, renewable energy, advanced products and social impacts. He holds GHG certification from			

ISCC. Dr. Richter has received a Ph.D. in Environmental and Ecological Engineering from Purdue University (West Lafayette, IN, USA)

## 2.4 Evaluation Schedule and Extent of Audit

### 2.4.1 Determination of Extent of Audit

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures	1 main office 1 facility, warehouse, receiving site (Heineken (MBI)) 5 rice husk points of origin 2 labor agencies (BAK, BSG)
Participating Operator Risk Class	4 (Low Risk)
Disputes or prior Non-compliances	none
Changes in scope since last evaluation	In 2019 – Rice Husk were sourced from 8 different rice mills. In 2020 – the Supply of rice husk comes only from Wilmar only. Four Poolers were added as point of origin. <b>During the scope expansion – there are five (5) total point of origins who already supplied rice husks since 2019.</b>
Total number of compliance claims	None

### 2.4.2 Evaluation Itinerary and Activities

#### Surveillance Audit

<b>Date: January 21, 2020</b>	
<b>Operation(s)/ sites visited</b>	<b>Activities/ notes</b>
PT Tasma	Opening Meeting Desk audit/phone call
<b>Date: January 22, 2020</b>	
<b>Operation(s)/ sites visited</b>	<b>Activities/ notes</b>
PT Tasma	Desk audit/phone call
	Interview with the BAK workers (Security guard and Rice Husk transporter and Coordinator)
	Interview with BSG workers (Ash Handler)
	Interview with the PT Tasma Management staff
<b>Date: January 23, 2020</b>	
<b>Operation(s)/ sites visited</b>	<b>Activities/ notes</b>
MBI & PT Tasma	Desk audit/phone call
	Interview with the MBI (Heineken) Health and Safety Manager

	Interview with the PT Tasma Management staff
	Closing Meeting

### Scope Expansion

Date: June 11, 2020	
Operation(s)/ sites visited	Activities/ notes
PT Tasma	Opening Meeting Desk audit/phone call
Participating Operator - Aan	Interview with the Aan using Whatsapp
Participating Operator – CV. Haza	Interview with the Aan using Whatsapp
PT Tasma	Documents review/e-mail PO to request additional Evidences
Date: June 12, 2020	
Operation(s)/ sites visited	Activities/ notes
PT Tasma	Documents review/phone call for clarification
	Closing Meeting

## 2.5 Evaluation of Management System

### 2.5.1 Methodology and Strategies Employed

SCS deploys interdisciplinary teams with expertise in agriculture, ecology, forestry, social sciences, natural resource economics, and other relevant fields to assess an Operator’s compliance to RSB standards and policies. Evaluation methods include document and record review, implementing sampling strategies to visit a broad number of site and facility types, observation of implementation of management plans and policies, and stakeholder analysis. When there is more than one team member, team members may review parts of the standards based on their background and expertise. On the final day of an evaluation, team members convene to deliberate the findings of the assessment jointly. This involves an analysis of all relevant site observations, stakeholder comments, and reviewed documents and records. Where consensus between team members cannot be achieved due to lack of evidence, conflicting evidence or differences of interpretation of the standards, the team is instructed to report these in the certification decision section.

Participating Operator constructed and operates the Boiler inside of their client’s facility. Participating operator owns the boiler facility and supply steam to the client. Surveillance Audit was conducted for 3 days that includes interview with the workers, contractor/transport of rice husk and MBI (Heineken) for the waste water treatment. Health and safety manager confirmed that waste water produced by the PO goes to their waste water treatment facility, but a water analysis has to be complied by the PO prior to pumping out of the waste water into MBI treatment facility. Interview was conducted to the workers both direct hired and workers supplied by the service provider. Wage records and regulatory compliance

such as the pay slip and Health and safety induction were reviewed. Interview was also conducted to the management team to confirm implementation and compliance to RSB requirements.

**2.5.2 Capacity of the participating operator to implement its management systems**

Confidential; in Appendix 2 of main report (the details of the observations and substantiating evidence collected during evaluation of the central management office and management systems of the participating operator)

**2.5.3 Evaluation of RSB compliance claims and use of RSB trademarks**

The RSB short claim, as defined in RSB-PRO-50-001	N/A; no product
Any other claims used as per RSB-PRO-50-001	None
Does Operator use RSB trademarks on off-product or on-product claims?	None

**3.0 RISK ASSESSMENT RESULTS**

Based on the most recent self-risk assessment the PO’s risk assessment results are (The number):	Corresponding risk class (low, medium, high):	Date of risk assessment (must be no older than 3 months from the audit date)	Auditor’s assessment of Operator’s risk
4	Low	6/29/20	Do not agree

If risk assessment deviates:

Risk Assessment #	Risk Assessment Topic	PO’s assessment	Auditor’s assessment and explanation
B.1	Direct suppliers located in a country with an IHDI lower than .59	Yes, but mitigation program has been implemented to address risk factor	PO has not fully implemented programs to address the risk, and therefore the answer should just have been “Yes” bringing their risk scope to 6 (Low Risk)

**4.0 RESULTS OF THE EVALUATION**

**4.1 Process of Determining Compliance**

**4.1.1 Structure of Standard and Degrees of Non-Compliance**

RSB-accredited biomaterials standards consist of a three-level hierarchy: the principle, the criteria that correspond to that principle, and then the performance indicators that elaborate upon each criterion.

Consistent with SCS Sustainable Biofuels Program evaluation protocols, the team collectively determines whether or not the subject operation is in compliance with every applicable indicator of the relevant sustainable biomaterial standard. Each non-compliance must be evaluated to determine whether it constitutes a major or minor non-compliance at the level of the associated criterion or sub-criterion. Not all indicators are equally important, and there is no simple numerical formula to determine whether an operation is in non-compliance. The team therefore must use their collective judgment to assess each criterion and determine if the Operator is in compliance. If the Operator is determined to be in non-compliance at the criterion level, then at least one of the applicable indicators must be in major non-compliance.

**4.1.2 Interpretations of Findings**

*Major Non-compliances*, either alone or in combination with non-compliances of other applicable indicators, result (or are likely to result) in a fundamental failure to achieve the objectives of the relevant RSB Criterion. These non-compliances must be resolved or closed out before a certificate can be awarded. If Major NCs arise after an operation is certified, the timeframe for correcting these non-compliances is typically no more than three months. Certification is contingent on the operator’s response to the NCs within the stipulated time frame.

*Minor Non-compliances* are typically limited in scale or can be characterized as an unusual lapse in the system. Most minor NCs are the result of a non-conformance at the indicator-level. Non-compliances must be closed out within a specified time period of award of the certificate.

*Opportunity for Improvement* is an observation made which does not fully impact compliance but could potentially affect the PO’s ability to comply with RSB requirements in the future.

**4.1.3 Major Non-compliances**

<input type="checkbox"/>	No major NCs were issued to the Operator during the evaluation. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input checked="" type="checkbox"/>	Major NCs were issued to the Operator during the evaluation, which have all been closed to the satisfaction of the audit team and meet the requirements of the standards. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation and the Operator has not yet satisfactorily closed all major NCs.

#### 4.1.4 Non-compliances and Current Status

##### Surveillance Audit

NC Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
2018-04	Minor	PO 2.1.1	<p>The management representative has competencies for this role and is aware of the implementation of the standard. However, lapses were observed during the audit because of the lack of understanding on some of the requirements like the self-evaluation and the COC tracking model.</p> <p>Update: Training for Tasma persons in charge for RSB was conducted during their inductions. Compliance to RSB was part of the Sustainability Certification sub-topic. This was presented by Hannu Ikavalku using the RSB standard and certification guides to increase their knowledge on RSB Standard. Management representative confirmed his knowledge on GHG preparation, self-evaluation, screening tool, COC and other aspects such as Health and Safety. He is aware of his responsibility and was informed of the assignments/accomplishments of his other colleagues when it comes to RSB implementation.</p>	Closed
2018-05	Minor	PO 2.1.2	<p>PO had an internal training and copies of the standard but the understanding was mostly from self-studies. There is no formal training attended on RSB, thereby, lapses were observed during the audit because of the lack of understanding of some of the requirements like the conduct of self-evaluation and the COC tracking model.</p> <p>Update: PO conducted a training to all their workers and suppliers. A summary report including attendance was kept.</p>	Closed
2018-09	Minor	P4.F.2	<p>PO and Plywood mill has established Health and safety policies, however, as the Rice mill is in transition (change of management), it is still on the process of developing and implementing its health and safety policies. Induction was, however, conducted to all workers prior to work assignment.</p> <p>Update: Interviewed workers and coordinator of BAK confirmed that Wilmar Rice mill has appointed their safety officer and did the induction to their workers</p>	Closed

NC Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
			including the BAK workers. Plywood mill was already excluded from the scope.	
2018-10	OFI	P4.E.4	<p>Plywood mill just started employing contractual workers as peelers, they were paid based on piecework. There were no system implemented yet in the monitoring of time.</p> <p>Update: Tasma is no longer using plywood waste. This is already out from the scope of audit.</p>	Closed
2018-11	OFI	P4.f.8	<p>BAK Agency workers in Rice mill were provided with mask by the rice mill management but this should be the responsibility of BAK per rice mill management. BAK was not interviewed due to lack of time.</p> <p>Update: Interviewed workers and coordinator of BAK confirmed that they were provided with the PPE such as safety shoes, mask, safety helmet, and reflector vest. Wilmar Rice mill only provide them with the ID and a safety induction.</p>	Closed
2018-13	Minor	P4.g.1	<p>PO maintains two labor agencies. PO has procedures established to ensure human rights and labor rights outlined in this principle is implemented, however, non-conformities was still observed like non-payment of overtime pay and minimum wage.</p> <p>Update: Operational and maintenance workers were directly hired by the PO and under the supervision of the operations manager while the Ash Handling workers were hired through a third-party labor contractor PT. Bintang Surya Gemilang (BSG) and the security guard is by PT. Bintang Anugrah Kita (BAK). Operations Manager ensures compliance of the service providers. Health &amp; Safety (H&amp;S) staff conduct monitoring and onsite inspection of workers. Service providers were issued a purchase order for the services to be rendered monthly. Conditions include a training date for the workers, compliance to health, safety and environment and compliance with laws and codes. Failure to comply may result on removal of the supplier (service provider from site). Payment was made after 30 days to the service provider.</p>	Closed
2020-1	Major	P2.b.8, P4.h.1	2018: Though there is open communication with stakeholders, management documents are not publicly available.	Closed

NC Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
			<p>2020 Update: Management documents was already prepared, however the grievance procedure is not made available to workers.</p> <p>Action Plan submitted</p> <p>April 2020 Update: Training records (images) and attendance list submitted regarding the training. PT Tasma sent evidence that a grievance box has been set up on premises to allow accessibility to staff. Training content has also been submitted.</p>	<p><b>Due April 23, 2020</b></p>
2020-2	Major	<p>P2.c.1.1. (Repeat NC against this indicator; closed last year)</p>	<p>2018:The principal involved in addressing the external issues is Heineken. Tasma will handle and respond to the issue same as the procedure that they have in handling internal issues. However, Heineken's grievance mechanism was not reviewed during the audit.</p> <p>2020: Grievance procedure and forms are established, however, there is no evidence showing that PO has established a mechanism to communicate the grievance procedure or make it easily accessible to directly affected communities. PT Tasma indicated that they defer to Heineken to communicate the grievance mechanism; however Heineken’s grievance procedure was not available for review during the audit.</p> <p>Action Plan submitted</p> <p>April 2020 Update: PT Tasma was unable to close the non-conformity as they were unable to receive the grievance/complaint procedure document from Heineken/MBI due to COVID-19. PT Tasma proposes to conduct a training on the grievance mechanism procedure for Tasma’s external stakeholders i.e. suppliers, vendors and ash beneficiaries. For the community, PT Tasma follows Heineken/MBI management and grievance procedure.</p> <p>RSB granted an extension based on the following factors:</p>	<p><b>Closed.</b> <b>Initial due April 23, 2020</b> <b>Extended due date due to COVID-19: October 23, 2020</b></p>



NC Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
			<p>- Tasma is a low risk PO;                      - Conditions led to the need of extension are beyond the control of the PO and the CB (our guidance on Covid outbreak confirms that it is a case of force majeure);                      - The nature of the major NC does not indicate that compliance with the RSB standard could be compromised during the period and will not result in increased risk associated with the PO operations.</p> <p>October 2020 Update:                      •Tasma held online grievance mechanism socialization to external stakeholders i.e. supplier and vendors in August 2020; presentation material and online attendants presented as evidence                      •Heineken confirmed through email that they have a grievance manual and, in practice, they receive and accept external complaints by phone, email or visit.</p>	
2020-3	Minor	P2.d.1	<p>PO allocates adequate personnel and resources based on the interviews conducted, however, there is no budget submitted for the implementation and continuous monitoring of the RSB Standard.</p> <p>Action Plan submitted</p>	<b>Open</b> <b>Due at next surveillance audit</b>
2020-4 (2018-18)	Major (Updated from Minor as not closed by surveillance audit)	P5.b.1	<p>There is no specific data collected about women.</p> <p>Action Plan submitted</p> <p>August 2020 Update:                      Action plan updated:</p> <ul style="list-style-type: none"> <li>• Data collection of vulnerable households at Sampangagung Village – target date 12 June 2020</li> <li>• Social Baseline (assessment, reporting, management plan) – target date June 30, 2020</li> <li>• Submission to SCS – target date July 3<sup>rd</sup>, 2020</li> <li>• Discussion with SCS – target date July 2020</li> <li>• Internal training, induction, final budgeting, and presentation to</li> </ul>	<b>Closed</b> <b>Due April 23, 2020</b>

NC Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
			<p>management – target date August 2020</p> <ul style="list-style-type: none"> <li>• Pre-implementation of program including procurement, third party/vendor selection, meeting with MBI (if needed), meeting with Village Government Official – target date September 2020</li> <li>• Implementation of program – target date October 2020 to finish</li> </ul> <p>Submitted to SCS: Socio-economics baseline including vulnerable household at Sampangagung Village, with data about women</p> <p>October 2020 Update: PT Tasma indicated that they revised the EIA by adding baseline information on vulnerable household and women in the surrounding community.</p> <p>Data provided is enough to close the non-conformity. Implementation of the rest of the action plan will be checked at next audit.</p>	
2020-5	Minor	PO 1.9	<p>PO conducted a self-evaluation using the PO checklist form which they also quote the findings from last year. However, they have not conducted an evaluation of the rice husk suppliers and service providers.</p> <p>Action Plan submitted</p>	<b>Open</b> <b>Due at next surveillance audit</b>
2020-6	Minor	PO 3.3	<p>Interview with the service providers and workers confirmed following the use of PPE. Operations Manager is monitoring the implementation. It was however, revealed that there is no awareness of risk management procedures to all rice husk suppliers.</p> <p>Action Plan submitted</p>	<b>Open</b> <b>Due at next surveillance audit</b>
2020-7	Observation	P4.e.1	<p>It was also revealed that Security Guard did not receive proper awareness on his salary payment schedule and he believes that his payment is always</p>	Open

NC Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
			delayed. Tasma representative are already aware and commits to look into the issue.	
2020-8	Major	PO Checklist 9.1, 9.3.6, P&C Principle 3	<p>The operator did not correctly calculate GHG emissions or GHG emission savings according to the RSB methodology or any other approved methodology. GHG emissions were calculated based on direct combustion emission factors for natural gas and diesel use rather than life cycle emission factors.</p> <p>Action Plan submitted</p> <p>March Update: GHG calculations were resubmitted with correct life cycle emission factors.</p> <p>GHG inputs verified by auditor.</p>	<b>Closed</b>

**Scope Expansion**

NC Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
2020-9	Minor	clause 1.6 of RSB-STD-30-001 or RSB-STD-11-001-30-001;	Indonesia's IHDI value in 2018 is 0.584 based on UNDP Human Development report 2019. PO indicated in the self-risk assessment that mitigating measures have been implemented to address this specific risk factors. However, implementation of the program is ongoing as indicated in the action plan submitted to SCS based on the result of the surveillance audit. Therefore, indicator is not accurately filled out.	Open Due at next audit

## 5.0 CERTIFICATION DECISION

Certification Recommendation		
<b>For Initial and Re-certifications: Operator to be awarded RSB certification subject to the minor non-compliances stated in Section 4.2.5.</b>	Yes <input type="checkbox"/> No <input type="checkbox"/>	
<b>For Surveillance Audits: Operator is to continue as an RSB certified Participating Operator subject to the minor non-compliances stated in Section 4.2.5.</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
The SCS evaluation team makes the above recommendation for certification based on the full and proper execution of the SCS Responsible Biofuels Program evaluation protocols. If certification is recommended, the Operator has satisfactorily demonstrated the following without exception:		
Operator has addressed any Major NC(s) assigned during the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> No Major NCs issued <input type="checkbox"/>	
Operator has demonstrated that their system of management is capable of ensuring that all of the requirements of the applicable standards are met over the sites and facilities covered by the scope of the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that the described system of management is being implemented consistently over the sites and facilities covered by the scope of the certificate.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Comments and/or details of any issue which was difficult and/or impossible to evaluate:		
<b>To be completed by Certification Decision-Making Entity</b>	<b>Technical Review by:</b>	Inna Kitaychik
	<b>Certification decision:</b>	N/A
	<b>Certification decision by:</b>	Inna Kitaychik
	<b>Date of decision:</b> For initial or continued certification	November 11, 2020  The report was edited after the decision was made for clarity. Changes do not have a material impact on the decision.  Note: Changes were made to the report after the date of decision that were not material to the decision.
	<b>Surveillance schedule:</b>	2 <sup>nd</sup> Surveillance: January 21, 2021  Notes: