

Certification Evaluation Report

Roundtable on Sustainable Biomaterials

Amyris, Inc.

SCS Certificate Code- SCS-RSB/PC-0008

Rua James Clerk Maxwell, 315. Campinas, São Paulo/SP. Zip Code: 13069-380. Brazil

Kelly Seligman (seligman@amyris.com)

| CERTIFIED | EXPIRATION |
|--------------|--------------|
| May 18, 2016 | May 17, 2018 |

| |
|-------------------------------------|
| DATE OF FIELD AUDIT |
| March 28 to 30 th , 2016 |
| DATE OF LAST UPDATE |
| May 18, 2016 |

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FOREWORD

SCS Global Services (SCS) is a certification body accredited by the Roundtable on Sustainable Biomaterials (RSB) to conduct evaluations of biofuel operators. Under the RSB/SCS certification system, participating operators meeting international standards of biofuel production can be certified as “sustainable,” thereby permitting the Operator’s use of the RSB endorsement and logo in the marketplace subject to regular RSB/SCS oversight.

SCS deploys interdisciplinary teams of natural resource specialists and other experts all over the world to conduct evaluations of biofuel operations. SCS evaluation teams collect and analyze written materials, conduct interviews with Participating Operator’s staff and key stakeholders, and complete field and office audits of the operation(s) identified in the certification scope. Upon completion of the fact-finding phase of all evaluations, SCS teams determine compliance to the RSB Principles and Criteria.

Please Note: An RSB certificate itself does not constitute evidence that a particular product supplied by the certificate holder is certified to RSB standards. Products offered, shipped or sold by the certificate holder can only be considered covered by the scope of this certificate when the required RSB claim is clearly stated on-product. For more information about the RSB, visit their website at www.rsb.org.

Organization of the Report

This report of the results of our evaluation is divided into two sections. Section A provides the public summary and background information that is required by the Roundtable on Sustainable Biomaterials. This section is made available to the general public and is intended to provide an overview of the evaluation process, the management programs, and policies applied to the Participating Operator, and the results of the evaluation. Section A will be posted on the RSB Participating Operators Database (<http://rsb.org/certification/participating-operators/>). Section B contains more detailed results and information for use by the Participating Operator.

CONTENTS

| | |
|---|-----------|
| SECTION A – PUBLIC SUMMARY | 5 |
| 1.0 GENERAL INFORMATION | 5 |
| 1.1 Operator Information | 5 |
| 1.1.1 Name and Contact Information | 5 |
| 1.2 Scope of Certificate..... | 5 |
| 1.3 Standards/ Documents Used | 7 |
| 1.3.1 Applicable RSB-Accredited Standards..... | 7 |
| 1.3.2 Additional RSB Documents Applied to Certification Process..... | 7 |
| 2.0 EVALUATION PLANNING & PROCESS..... | 8 |
| 2.1 Documentation Submitted by Operator | 8 |
| 2.2 Audit Type and Determination | 9 |
| 2.3 Audit Team..... | 9 |
| 2.3.1 Determination of Audit Team | 9 |
| 2.3.2 Audit Team..... | 9 |
| 2.4 Evaluation Schedule and Extent of Audit..... | 10 |
| 2.4.1 Determination of Extent of Audit | 10 |
| 2.4.2 Evaluation Itinerary and Activities | 10 |
| 2.5 Evaluation of Management System..... | 10 |
| 2.5.1 Methodology and Strategies Employed..... | 12 |
| 2.5.2 Capacity of the participating operator to implement its management systems..... | 13 |
| 2.5.3 Evaluation of RSB compliance claims and use of RSB trademarks | 13 |
| 2.6 Stakeholder Consultation Process | 13 |
| 2.6.1 Summary of Stakeholder Comments and Responses from the Team, Where Applicable | 14 |
| 3.0 RISK ASSESSMENT RESULTS | 15 |
| 4.0 RESULTS OF THE EVALUATION | 15 |
| 4.1 Equivalence between the Audit Team Evaluation and the Operator Self Evaluation | 15 |
| 4.2 Process of Determining Compliance..... | 15 |
| 4.2.1 Structure of Standard and Degrees of Non-Compliance | 15 |
| 4.2.2 Interpretations of Major and Minor Non-compliances | 16 |
| 4.2.3 Major Non-compliances..... | 16 |

4.2.4 Non-compliances and Current Status 17

5.0 CERTIFICATION DECISION18

Sub Certificate Codes (if applicable)..... 18

SECTION A – PUBLIC SUMMARY

1.0 GENERAL INFORMATION

1.1 Operator Information

1.1.1 Name and Contact Information

| | | | |
|-------------------|--|-----------|------------------------|
| Organization name | Amyris do Brasil Ltda. | | |
| Operator Number | 1245 | | |
| Contact person | Kelly Seligman | | |
| Address | Rua James Clerk Maxwell, 315. Campinas, São Paulo-SP. Zip Code: 13069-380. Brazil | Telephone | + 55 19 3783 9450 |
| | | Fax | |
| | | e-mail | Seligman@amyris.com |
| | | Website | http://www.amyris.com/ |

1.2 Scope of Certificate

| | | |
|---|---|--|
| Please choose one: | <input checked="" type="checkbox"/> RSB EU RED | <input type="checkbox"/> RSB Global |
| The scope assessment agrees with the scope under which the operator applied | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No |
| If no, please explain: | | |
| <i>Note: If the scope is different, please contact SCS.</i> | | |

| SITE INFORMATION FOR AGRICULTURAL SITES OR FEEDSTOCK PRODUCTION SITES | |
|---|---|
| Site Type | <input type="checkbox"/> Agriculture <input type="checkbox"/> Forestry <input type="checkbox"/> Biomass Production <input checked="" type="checkbox"/> Other: Industrial |
| Feedstock Produced: | None |
| Current Land Use | Prior Land Use |
| <input type="checkbox"/> Biomass Production | <input type="checkbox"/> Biomass Production |
| <input type="checkbox"/> Agriculture | <input type="checkbox"/> Agriculture |
| <input type="checkbox"/> Other: | <input type="checkbox"/> Other: |
| Current Employment on Site | Prior Employment on Site |
| <input type="checkbox"/> Negligible | <input type="checkbox"/> Negligible |
| <input type="checkbox"/> Local Average | <input type="checkbox"/> Local Average |
| <input type="checkbox"/> Above Local Average | <input type="checkbox"/> Above Local Average |
| <input type="checkbox"/> Full | <input type="checkbox"/> Full |
| Owned/Controlled By: | N/A |

| | | | | |
|---|-------------|----------------------------|-----------|----------------------|
| Location/City: | N/A | | | |
| Geographic location: | Farm/Entity | Location (Lat. - Long.) | Area (ha) | Area Planted (ha) |
| | N/A | | | |
| | N/A | | | |
| | N/A | | | |
| Total Area (ha) | None | | | |
| Total Planted Area (ha) | None | | | |
| Annual Feedstock Production Volume (please specify unit of measurement) | None | | | |

| INDUSTRIAL FACILITIES | |
|---|---|
| Name | Amyris do Brasil Ltda. |
| Type | <input type="checkbox"/> Agriculture Milling and/or Fermentation <input checked="" type="checkbox"/> Biofuel Production and/or Distribution <input type="checkbox"/> Vegetable oil Extraction <input type="checkbox"/> Storage or Distribution <input type="checkbox"/> Other, please explain here: |
| Location/City | Rodovia Brotas/Torrinha, KM 7.5, Fazenda Paraíso, Brotas – SP, Brazil. |
| Geographic location (<i>Latitude & Longitude</i>) | <i>Latitude 22°21'13.75"S; Longitude 48° 6'21.11"O</i> |
| Number of processing steps | Farnesene plant: sugar cane syrup reception and storage; sterilization; fermentation, centrifugation, distillation, storage and loading; transportation to Outsourcer Service and expedition (product delivery to the customer). Note: Outsourcer Service is out of the scope. |
| Annual throughput of previous 12 months <i>(Can be moved to appendix if certain information is confidential)</i> | |
| Feedstock Input (Metric Ton) | Sugar Syrup: 26,235 MT |
| Final/Primary Product Output (Metric Ton) | Farnesene: 2,502 MT |
| Intermediate/by-product Output (Metric Ton) | None |
| % output yield compared to input material (total input/total output) | 9,5% |
| Description of Production/Processing Activities: | |
| The Amyris production plant receives sugarcane syrup (65 brix) from a nearby sugar mill. The sugars contained in the syrup are transformed by the Amyris proprietary fermentation and separation processes into a renewable biofuel intermediate component. | |

The company has only one raw material supplier (company Tonon Bioenergia S.A – Sugar mill and alcohol – Unit of Paraiso – Brotas - SP). Amyris factory was built in area near to this sugar & alcohol. This supplier is not certified by RSB neither any other certification scheme.

In the Amyris plant, the following processes are involved: purchasing, receiving of raw materials, production (Farnesene manufacturing), billing (issue of sale documents or product transfer to the service provider), PCPM (planning and control of production and raw materials), expedition (product delivery to the customer).

After farnesene manufacturing, this product is sent to a service provider for hydrogenation process. In the hydrogenation process, farnesene is turned into farnesene. This activity (outsourcing service) is not included in the RSB certification scope.

1.3 Standards/ Documents Used

1.3.1 Applicable RSB-Accredited Standards

| Title | Version | Date of Finalization |
|--|-------------|----------------------|
| RSB GHG Calculation Methodology (RSB-STD-01-003-01) | Version 2.1 | 07-12-2012 |
| Consolidated RSB EU RED Principles & Criteria for Sustainable Biofuel Production (RSB-STD-11-001-01-001) | Version 2.1 | 10-05-2011 |
| Consolidated RSB EU RED Standard for Operators Taking Part in RSB Certification Systems (Participating Operators) (RSB-STD-11-001-30-001) | Version 3.1 | 18-05-2015 |
| Consolidated RSB EU RED Standard for Risk Management (RSB-STD-11-001-60-001) | Version 3.1 | 18-05-2015 |
| Consolidated RSB EU RED Procedure on Communications and Claims (RSB-PRO-11-001-50-001) | Version 3.1 | 18-05-2015 |
| All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials (http://rsb.org/sustainability/rsb-sustainability-standards/). Standards are also available, upon request, from SCS Global Services (www.scsglobalservices.com). | | |

1.3.2 Additional RSB Documents Applied to Certification Process

| Title | Version | Date of Finalization |
|--|-------------|----------------------|
| Indicators of Compliance For the RSB EU RED Principles & Criteria (RSB-IND-11-001-20-001) | Version 2.1 | 13-12-2010 |
| RSB-Screening Tool (13-03-13-RSB-GUI-01-002-02) | Version 2.5 | 27-10-2015 |
| All standards employed are available on the websites of the Roundtable on Sustainable Biomaterials (http://rsb.org/sustainability/rsb-sustainability-standards/). Standards are also available, upon request, from SCS Global Services (www.scsglobalservices.com). | | |

2.0 EVALUATION PLANNING & PROCESS

2.1 Documentation Submitted by Operator

| | |
|--|---|
| Amyris' Account | Operator Number: 1245 (09-03-2016) |
| RSB Participating Operator Agreement | Signed in August 1 st , 2013 |
| RSB Screening Tool (Screening exercise) | Submission #574 (09-03-2016) Outputs: Section 1. Social Impacts (Principle 2) No Major Social Issues; No other social issues. RESA or ESIA was not required. Section 2: Country - Level Assessment (Principle 5) IDH of Brazil is 0.755 (2014). There are no current values to support that Amyris is not in a poverty region. Previous values are from 2010. Principle 5 will have to be addressed at next audit. Section 3: Food Security (Principle 6) Brazil has low (<5) food insecurity. Section 4: Biodiversity and Conservation (Principle 7) Conservation Impact Assessment is not needed. Section 5b: Water (Principle 9) No need for water quality monitoring, as part of Amyris' ESMP. Section 6: Land Rights (Principle 12) No demand. Section 7: Next Steps No demand. |
| RSB Self Evaluation | Submission #355 (09-03-2016) |
| Amyris' RSB Self Risk Assessment | Submission #437 (09-03-2016). Result: 8 = Low risk class. |
| Study of Activity Viability (Estudo de Viabilidade da Atividade - EVA) | Study submitted to the Environmental Agency in the process for licensing Amyris' Farnesene plant |
| Amyris' ESMP (EHS Operational Plan) – Amyris' RSB MANUAL | ID MA_CORP_REG_002 Revision 02, 60 pages. |
| EHSS Integrated Policy | Included in the Amyris' RSB MANUAL, item 5. "Política Integrada" (Integrated Policy), on page 7. |
| Solid Waste Management Plan | Amyris has the "instruction of work" IT_BRO_AMB_001 – "Solid Waste Management Plan" for the Brotas Industrial Unity. Also, it is included a summary of this "IT" in the Amyris' RSB MANUAL, in the item 13.3 Environmental Programs, sub-item Solid Waste, on page pages 55-56. |
| Environmental Social Management Plan - ESMP | Included as Chapter 14 in the Amyris' RSB Manual. |

| | |
|-------------------------------------|---|
| Negative Declaration of debts - NDD | NDD at level Federal, state and Municipality from Amyris and contractors. |
| Amyris' environmental permits | Operational License and Water permit. |

2.2 Audit Type and Determination

The following table summarizes the audit types for RSB Audits:

| | Low risk class | Medium risk class | High risk class |
|----------------------|----------------|---|-----------------|
| Certificate validity | 2 years | 2 years (pending successful surveillance audit) | 1 year |
| Main audit | Every 2 years | Every 2 years | Every year |
| Surveillance audit | - | Every year | - |

2.3 Audit Team

2.3.1 Determination of Audit Team

- Desk audits shall always be conducted by at minimum one (1) international lead auditor. The lead auditor appointed may include additional auditors and/or technical experts in the audit team if this is required by the extent of the audit.
- Field audits shall always be led by one (1) international lead auditor.
- The lead auditor appointed shall include at minimum one (1) local auditor in the audit team. The lead auditor appointed may include additional auditors and/or technical experts in the audit team if this is required by the extent of the audit.
- For field audits of participating operators in High Risk class shall always the lead auditor appointed shall appoint at minimum
 - one (1) local auditor and
 - one (1) technical expert on social issues to evaluate compliance with social requirements and the risk of non-compliance due to social issues and
 - one (1) technical expert on environmental issues to evaluate compliance with environmental requirements and the risk of non-compliance due to environmental issues.
 - The lead auditor appointed may include additional auditors and/or technical experts in the audit team if this is required by the extent of the audit.

2.3.2 Audit Team

| | | | |
|---|-----------------------|----------------------|--------------|
| Auditor Name: | Luciano Lisboa Junior | Auditor role: | Lead Auditor |
| Qualifications: Luciano Lisboa Junior is an auditor and consultant to the forest and agriculture products industry. He is also a lead auditor for the SCS Biofuels program and had conducted audits against the Roundtable on | | | |

Sustainable Biofuels (RSB) standard both as a national and international lead auditor. He is also certified as lead auditor in the following schemes: Bonsucro and International Sustainability and Carbon Certification (ISCC). Luciano has a Bachelor's Degree in Agronomy from "LUIZ DE QUEIRÓZ," University of São Paulo and a Doctorate in Forestry from North Carolina State University, Raleigh NC, USA. Mr. Lisboa has been conducting assessments for SCS since 2010.

| | | | |
|----------------------|---------|----------------------|---------|
| Auditor Name: | Juliana | Auditor role: | Auditor |
|----------------------|---------|----------------------|---------|

Qualifications:

Juliana is a chemical engineer who graduated from the Federal University of Uberlandia (UFU). She participated in research projects in the laboratory of particulate Systems of the UFU geared towards the separation of yeasts from alcoholic fermentation in hydrocyclones (2010-2011). She is a Lead auditor in the following programs: Roundtable on Sustainable Biomaterials (RSB) since 2013 and Bonsucro since 2014. She is also an auditor for the quality assurance program for the Renewable Fuel Standard (RFS) program of the United States since 2014.

2.4 Evaluation Schedule and Extent of Audit

2.4.1 Determination of Extent of Audit

| | |
|--|--|
| Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures | Amyris has only one production unit. |
| Participating Operator Risk Class | Low |
| Disputes or prior Non-compliances | None |
| Changes in scope since last evaluation | None |
| Total number of compliance claims | None. There are no sales of certified product. |

2.4.2 Evaluation Itinerary and Activities

2.5 Evaluation of Management System

| Time | Element/Activity | Personnel Involved |
|-------------------------------------|---|--------------------|
| Monday, 28th March, 2016 | Farnesene Plant, Brotas – SP. | |
| 7:00 – 9:00 | Travel from Campinas to Brotas, SP. | |
| | Opening Meeting (Farnesene Plant Office) <ul style="list-style-type: none"> - Introduction to certification program and assessment process to on-site staff - Review of scheduled activities | Management |

| | | |
|----------------------------------|--|---|
| 9:30 – 10:30 | <ul style="list-style-type: none"> - Review of RSB Procedures; confirm roles, responsibilities and processes. - Confirmation of certification scope - Client to outline production process and overall process flow. - Update from client and any social or environmental changes to the operation | |
| 10:30 – 12:30 | <p>Document Review and interview</p> <ul style="list-style-type: none"> - Review site map(s) - Review of all relevant business licenses: Environmental license, inspections of fire brigade, water use permits, etc.); - Review of tax documents; - Analysis of material balances and records; - Resource and energy usage, conservation and efficiency. | Management |
| 12:30 – 13:30 | <p>Lunch Break</p> <ul style="list-style-type: none"> - Working lunch off site | |
| 13:30 – 18:00 | <p>Site Walk-through the Farnesene Plant (operations / installations):</p> <ul style="list-style-type: none"> - Observe ponds/tanks/reservoir(s); - Observe artesian well(s) and water supply and controls; - Observe feed storage and feeding procedures; - Observe chemical storage and disposal; - Observe other critical control points; - Fermentation process; - Distillation and Final product; - OGM yeast inactivation; - Solid waste residues management; - Maintenance shop, laboratory, Stocking room. <p>Worker Interviews:</p> <ul style="list-style-type: none"> - Interviews with key staff by risk category in absence of supervisors - Provide assurance for confidentiality. - Working conditions: safety, training, salaries, complaints, equality issues, etc. - Check child labor and piece work payments. | <p>Production, Warehouseman, and Post Production Personnel</p> <p>Focus Typical Workers (gender/age) and Low paid, Seasonal, Temporary, and non-Supervisory Personnel</p> |
| Tuesday, 29th March, 2016 | Brotas – SP. | |
| 8:30 - 10:30 | <p>Interviews with Stakeholders (Public consultation)</p> <ul style="list-style-type: none"> - Listen to independent stakeholders: social, economic and environmental issues related to Amyris operations. | Stakeholders |
| 10:30 -12:00 | <ul style="list-style-type: none"> - Travel from Brotas to Campinas - SP. | |
| 12:00-13:00 | <p>Lunch Break</p> | |

| | | |
|------------------------------------|---|----------------------------------|
| | Working lunch off site | |
| 13:00-18:00 | Amyris' Office (Campinas) <ul style="list-style-type: none"> - RSB GHG emission calculation. - Collect of information for checklist of RSB indicators. Human Resources <ul style="list-style-type: none"> - Review of contracts, policies and grievance records - Training and occupational health and safety records - Records for freedom of association mechanism | Management representative for HR |
| Wednesday, 30th March, 2016 | Amyris' Office (Campinas) | |
| Both Auditors 8:30 – 10:30 | <ul style="list-style-type: none"> - Review and final delivery of documents - Elaboration of findings list and data for the audit report. | Management |
| Both Auditors 10:30 – 12:30 | Report Writing <ul style="list-style-type: none"> - Auditor(s) take time to consolidate notes and confirm audit findings | Auditors Alone |
| 12:30 – 14:00 | Lunch Break Working lunch off site | |
| Both Auditors 14:00 – 15:30 | Closing Meeting <ul style="list-style-type: none"> - Presentation of General audit finding - Presentation of all non-compliances and opportunities for improvement - Fix timetables for corrective actions - Reiterate SCS appeal policy - Ask for questions | Management Staff |

2.5.1 Methodology and Strategies Employed

SCS deploys interdisciplinary teams with expertise in agriculture, ecology, forestry, social sciences, natural resource economics, and other relevant fields to assess an Operator's compliance to RSB standards and policies. Evaluation methods include document and record review, implementing sampling strategies to visit a broad number of site and facility types, observation of implementation of management plans and policies, and stakeholder analysis. When there is more than one team member, team members may review parts of the standards based on their background and expertise. On the final day of an evaluation, team members convene to deliberate the findings of the assessment jointly. This involves an analysis of all relevant site observations, stakeholder comments, and reviewed documents and records. Where consensus between team members cannot be achieved due to lack of evidence, conflicting evidence or differences of interpretation of the standards, the team is instructed to report these in the certification decision section.

2.5.2 Capacity of the participating operator to implement its management systems

Amyris' operations follow the guidelines of the Safety work and Environment Policy and orientation of its ethics code. In order to achieve those guidelines, the responsibilities are divided among the areas of regulatory issues, health and safety, environment, quality and human resources. Also, the socio-environmental management plan has been prepared to meet legal requirements, regulations, social programs, health and safety of its employees. Written procedures and work instructions are available to ensure implementation of elements specified in RSB-STD-11-01-001 (V2.1): Consolidated RSB EU RED Principles & Criteria for Sustainable Biofuel Production & RSB-IND-11-001-20-001 (V 2.1): Indicators of Compliance For the RSB EU RED Principles & Criteria.

During the audit, evidences collected and analyzed thru documentation, field inspections and interviews indicated that Amyris has a high compliance with the requirements of the RSB Standards. Only a few issues remain to be addressed by the company. All of them are Opportunities for Improvement – OFI.

At Amyris, the RSB certification is recognized as a top management responsibility of the company and any other hierarchical levels, and compliance with the guidelines set forth herein demonstrates a commitment to compliance with the RSB Standard. In order to achieve and maintain RSB Certification, the responsibilities are divided among the areas of Regulatory Affairs, Health and Safety, Environment, Quality and Human Resources and feature implementation and maintenance of RSB certification. As of February 29th, 2016, Amyris had a total 92 employees (79 own and 13 contractors).

The auditor confirmed Amyris' Risk Class as "low".

2.5.3 Evaluation of RSB compliance claims and use of RSB trademarks

| Type (compliance claim, trademark use) | Description | Findings |
|---|-------------|----------|
| N/A | | |
| Amyris does not have certified product, as the feedstock supplier is not certified yet. | | |

2.6 Stakeholder Consultation Process

In accordance with SCS and RSB protocols, consultation with key stakeholders is an integral component of the evaluation process. Stakeholder consultation takes place prior to, concurrent with, and following field evaluations. The primary purpose of such consultation is to solicit input from affected parties as to the strengths and weaknesses of the Participating Operator's management system and operations, relative to the standard, and the nature of the interaction between the company and the surrounding communities.

Principal stakeholder groups are identified based upon the certification scope of the participating operator.

Stakeholder consultation activities are organized according to the requirements of the RSB. The table below summarizes the major comments received from stakeholders and the assessment team's response.

Where a stakeholder comment has triggered a subsequent investigation during the evaluation, the corresponding follow-up action and conclusions from SCS are noted below.

2.6.1 Summary of Stakeholder Comments and Responses from the Team, Where Applicable

| Stakeholder Comments | SCS Response |
|---|---|
| Economic Concerns | |
| None. | |
| Social Comments | |
| Amyris is of the best places to work in the region. | All workers interviewed have expressed great satisfaction in working in the company. Good working environment, flexible hours, health care, job security, training and educational stimulus were the most common reasons to employees' satisfaction. |
| Environmental Comments | |
| Amyris participates actively in the Municipal Council of Environment. | No comments. |
| The company operates within the legal parameters required for the use of genetically modified organisms. | <p>Amyris has the following licenses for use of GMO: CQB: 255/08; 01200001746/2008-11 process, approved by CTNBIO on 10/08/2012. Process n. 01200001746/2008-11, approved by CTNBIO on 10/08/2012. EPT "Technical report extracts" - 3388/2012 – 27/09/2012; Quality Certificate and Laboratory biosafety and industrial GMO use, Group 1 (Low Risk). EPT 3542/2012 – 21/12/2012 Laboratory and EPT 4661/2015 - 14/08/2015 Storage Tanks of GMO group 1: non hazard to human or animal health and environment.</p> |
| <p>The GMOS used by the company do not have characteristic pathogenic to humans and animals.</p> <p>Even so, the company is obliged to perform microbiological inactivation prior to disposal of the effluent (vinasse containing GMO yeast).</p> | <p>CTNBio classifies Amyris' GMO yeast as Group 1 (Low Risk).</p> <p>Amyris has procedures to Inactivation of vinasse - MOD_CORP_GQA_023; Control of inactivation of GMO yeast in vinasse and collects box - IT_CORP_MIC_042; Procedure for validating the inactivation system - IT_CORP_REG_005; Procedure for mitigation in case of GMO and their derivatives spills – IT_CORP_REG 006 Records, reports and interviews to employees attested that these procedures are implemented.</p> |

3.0 RISK ASSESSMENT RESULTS

| SCS Risk Assessment Results | Deviations from Operator Risk Assessment Results | Risk Factor Difference |
|-----------------------------|--|------------------------|
| low | low | none |

Due to the results of the Risk Assessment, the evaluation did not need to be rescheduled.

4.0 RESULTS OF THE EVALUATION

4.1 Equivalence between the Audit Team Evaluation and the Operator Self Evaluation

| Principle/ Subject Area | Summary of Audit Team Findings | Comparison to Operator Self Evaluation (Equivalence) | Added Risk (Yes/No) |
|--|--------------------------------|--|---------------------|
| P1: Legality | In compliance | In compliance | No |
| P2: Planning, Monitoring & Continuous Improvement | Substantially in compliance | In compliance | No |
| P3: Greenhouse Gases | In compliance | In compliance | No |
| P4: Human and Labor Rights | In compliance | In compliance | No |
| P5: Rural & Local Development | Not Applicable | Not Applicable | No |
| P6: Food Security | Not Applicable | Not Applicable | No |
| P7: Conservation | Not Applicable | Not Applicable | No |
| P8: Soil | Not Applicable | Not Applicable | No |
| P9: Water | Substantially in compliance | In compliance | No |
| P10: Air | In compliance | In compliance | No |
| P11: Technology | In compliance | In compliance | No |
| P12: Land Rights | Not Applicable | Not Applicable | No |
| FINAL RISK CLASS | Low | Low | - |

4.2 Process of Determining Compliance

4.2.1 Structure of Standard and Degrees of Non-Compliance

RSB-accredited biofuel standards consist of a three-level hierarchy: the principle, the criteria that correspond to that principle, and then the performance indicators that elaborate upon each criterion. Consistent with SCS Sustainable Biofuels Program evaluation protocols, the team collectively determines

whether or not the subject operation is in compliance with every applicable indicator of the relevant sustainable biofuel standard. Each non-compliance must be evaluated to determine whether it constitutes a major or minor non-compliance at the level of the associated criterion or sub-criterion. Not all indicators are equally important, and there is no simple numerical formula to determine whether an operation is in non-compliance. The team therefore must use their collective judgment to assess each criterion and determine if the Operator is in compliance. If the Operator is determined to be in non-compliance at the criterion level, then at least one of the applicable indicators must be in major non-compliance.

4.2.2 Interpretations of Major and Minor Non-compliances

Major Non-compliances, either alone or in combination with non-compliances of other applicable indicators, result (or are likely to result) in a fundamental failure to achieve the objectives of the relevant RSB Criterion. These non-compliances must be resolved or closed out before a certificate can be awarded. If Major NCs arise after an operation is certified, the timeframe for correcting these non-compliances is typically no more than three months. Certification is contingent on the certified FME’s response to the NCs within the stipulated time frame.

Minor Non-compliances are typically limited in scale or can be characterized as an unusual lapse in the system. Most minor NCs are the result of a non-conformance at the indicator-level. Non-compliances must be closed out within a specified time period of award of the certificate.

4.2.3 Major Non-compliances

| | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | No major NCs were issued to the Operator during the evaluation. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate. |
| <input type="checkbox"/> | Major NCs were issued to the Operator during the evaluation, which have all been closed to the satisfaction of the audit team and meet the requirements of the standards. Any minor CARs from previous surveillance audits have been reviewed and closed prior to the issuance of a certificate. |
| <input type="checkbox"/> | Major NCs were issued to the Operator during the evaluation and the Operator has not yet satisfactorily closed all major NCs. |

4.2.4 Non-compliances and Current Status

Instructions:

- Initial certification audit: list all Non-conformities and Opportunities for Improvement issued during this audit.
- Surveillance/Recertification: list status of minor NCs that were not closed in previous audit, then list new NCs/OFIs issued during this audit.

| Summary of Non-compliances and Current Status | | | | |
|---|------------------------|--|---|--|
| Non-compliance Number | Type of Non-compliance | Relevant RSB Standard & Indicator No. | Summary of Finding and Evidence Collected | Status of Non-compliance (Open/Closed) |
| 2016-01 | OFI | Indicator 2.a.i.3 of RSB-IND-11-001-20-001 (V 2.1) | Amyris' Environmental and Social Management Plan (ESMP) is missing several topics. | Open |
| 2016-02 | OFI | Indicator 2.b.i.1 of RSB-IND-11-001-20-001 (V 2.1) | Amyris has failed to include a variety of stakeholders in their stakeholder list. | Open |
| 2016-03 | OFI | Indicator 9.b.i.1 of RSB-IND-11-001-20-001 (V 2.1) | There is no Water Management Plan in the Environmental and Social Management Plan (ESMP). | Open |
| 2016-04 | Minor NC | Principle 5 of RSB-IND-11-001-20-001 (V 2.1) | Amyris has not yet taken measures to address Principal 5 as Brazil's HDI has only recently triggered the necessity for such procedures according to the screening tool. | Open |

4.2.5 New Non-compliances

| | | | |
|-------------|---|---|---------------------------------------|
| Select one: | <input type="checkbox"/> N/A Initial Evaluation | <input checked="" type="checkbox"/> New NC(s) | <input type="checkbox"/> No New NC(s) |
|-------------|---|---|---------------------------------------|

5.0 CERTIFICATION DECISION

| Certification Recommendation | | |
|--|---|---------------------|
| Operator to be awarded RSB certification subject to the minor non-compliances stated in Section 4.2.5. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | |
| The SCS evaluation team makes the above recommendation for certification based on the full and proper execution of the SCS Responsible Biofuels Program evaluation protocols. If certification is recommended, the Operator has satisfactorily demonstrated the following without exception: | | |
| Operator has addressed any Major NC(s) assigned during the evaluation. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | |
| Operator has demonstrated that their system of management is capable of ensuring that all of the requirements of the applicable standards are met over the sites and facilities covered by the scope of the evaluation. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | |
| Operator has demonstrated that the described system of management is being implemented consistently over the sites and facilities covered by the scope of the certificate. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | |
| Comments and/or details of any issue which was difficult and/or impossible to evaluate: | There were no difficult and/or impossible issues to evaluate. | |
| To be completed by Certification Decision-Making Entity | Certification decision by: | Neil Mendenhall |
| | Date of decision: For initial or continued certification | May 18, 2016 |
| | Surveillance schedule: | Annual surveillance |
| | Notes: | |

Sub Certificate Codes (if applicable)

| Legal Entity/Operational Site | Sub-Certificate Code |
|-------------------------------|----------------------|
| | |
| | |