

Certification Evaluation Report

Roundtable on Sustainable Biomaterials

AgroAurora S.A.C and Agrojibito S.A.

SCS Certificate Code-SCS-RSB/PC-0021

Av. Parque Fábrica s/n - Casa Grande – Ascope - La Libertad, Peru
Farley de Leon

CERTIFIED	EXPIRATION
January 1, 2016	December 31, 2018

DATE(S) OF AUDIT
25 October – 27 October, 2017
DATE OF LAST UPDATE
16 April 2018

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FOREWORD

SCS Global Services (SCS) is a certification body accredited by the Roundtable on Sustainable Biomaterials (RSB) to conduct evaluations of biofuel operators. Under the RSB/SCS certification system, participating operators meeting international standards of biofuel production can be certified as “sustainable,” thereby permitting the Operator’s use of the RSB endorsement and logo in the marketplace subject to regular RSB/SCS oversight.

SCS deploys interdisciplinary teams of natural resource specialists and other experts all over the world to conduct evaluations of biofuel operations. SCS evaluation teams collect and analyze written materials, conduct interviews with Participating Operator’s staff and key stakeholders, and complete field and office audits of the operation(s) identified in the certification scope. Upon completion of the fact-finding phase of all evaluations, SCS teams determine compliance to the RSB Principles and Criteria.

Please Note: An RSB certificate itself does not constitute evidence that a particular product supplied by the certificate holder is certified to RSB standards. Products offered, shipped or sold by the certificate holder can only be considered covered by the scope of this certificate when the required RSB claim is clearly stated on-product. For more information about the RSB, visit their website at www.rsb.org.

Organization of the Report

This report of the results of our evaluation is divided into two sections. Section A provides the public summary and background information that is required by the Roundtable on Sustainable Biomaterials. This section is made available to the general public and is intended to provide an overview of the evaluation process, the management programs, and policies applied to the Participating Operator, and the results of the evaluation. Section A will be posted on the RSB Participating Operators Database (<http://rsb.org/certification/participating-operators/>). Section B contains more detailed results and information for use by the Participating Operator.

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SECTION A – PUBLIC SUMMARY

1.0 GENERAL INFORMATION

1.1 Operator Information

1.1.1 Name and Contact Information

Organization name	Agropecuaria Aurora S.A.C. - Agrojibito S.A.		
Operator Number	1478		
Contact person	Farley de Leon		
Address	Main Office:	Telephone	+51 987 126 154
	Av. Parque Fábrica s/n - Casa Grande Ascope - La Libertad Peru Ethanol Plant: Km. 18 carretera Sullana – Paita, Peru	Fax	
		e-mail	fdeleon@coazucar.com.pe
		Website	

1.1.2 Additional Parties Involved **(Can be moved to appendix if certain information is confidential)**

Organization name	ENAPU PERU S.A.C.		
Contact person	Julio Tam Castillo – General Manager		
Address	Calle Córdova s/n Salaverry La Libertad - PERU	Telephone	+51 44 437339
		Fax	+51 44 437359
		e-mail	jtam@enapu.com.pe
		Website	www.enapu.com.pe
Nature of Involvement:			
In this facility, AgroAurora & Agrojibito rent 3 tanks for the storage of ethanol and an ethanol line to load the ships. The storage capacity of the tanks: one stores 3 million liters and two store 1.5 million liters each.			

1.2 Scope of Certificate

Please select one:	<input checked="" type="checkbox"/> RSB EU RED	<input type="checkbox"/> RSB Global
Please select boxes that apply:	<input type="checkbox"/> Pre-assessment <input type="checkbox"/> Initial Assessment <input type="checkbox"/> Re-certification <input type="checkbox"/> Follow-Up to NCs	<input checked="" type="checkbox"/> 1st Annual Surveillance <input type="checkbox"/> 2nd Annual Surveillance <input type="checkbox"/> 3rd Annual Surveillance <input type="checkbox"/> 4th Annual Surveillance
The scope assessment agrees with the scope under which the operator applied	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
If no, please explain:		
<i>Note: If the scope is different, please contact SCS.</i>		

SITE INFORMATION FOR AGRICULTURAL SITES OR FEEDSTOCK PRODUCTION SITES	
Site Type	<input checked="" type="checkbox"/> Agriculture <input type="checkbox"/> Biomass Production <input type="checkbox"/> Forestry <input type="checkbox"/> Other:
Feedstock Produced:	Sugarcane
Current Land Use	Prior Land Use
<input type="checkbox"/> Biomass Production	<input type="checkbox"/> Biomass Production
<input checked="" type="checkbox"/> Agriculture	<input checked="" type="checkbox"/> Agriculture
<input type="checkbox"/> Other:	<input type="checkbox"/> Other:
Current Employment on Site	Prior Employment on Site
<input type="checkbox"/> Negligible	<input checked="" type="checkbox"/> Negligible
<input type="checkbox"/> Local Average	<input type="checkbox"/> Local Average
<input checked="" type="checkbox"/> Above Local Average	<input type="checkbox"/> Above Local Average
<input type="checkbox"/> Full	<input type="checkbox"/> Full
Total workers covered by scope of certification:	743
Number of women workers	AgroJibito: 12 men, 0 women Subcontractors: 0 AgroAurora: 693 men, 31 women Subcontratistas: 7 hombres
Owned/Controlled By:	AgroAurora S.A.C and AgroJibito S.A.
Location/City:	Piura Valley

	The organization is located in uncultivated land located on the north coast of Peru in the jurisdictions of the provinces of Sullana and Paita of the department of Piura; extending along a river between 15 and 102 meters above sea level.			
Total farms included in certification scope	3			
Geographic location:	Farm/Entity	Location (Lat. - Long.)	Area (ha)	Area Planted (ha)
	Central	4 ° 57'14.37" S 80 ° 52'25.15" W	2,946	2,946
	La Paloma	4 ° 59'03.51" S 80°46'30.49" W	3176	3176
	El Arenal	4 ° 56'59.24" S 80°57'44.49" W	1311	1311
NEW Please request shapefiles of all farms				
Were shapefiles of farms requested?	Auditor requested shapefiles			
Did Operator provide shapefiles of farms included in scope of certification?	Organization is in the process of providing shapefiles			
Total Area (ha)	13,933			
Total Planted Area (ha)	7,433			
Total area set aside for conservation purposes (ha)	2341 Ha			
Annual Feedstock Production Volume (please specify unit of measurement)	3500 TM/día			
Amount sold as RSB certified in last calendar year (tons)	0 (Sugar cane not sold as RSB certified; used internally)			

INDUSTRIAL FACILITIES	
Name	Agropecuaria Aurora S.A.C. - Agrojobito S.A.
Type	<input type="checkbox"/> Agriculture Milling and/or Fermentation <input type="checkbox"/> Vegetable oil Extraction <input checked="" type="checkbox"/> Biofuel Production and/or Distribution <input type="checkbox"/> Storage or Distribution <input type="checkbox"/> Other, please explain here:
Location/City	Piura Valley, Peru

Geographic location (<i>Latitude & Longitude</i>)		<i>Latitude: -4.960299098969914</i> <i>Longitude: -80.87345123291016</i>						
Start date of operations (initial start date)		August 13th, 2015						
Number of processing steps		<ol style="list-style-type: none"> 1. Agriculture phase: Primary field production, planting, irrigation, harvest, transport 2. Ethanol plant: Secondary production of ethanol, reception, transformation, dispatch 3. Storage and Loading Facility : Storage and dispatch 						
Annual throughput of previous 12 months (Can be moved to appendix if certain information is confidential)								
Feedstock Input (Metric Ton)		3500 TM/día						
Final/Primary Product Output (Metric Ton)		250 m3/día						
Intermediate/by-product Output (Metric Ton)		1,088 TM/día						
% output yield compared to input material (total output/total input)		70 L/TM caña						
Amount sold as RSB certified (tons)		19,419.9 M3						
Description of Production/Processing Activities:								
<p>Agrojibito S.A. and Agroaurora S.A.C was born from the purchase by Grupo Gloria from the fiduciary S.A. which was entitled to the trust of the companies Maple Ethanol S.R.L. and Maple Biofuels S.R.L. Agroaurora S.A.C has 13,933 hectares, with 7,433 hectares planted in sugarcane, under drip irrigation and completely mechanized from sowing to harvest, which is sent to Agrojibito S.A. which has an ethanol plant with a capacity to grind 3,500 tons of sugarcane per day and with production of up to 100,000 metric tons of ethanol per year. It includes a power plant of 37 megawatts ("MW") supplied by the surplus bagasse of ethanol production to supply all the energy needs of the operation (agriculture and plant) with the sale of any excess interconnected energy system of Peru.</p> <p>The Storage and Distribution takes place in the Port of Salaverry, operated by ENAPU PERU S.A.C. In this facility, Agrojibito rents an area with three tanks for ethanol storage and an ethanol line to load ships. The total storage capacity is 6 million liters, with a tank of 3 million liters and two tanks of 1.5 million liters each.</p>								
For Biofuels Producers: Please state the GHG emissions occurring at the operator's sites in kg CO2eq/ dry-ton for raw materials and intermediary products and g CO2eq/MJ for final biofuels (annualized, after allocation) and if applicable, achieved emission savings, and if applicable, explanation for deviation from typical GHG values								
Eec	El	Ep	Etd	Eu	Esca	Eccs	Eccr	Ecc
14.64	0	0.35	3.10	0	0	0	0	0
Final Biofuel	Sugarcane Ethanol		GHG	18.09 g CO2 eq/ MJ (78.29% emissions savings)				
<i>Add more lines as you see fit</i>								

1.3 Standards Used

1.3.1 Applicable RSB-Accredited Standards

Title	Version	Date of Finalization
• RSB Chain of Custody	RSB-STD-11-001-20-001 V3.5	30 August 2016
• RSB Standard for Participating Operators	RSB-STD-11-001-30-001 V3.2	2 June 2016
• RSB Risk Management	RSB-STD-11-001-60-001 V3.2	30 August 2016
• RSB Procedure on Communication and Claims	RSB-PRO-11-001-50-001 V3.2	March 2017
• RSB Standard for EU Market Access	RSB-STD-11-001 V 3.1	20 March 2017
All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials (http://rsb.org/sustainability/rsb-sustainability-standards/). Standards are also available, upon request, from SCS Global Services (http://www.scsglobalservices.com/).		

2.0 EVALUATION PLANNING & PROCESS

2.1 Documentation Submitted by Operator

Lista de asistencia a reunión	CO10-GEGC-R-5.4 of 01/11/2015
Listado de Stakeholders	
chain of custody manual for the mass balance	SIG-GGGC-M-01 of 09/30/2015
Registration of induction and training, training and emergency simulation	SIG-PG-05-F2
"the Internal Regulation of SST "	RHSE-M-01
the management procedure: codi register control	SIG-GGGC-P- 01 date 01/09/2015
inventory of fields	31/08/2017

2.2 Audit Type and Determination

The following table summarizes the audit types for RSB Audits:

	Low risk class	Medium risk class	High risk class
Certificate validity	5 years	3 years	2 year
Main audit	Every 5 years	Every 3 years	Every 2 year
Surveillance audit	Annual	Annual	Annual

2.3 Audit Team

2.3.1 Determination of Audit Team

- Desk audits shall always be conducted by at minimum one (1) international lead auditor. The lead auditor appointed may include additional auditors and/or technical experts in the audit team if this is required by the extent of the audit.
- Field audits shall always be led by one (1) international lead auditor.
- The lead auditor appointed shall include at minimum one (1) local auditor in the audit team. The lead auditor appointed may include additional auditors and/or technical experts in the audit team if this is required by the extent of the audit.
- For field audits of participating operators in High Risk class shall always the lead auditor appointed shall appoint at minimum
 - one (1) local auditor and
 - one (1) technical expert on social issues to evaluate compliance with social requirements and the risk of non-compliance due to social issues and
 - one (1) technical expert on environmental issues to evaluate compliance with environmental requirements and the risk of non-compliance due to environmental issues.
 - The lead auditor appointed may include additional auditors and/or technical experts in the audit team if this is required by the extent of the audit.

2.3.2 Audit Team

Auditor Name:	Jorge Hernán Gómez	Auditor role:	Lead Auditor
<p>Qualifications: Jorge is an Agronomist Engineer who graduated from the University of Caldas with more than 15 years of experience.</p> <p>He is an International Lead Auditor for FSC Chain of custody, RSB, ISCC, BONSUCRO, Florverde, and ISO 14001. He is also an auditor in ISO 9001.2015, BPM and HACCP.</p> <p>He additionally participated in the first audit in Suramericana of RSPO as the auditor of the DAABON group and as a consultant to help INDUPALMA achieve certification under RSPO. He was also integral in the creation of the IDMNS, which is a comparison tool for different sustainability standards (RSB, RSPO, RTRS, BSI and RAS-RA).</p>			

2.4 Evaluation Schedule and Extent of Audit

2.4.1 Determination of Extent of Audit

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures	1 Industrial Facility 3 Farmers 1 Storage facility
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Participating Operator Risk Class	Low risk (Score=3)
Disputes or prior Non-compliances	None
Changes in scope since last evaluation	None
Total number of compliance claims	None

2.4.2 Evaluation Itinerary and Activities

Date: October 25, 2017 at the Ethanol Plant, Piura Valley, Peru	
Operation(s)/ sites visited	Activities/ notes
7:30 a.m.	Opening Meeting
8:00	Stakeholder Consultation
10:30	General Requirements
12:30 p.m.	Lunch Break
1:30	Training
3:30	Risk Management
Date: October 26, 2017 at the Ethanol Plant, Piura Valley,, Peru	
Operation(s)/ sites visited	Activities/ notes
7:30 a.m.	Grievance
10:00	General requirements for the traceability of certified material
10:00	Records
12:30 p.m.	Lunch Break
1:30	Requirements for Mass Balance Chain of Custody systems
Date: October 27, 2017 at the Ethanol Plant, Piura Valley, Peru	
Operation(s)/ sites visited	Activities/ notes
7:30 a.m.	Requirements for conducting and verifying calculations of GHG savings, Communication and Claims
10:30	Additional requirements Mass Balance Chain of Custody systems
12:30 p.m.	Lunch Break
1:30	Time Auditors
4:00	Closure meeting

2.5 Evaluation of Management System

2.5.1 Methodology and Strategies Employed

SCS deploys interdisciplinary teams with expertise in agriculture, ecology, forestry, social sciences, natural resource economics, and other relevant fields to assess an Operator’s compliance to RSB standards and policies. Evaluation methods include document and record review, implementing sampling strategies to visit a broad number of site and facility types, observation of implementation of management plans and policies, and stakeholder analysis. When there is more than one team member,

team members may review parts of the standards based on their background and expertise. On the final day of an evaluation, team members convene to deliberate the findings of the assessment jointly. This involves an analysis of all relevant site observations, stakeholder comments, and reviewed documents and records. Where consensus between team members cannot be achieved due to lack of evidence, conflicting evidence or differences of interpretation of the standards, the team is instructed to report these in the certification decision section.

Interviews were also used as an evaluation technique.

2.5.2 Capacity of the participating operator to implement its management systems

Note: include an overall evaluation of the participating operator’s responsiveness and ability to consistently and effectively implement its management system based on the financial, technical, and human resources available.

Agrojibito S.A and Agroaurora S.A.C is born from the purchase of the group Gloria to the fiduciaria S.A that had the right on the Trust of the companies Maple Ethanol S.R.L. and Maple Biofuels S.R.L. during the past year the company Agrojibito SA has had its plant stopped for almost 7 months due to situations of the change of owner, industrial maintenance and environmental emergency situations due to the phenomenon of the child that caused overflow of rivers due to heavy rains, lack of bridges leaving the plant almost two months apart. The company activates emergency plans to put the plant into operation and currently has it at 80% capacity. The organization has been implementing a management system based on iso 9001 and the management system of the Gloria group, in addition to creating different areas to comply with RSB such as:

Safety Plan, Occupational Health by the Head of SST Department, Percy Sanchez Sparta; Environmental Plan and Social Responsibility in charge of the Environmental Protection Supervisor Sarai Malo Mendez and her collaborators also have a management system area in charge of Arnold Soto Castillo and support of the Gloria group to implement RSB.

Also has enough staff with the appropriate skills and trained to manage the extraction plant and has a training program for their employees, in terms of chain of custody the organization ensures complete traceability from the Batch that is cut, Transportation and arrival at the sugar cane scale in the ethanol plant in each cane entry samples are taken to attest the quality of the sugar cane that comes and during the process of ethanol manufacture different samples are taken to control the production and therefore its mass balance for the ethanol produced and finally all the product is traced to the port of Sulaverry, 493 km later, the organization must improve the information provided in the sales invoices to ensure that the next link of the Chain of custody receives all the information according to RSB.

2.5.3 Evaluation of RSB compliance claims and use of RSB trademarks

Type (compliance claim, trademark use)	Description	Findings
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Compliance Claim	It was evidenced that the organization provides the following information in the form of short RSB claims, according to RSB-PRO-11-001-50-001: "The equivalent mass of this biomass / biofuel originated in production operations certified as complying with the RSB standards".	Invoice FX05 N0000001 for the Snetor customer of the month of July
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2.6 Stakeholder Consultation Process (for Main audits)

In accordance with SCS and RSB protocols, consultation with key stakeholders is an integral component of the evaluation process. Stakeholder consultation takes place prior to, concurrent with, and following field evaluations. The primary purpose of such consultation is to solicit input from affected parties as to the strengths and weaknesses of the Participating Operator’s management system and operations, relative to the standard, and the nature of the interaction between the company and the surrounding communities.

Principal stakeholder groups are identified based upon the certification scope of the participating operator.

Stakeholder consultation activities are organized according to the requirements of the RSB. The table below summarizes the major comments received from stakeholders and the assessment team’s response. Where a stakeholder comment has triggered a subsequent investigation during the evaluation, the corresponding follow-up action and conclusions from SCS are noted below.

2.6.1 Summary of Stakeholder Comments and Responses from the Team, (for Main audits)

Stakeholder Comments	SCS Response
Economic Concerns	
<i>Demetrio Cabanillas, ENAPU PERU S.A.C.</i>	Relationships were evident, and the position of the interested parties regarding the organization is neutral
Agustin Zanne, CAÑA BRAVA	Relationships were evident, and the position of the interested parties regarding the organization is neutral
Social Concerns	
Neighborhood community board "JUVECO" la Huaca, Civil society la Huaca	Relationships were evident, and the position of the interested parties regarding the organization is favorable
JUVECO Viviate, Civil society Viviate	Relationships were evident, and the position of the interested parties regarding the organization is favorable

Miriam Montero, Vaso de leche Sector SOJO	Relationships were evident, and the position of the interested parties regarding the organization is favorable
Environmental Concerns	
<i>Jose Luis Melgar, ONG Ecosocial Peru</i>	Relationships were evident, and the position of the interested parties regarding the organization is neutral
<i>Lilian Cabrera, ONG Plan Internacional</i>	Relationships were evident, and the position of the interested parties regarding the organization is favorable

3.0 RISK ASSESSMENT RESULTS

Based on the most recent self-risk assessment (no older than 3 months from the audit date) the PO's risk assessment results are:	Corresponding risk class (low, medium, high):
3	Low risk

4.0 RESULTS OF THE EVALUATION

4.1 Process of Determining Compliance

4.1.1 Structure of Standard and Degrees of Non-Compliance

RSB-accredited biofuel standards consist of a three-level hierarchy: the principle, the criteria that correspond to that principle, and then the performance indicators that elaborate upon each criterion. Consistent with SCS Sustainable Biofuels Program evaluation protocols, the team collectively determines whether or not the subject operation is in compliance with every applicable indicator of the relevant sustainable biofuel standard. Each non-compliance must be evaluated to determine whether it constitutes a major or minor non-compliance at the level of the associated criterion or sub-criterion. Not all indicators are equally important, and there is no simple numerical formula to determine whether an operation is in non-compliance. The team therefore must use their collective judgment to assess each criterion and determine if the Operator is in compliance. If the Operator is determined to be in non-compliance at the criterion level, then at least one of the applicable indicators must be in major non-compliance.

4.1.2 Interpretations of Major and Minor Non-compliances

Major Non-compliances, either alone or in combination with non-compliances of other applicable indicators, result (or are likely to result) in a fundamental failure to achieve the objectives of the relevant RSB Criterion. These non-compliances must be resolved or closed out before a certificate can be awarded. If Major NCs arise after an operation is certified, the timeframe for correcting these non-compliances is typically no more than three months. Certification is contingent on the operator's response to the NCs within the stipulated time frame.

Minor Non-compliances are typically limited in scale or can be characterized as an unusual lapse in the system. Most minor NCs are the result of a non-conformance at the indicator-level. Non-compliances must be closed out within a specified time period of award of the certificate.

4.1.3 Major Non-compliances

<input type="checkbox"/>	No major NCs were issued to the Operator during the evaluation. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input checked="" type="checkbox"/>	Major NCs were issued to the Operator during the evaluation, which have all been closed to the satisfaction of the audit team and meet the requirements of the standards. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate.
<input type="checkbox"/>	Major NCs were issued to the Operator during the evaluation and the Operator has not yet satisfactorily closed all major NCs.

4.1.4 Non-compliances and Current Status

Instructions:

- Initial certification audit: list all Non-conformities and Opportunities for Improvement issued during this audit.
- Surveillance/Recertification: list status of minor NCs that were not closed in previous audit, then list new NCs/OFIs issued during this audit.

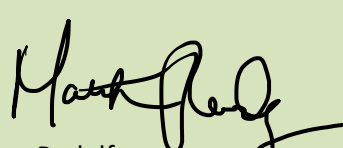
Summary of Non-compliances and Current Status				
Non-compliance Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
2017-1	Major	PO Checklist 2.1	There is no evidence that the organization ensures that employees are properly trained, about the RSB management system and are aware of their role in the system, especially those working in the chain of custody system, since when reviewing the training records, it was not possible to find training of Mr. Juan Ramirez, who dictated training to staff at RSB and when interviewing different employees it was found that they do not handle the issue of RSB with sufficiency.	Closed
2017-2	Minor	PO Checklist 4.2	There is no evidence that the organization will follow up on the complaints and claims as in the case of a request from the stakeholders to meet with the management of the organization dated on 07/17/2017 where topics such as	Closed

			burning and its related problems are touched upon and it was not possible to see evidence of handling that complaint.	
2017-3	Major	PO Checklist 6.4	There is no evidence that the organization ensures that the product is identified as the RSB chain of custody when it forwards to its storage service provider in the port of Salaverry	Closed
2017-4	Major	PO Checklist 6.4.2, 6.4.3, 8.3.14, 12.2.2	There is no evidence that the organization ensures that in its shipping documents to the client it has the following information: - If the site from which the product is forwarded is managed by an external third party, the name and address of this external third party - Lot identification number - Valid RSB certificate number, certification body and chain of custody model used - Calculation of greenhouse gases, since when reviewing invoice FX05 N0000001 for the Snetor customer of the month of July, it was not possible to show this information.	Closed
2017-5	Major	PO Checklist 9.2.8-9.2.11	There is no evidence that the organization documents and records all the details of the determination of the real values (for example, methodology, measures used, data sources, for water consumption, fertilizer, pesticides) to perform and verify the savings calculations. GHG Additionally, Actual GHG values were not sent to SCS for review.	Closed
2017-6	Minor	RSB Request	Shapefiles for farms not yet provided	Open

Summary of Non-compliances and Current Status - Minor NCs from Previous Audit (2015)				
Non-compliance Number	Type of Non-compliance	Relevant RSB Standard & Indicator No.	Summary of Finding and Evidence Collected	Status of Non-compliance (Open/Closed)
2015-01	NC-Minor	RSB-IND-11-001-20-001 (V 2.1) Indicator: 2.a.i.3	PO has presented four documents as its ESMP, which indicate how biomass / biofuels operations will mitigate most of risks identified. However, these documents do not integrate all the requirements of RSB standard, such as: <ul style="list-style-type: none"> Description of the detailed actions needed to achieve the objectives of ESMP and timeframes, including how 	Closed

			<p>they will be achieved, by whom, by when, with what resources, with what monitoring / verification, and to what target or performance level;</p> <ul style="list-style-type: none"> • Mechanisms must also be provided to address changes in the project implementation, emergencies or unexpected events, and the associated approval processes; • Clarification of institutional structures, roles, communication and reporting processes required as part of the implementation of the ESMP; • Description of the link between the ESMP and associated legislated requirements. • Description of requirements for record keeping, monitoring, reporting, review, auditing and updating of the ESMP. 	
2015-02	NC- Minor	RSB-IND-11-001-20-001 (V 2.1) Indicator: 2.b.i.1, 2.b.i.2 and 2.b.i.4	Participating Operator provided objective evidence that stakeholders affected by its operation were identified. However, this list contains only 13 stakeholders. Participating Operator did not ensure that these stakeholders were engaged with, consulted, and invited to participate in the engagement and consultation process as the emails were provided months after they were first requested. Stakeholder lists should be provided no later than thirty days before the scheduled audit.	Closed
2015-04	NC- Minor	RSB-IND-11-001-20-001 (V 2.1) Indicator 9.b.i.2	PO did not provide objective evidence that the water management plan (is integrated in AgroAurora GAP (Good Agricultural Practices, Chapter 4) relating to operation(s) is available to the public.	Closed
2015-05	NC- Minor	RSB-IND-11-001-20-001 (V 2.1) Indicator 11.a.i.1	PO did not provide documented evidence that information on the use of technologies in operation(s) is publicly available.	Closed

5.0 CERTIFICATION DECISION

Certification Recommendation		
Operator to be awarded RSB certification subject to the minor non-compliances stated in Section 4.2.5.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
The SCS evaluation team makes the above recommendation for certification based on the full and proper execution of the SCS Responsible Biofuels Program evaluation protocols. If certification is recommended, the Operator has satisfactorily demonstrated the following without exception:		
Operator has addressed any Major NC(s) assigned during the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that their system of management is capable of ensuring that all of the requirements of the applicable standards are met over the sites and facilities covered by the scope of the evaluation.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Operator has demonstrated that the described system of management is being implemented consistently over the sites and facilities covered by the scope of the certificate.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
Comments and/or details of any issue which was difficult and/or impossible to evaluate:		
To be completed by Certification Decision-Making Entity	Technical Review by: If different to decision-maker	Same as decision maker
	Certification decision:	Certification approved for another year
	Certification decision by:	 Matthew Rudolf
	Date of decision: For initial or continued certification	16 April 2018
	Surveillance schedule:	Recertification audit to be conducted by: 27 October 2018

Sub Certificate Codes (if applicable)

Legal Entity/Operational Site	Sub-Certificate Code