

Public Summary - RSB Audit

Roundtable on Sustainable Biomaterials (RSB)



Control Union PRJ number	853545
RSB Participating Operator Nr.	APP-002095
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Type of certificate:	Multi-site
Date of issue of certificate:	13-05-17
Certificate expiry date	12-05-22
Date audit:	24-04-2017 and 25-04-2017
Report finalized:	26-04-2017
Certificate issued by:	Control Union Certifications
Address:	Meeuwenlaan 4-6 8011 BZ Zwolle
Telephone:	0031 (0) 38 426 0100
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Certifier (contact person): Frank van der Velden

Lead Auditor: Glenn Feryn

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1. Methodology

Control Union Certifications (CUC), is an international inspection and certification Audit and certification is carried out in conformity with the procedures as laid down in Based on the information provided by the auditor and by the client, the certifier reviews and evaluates all information provided and certifies the products when all conditions of the regulations are fulfilled. The result of the evaluation is documented in this report and the applicable Annexes. Audit work by the auditor and certification by the certifier are clearly separated activities.

2. Report

This report is the result of the findings of a certification evaluation carried out by an independent lead auditor or team of experts representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate. The report is made in accordance with standard RSB-STD-11-001-70-003

The full report can only be reviewed by authorized Control Union and RSB staff.

In case of any complaint or appeal with respect to findings and certification decisions taken by CUC, a dispute protocol and form is available on the CUC website:

http://certifications.controlunion.com/publications.aspx?Program_ID=2

and can also be provided on request.

3. Certification scope

The scope assessment is inline which is agreed by the operator	Yes
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Participating sites

Participating site I	UPM-Kymmene Oyj
Address:	Alvar Aallon katu 1 00100 Helsinki, Finland
Participating site II	Lappeenranta biorefinery
Address:	Kaukaantie 16 53200 Lappeenranta, Finland
Description of activities	Production plant

Participating site III	UPM Sales Oy
Address:	Alvar Aallon katu 1 00100 Helsinki, Finland
Description of activities	Subsidiary to UPM-Kymmene, sales of biofuels
Applicable standards	
Description	Number
Standard for participating operators	RSB-STD-11-001-30-001
Procedure on communication and claims	RSB-PRO-11-001-50-001
Consolidated RSB EU RED Standard for certification of biofuels based on waste and residues	RSB-STD-11-001-01-010
Principles & Criteria	RSB-STD-11-001-01-001
Standard for Traceability (Chain of Custody)	RSB-STD-11-001-20-001
4. Evaluation process	
4.1. Audit Team	
Glenn Feryn, RSB Lead Auditor	
4.2. Duration and justification audit	
Expected duration (in hours):	15 hours
Justification	Preparation time: 2 hours Audit at office: 5 hours Audit at refinery: 6 hours Reporting: 3 hours
Real Audit Duration (in hours):	16 hours
4.3. Description of the evaluation / evaluation	
24-04-2017: Opening meeting day 1 Evaluation of documented/company system 25-04-2017: Opening meeting day 2 Evaluation of documented/company system Refinery visit Reporting Review of NC's	
4.4 People interviewed	
Maria Puustinen, Heli Laumola, Liisa Ranta, Risto Kotilainen, Katri Fostén, Hannu Pulkka	
4.5 Action taken by the organization prior to (re)issue of certificate	
P&C's are covered by the "2017 april ESMP UPM Biorefinery" document which has been set up as preparation for the audit. Procedures are available including all relevant requirements. The self-risk assessment and self evaluation has been performed. An actual GHG calculation is in place.	
5. Risk Analysis & Sampling plan	

Risk analysis results

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures

1 main office, 1 sales office and 1 processing unit

Set-up Sampling plan

Participating Operator Risk Class
Disputes or prior Non-compliances
Changes in scope since last evaluation
Total number of compliance claims

7

Risk assessment result

Results:

Risk Score CUC: 7

Deviations from Operator Risk Assessment Risk Factor

- A. SUPPLY CHAIN
- B. SOCIO-ECONOMIC CONTEXT
- C. ENVIRONMENT
- D. LABOR CONDITIONS AND OTHER SOCIAL ISSUES
- E. OPERATIONAL/CERTIFICATION HISTORY
- F. LEGAL/JUDICIARY ISSUES

Operations visited

UPM-Kymmene Oyj

Office

Alvar Aallon katu 1 00100 Helsinki, Finland

Date: 24-04-2017

Lappeenranta biorefinery

Processing unit

Kaukaantie 16 53200 Lappeenranta, Finland

Date: 25-04-2017

6. Observations

6.1 non-conformities

Non-compliance: failure to comply with one or more requirements specified in the RSB standards and/or the RSB certification systems

6.1.1 Evaluation of Non-conformities

CUC shall monitor and evaluate all actions taken by the participating operator to address non-finalization of the evaluation report CUC shall evaluate at minimum those aspects of the operation(s) of CUC shall ensure that recommendations to close major non-compliances, and whether major non-compliances were corrected, rectified or otherwise brought into compliance with the RSB standards and the RSB certification systems, together with the respective certification reports are submitted to peer review and duly decided by its certification decision entity.

6.1.2. Identified non-conformities during present evaluation

Non-compliance (NCs) identified during this evaluation are listed below. In case the organization has Each element (requirement) of the Standard is evaluated by means of a checklist. Please refer to the annexed checklists for details.

6.1.3. Assessment of non-compliance raised during and after previous audit report

Check if section is not applicable, i.e. it concerns a main assessment/initial audit, or no NCs were raised
Below an overview is given of the actions taken by the client/certificate holder to correct any NC's
For each NC a description of its current status is given. In the case a minor NC has not been settled within NC1

Category	
Description of requirement:	
Description of non-conformity:	
Status:	

6.2 Certification decision

6.2.1. Statement

The Organization has been (re-)assessed by CUC according to the standard(s) described in Section 6 below. In the
The Organization is in conformance with the certification requirements (all major NC's are closed), and (re-
The Organization is NOT in conformance with the certification requirements (all major NC's are not closed),

For all other information please use fvdvelden@controlunion.com and/or the following address:

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