

Public Summary - RSB Audit

Roundtable on Sustainable Biomaterials (RSB)



RSB
Roundtable on
Sustainable Biomaterials
www.rsb.org



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RSB Participating Operator Nr.	1486
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Type of certificate:	Multi-site
Date of issue of certificate:	23-12-2016
Certificate expiry date	22-12-2021
Date audit:	22 and 23-1-2018
Report finalized:	03-02-2018
Certificate issued by:	Control Union Certifications
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Lead Auditor: Chris Pen

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1. Methodology

Control Union Certifications (CUC), is an international inspection and certification Audit and certification is carried out in conformity with the procedures as laid down Based on the information provided by the auditor and by the client, the certifier reviews and evaluates all information provided and certifies the products when all conditions of the regulations are fulfilled. The result of the evaluation is documented in this report and the applicable Annexes. Audit work by the auditor and certification by the certifier are clearly separated activities.

2. Report

This report is the result of the findings of a certification evaluation carried out by an independent lead auditor or team of experts representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate. The report is made in accordance with standard RSB-PRO-70-01
 The full report can only be reviewed by authorized Control Union and RSB staff.
 In case of any complaint or appeal with respect to findings and certification decisions taken by CUC, a dispute protocol and form is available on the CUC website:
http://certifications.controlunion.com/publications.aspx?Program_ID=2 and can also be provided on request.

3. Certification scope

Scope:Industrial Operator (biofuel producer) of waste/residue-based biofuels and bio liquids.

The scope assessment is inline which is agreed by the operator Yes

Participating sites

Participating site I	Phillips 66
Address: Participating site II	7th Floor, 200-202 Aldersgate Street London, EC1 4HD United Kingdom
	Humber Refinery

Address:	Eastfield Road South Killingholme, North Lincolnshire DN40 3DW United Kingdom
Description of activities	Purchase of certified UCO Coprocesing to produce biodiesel, biogasoline and biogas

Applicable standards	
Description	Number
RSB EU Market Access Standard	RSB-STD-11-001
RSB EU RED Standard for Participating Operators	RSB-STD-11-001-30-001
RSB EU RED Chain of Custody Standard	RSB-STD-11-001-20-001
RSB EU RED Procedure for Communication & Claims	RSB-PRO-11-001-50-001
RSB EU RED Standard on waste and residues	RSB-STD-11-001-01-010
RSB EU RED Standard for Risk Management	RSB-STD-11-001-60-001

4. Evaluation process

4.1. Audit Team

Chris Pen, RSB Lead Auditor

4.2. Duration and justification audit

Expected duration (in hours):	Justification	16 hours
Real Audit Duration (in hours):		Preparation time: 2 hours Audit at office: 6 hours Audit at refinery: 6 hours Reporting: 2 hours Total: 16 hours

4.3. Description of the evaluation / evaluation

22-1-2018: Opening meeting day 1
Evaluation of documented/company system
23-3-2018: Opening meeting day 2
Evaluation of documented/company system
Reporting
Closing Meeting

4.4 People interviewed

Ted Matthews, Sam Payne, Doog Tayler, Ben Martin, Joseph Dodwel, Bryony Merrall, Max Crawford, Simon Horriben

4.5 Action taken by the organization prior to (re)issue of certificate

P&C's are covered by the Environment & Social Management Plan which has been set up as preparation for the audit. A CoC manual has been set up including all relevant requirements.

5. Risk Analysis & Sampling plan

Risk analysis results

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures

1 office and 1 processing unit

Set-up Sampling plan

Participating Operator Risk Class

Disputes or prior Non-compliances

Changes in scope since last evaluation

Total number of compliance claims

6

Risk assessment result

Results:

Deviations from Operator Risk Assessment Risk Factor

Risk Score CUC: 6

- A. SUPPLY CHAIN
- B. SOCIO-ECONOMIC CONTEXT
- C. ENVIRONMENT
- . LABOR CONDITIONS AND OTHER SOCIAL ISSU
- E. OPERATIONAL/CERTIFICATION HISTORY
- F. LEGAL/JUDICIARY ISSUES

Operations visited

Date: 22-01-2018
 Phillips 66 Limited office
 7th Floor, 200-202 Aldersgate Street London EC1A
 United Kingdom

Date: 23-01-2018
 Humber refinery processing unit
 Eastfield Road South Killingholme North
 Lincolnshire DN40 3DW
 United Kingdom

6. Observations

6.1 non-conformities

Non-compliance: failure to comply with one or more requirements specified in the RSB standards and/or the RSB certification systems

6.1.1 Evaluation of Non-conformities

CUC shall monitor and evaluate all actions taken by the participating operator to address non-compliances finalization of the evaluation report CUC shall evaluate at minimum those aspects of the operation(s) of

CUC shall ensure that recommendations to close major non-compliances, and whether major non-compliances were corrected, rectified or otherwise brought into compliance with the RSB standards and the RSB certification systems, together with the respective certification reports are submitted to peer review and duly decided by its certification decision entity.

6.1.2. Identified non-conformities during present evaluation

Non-compliance (NCs) identified during this evaluation are listed below. In case the organization has Each element (requirement) of the Standard is evaluated by means of a checklist. Please refer to the annexed checklists for details.

6.1.3. Assessment of non-compliance raised during and after previous audit report

Check if section is not applicable, i.e. it concerns a main assessment/initial audit, or no NCs were raised Below an overview is given of the actions taken by the client/certificate holder to correct any NC's

For each NC a description of its current status is given. In the case a minor NC has not been settled within

Category	Minor
	N/A
Description of requirement:	
Description of non-conformity:	
Status:	

6.2 Certification decision

6.2.1. Statement

The Organization has been (re-)assessed by CUC according to the standard(s) described in Section 6 below. In the

The Organization is in conformance with the certification requirements (all major NC's are closed), and (re-
 The Organization is NOT in conformance with the certification requirements (all major NC's are not closed),

x

For all other information please use fvdvelden@controlunion.com and/or the following address:

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