

Public Summary - RSB Audit

Roundtable on Sustainable Biomaterials (RSB)



Control Union PRJ number	847526
RSB Participating Operator Nr.	1486
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Type of certificate:	Multi-site
Date of issue of certificate:	
Certificate expiry date	
Date audit:	30-08-2016 and 31-08-2016
Report finalized:	19-12-2016
Certificate issued by:	Control Union Certifications
Address:	Meeuwenlaan 4-6 8011 BZ Zwolle
Telephone:	0031 (0) 38 426 0100
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Certifier (contact person):	Frank van der Velden
Lead Auditor	Glenn Feryn

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1. Methodology

Control Union Certifications (CUC), is an international inspection and certification Audit and certification is carried out in conformity with the procedures as laid down Based on the information provided by the auditor and by the client, the certifier reviews and evaluates all information provided and certifies the products when all conditions of the regulations are fulfilled. The result of the evaluation is documented in this report and the applicable Annexes. Audit work by the auditor and certification by the certifier are clearly separated activities.

2. Report

This report is the result of the findings of a certification evaluation carried out by an independent lead auditor or team of experts representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate. The report is made in accordance with standard RSB-STD-11-001-70-003

The full report can only be reviewed by authorized Control Union and RSB staff.

In case of any complaint or appeal with respect to findings and certification decisions taken by CUC, a dispute protocol and form is available on the CUC website:

http://certifications.controlunion.com/publications.aspx?Program_ID=2
and can also be provided on request.

3. Certification scope

The scope assessment is inline which is agreed by the operator	Yes
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Participating sites

Participating site I	Phillips 66 7th Floor, 200-202 Aldersgate Street London, EC1 4HD
Address:	United Kingdom
Participating site II	Humber Refinery

Address:	Eastfield Road South Killingholme, North Lincolnshire DN40 3DW United Kingdom
Description of activities	Purchase/process of waste oil
Applicable standards	
Description	Number
Standard for participating operators	RSB-STD-11-001-30-001
Procedure on communication and claims	RSB-PRO-11-001-50-001
Consolidated RSB EU RED Standard for certification of biofuels based on waste and residues	RSB-STD-11-001-01-010
Principles & Criteria	RSB-STD-11-001-01-001
Standard for Traceability (Chain of Custody)	RSB-STD-11-001-20-001
4. Evaluation process	
4.1. Audit Team	
Glenn Feryn, RSB Lead Auditor	
4.2. Duration and justification audit	
Expected duration (in hours):	12 hours
Justification	Preparation time: 2 hours Audit at office: 5 hours Audit at refinery: 6 hours Reporting: 2 hours
Real Audit Duration (in hours):	15 hours
4.3. Description of the evaluation / evaluation	
30-08-2016: Opening meeting day 1 Evaluation of documented/company system 31-08-2016: Opening meeting day 2 Evaluation of documented/company system Reporting Review of NC's Closing Meeting	
4.4 People interviewed	
Jill Talbot, Doug Taylor, Sam Payne, Steve Danter, Sean Comrie, Scott Owen, Adam Goodman, Jenny Coulling	
4.5 Action taken by the organization prior to (re)issue of certificate	

P&C's are covered by the Environment & Social Management Plan which has been set up as preparation for the audit. A CoC manual has been set up including all relevant requirements.

5. Risk Analysis & Sampling plan

Risk analysis results

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures

1 office and 1 processing unit

Set-up Sampling plan

Participating Operator Risk Class
 Disputes or prior Non-compliances
 Changes in scope since last evaluation
 Total number of compliance claims

6

Risk assessment result

Results:

Deviations from Operator Risk Assessment

Risk Factor

Risk Score CUC: 6

- A. SUPPLY CHAIN
- B. SOCIO-ECONOMIC CONTEXT
- C. ENVIRONMENT
- . LABOR CONDITIONS AND OTHER SOCIAL ISSU
- E. OPERATIONAL/CERTIFICATION HISTORY
- F. LEGAL/JUDICIARY ISSUES

Operations visited

Phillips 66 Limited
 7th Floor, 200-202 Aldersgate Street
 London EC1A 4HD
 United Kingdom

Office

Date: 30-08-2016

Humber refinery
 Eastfield Road
 South Killingholme
 North Lincolnshire
 DN40 3DW

Processing unit

Date: 31-08-2016

6. Observations

6.1 non-conformities

Non-compliance: failure to comply with one or more requirements specified in the RSB standards and/or the RSB certification systems

6.1.1 Evaluation of Non-conformities

CUC shall monitor and evaluate all actions taken by the participating operator to address non-compliances finalization of the evaluation report CUC shall evaluate at minimum those aspects of the operation(s) of

CUC shall ensure that recommendations to close major non-compliances, and whether major non-compliances were corrected, rectified or otherwise brought into compliance with the RSB standards and the RSB certification systems, together with the respective certification reports are submitted to peer review and duly decided by its certification decision entity.

6.1.2. Identified non-conformities during present evaluation

Non-compliance (NCs) identified during this evaluation are listed below. In case the organization has Each element (requirement) of the Standard is evaluated by means of a checklist. Please refer to the annexed checklists for details.

6.1.3. Assessment of non-compliance raised during and after previous audit report

Check if section is not applicable, i.e. it concerns a main assessment/initial audit, or no NCs were raised

Below an overview is given of the actions taken by the client/certificate holder to correct any NC's

For each NC a description of its current status is given. In the case a minor NC has not been settled within NC1

Category	Minor
Description of requirement:	2. Training The PO has a system in place to establish and maintain necessary knowledge, competencies, skills and systems for complying with RSB Standards and procedures
Description of non-conformity:	The training requirements are not included in the CoC manual
Status:	Closed

6.2 Certification decision

6.2.1. Statement

The Organization has been (re-)assessed by CUC according to the standard(s) described in Section 6 below. In the

The Organization is in conformance with the certification requirements (all major NC's are closed), and (re-

x

The Organization is NOT in conformance with the certification requirements (all major NC's are not closed),

For all other information please use fvdvelden@controlunion.com and/or the following address:

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