



DNV

DNV RSB Public summary

Certification evaluation	Suspended Y/N: No Re-scheduled Y/N: No
Certificate code:	No: 130798-2013-RSB-SWE-DNV
In case of sub-certificates (a three letter code)	No: NA
Date of issue of the RSB certificate:	2013-02-12
Date of expiry of the RSB certificate:	2014-08-12

Description of requirement RSB	Statement
Certification body: DNV Certification AB Company: Address, telephone: Contact person, e-mail: RSB participant code: Certification scope: Summary of Evaluation planning DNV lead auditor and audit team	Project number: PRJC-394969-2012-AST-SWE Dynamic Fuels, Llc 36187 Hwy, 30, Geismar, Louisiana 70734, USA 225.744.1300 Troy Harris t.harris@dynamicfuelslic.com PO number 630 Hydrotreating fats, oils, and greases to produce renewable diesel. Bio-renewable feeds such as animal fats and spent vegetable oils Audit type: Desk audit 1 Audit team: Elisabet Bröms Sterner Lead Auditor Extent of audit: 1 md on-site including time for preparation and report.

Description of requirement RSB	Statement
Summary of observations and substantiating evidence collected during evaluation	<p>The Principles and Criteria were evaluated both in documentation (reports, assessments, procedures and instructions) and on-site at the plantation area.</p> <p>Relevant parts of the checklists according to the RSB Principles and Criteria (RSB-STD-01-001 ver 2.0) and RSB EU RED PC (RSB-STD-11-001 ver 2.0) have been used during the audit.</p> <p>Relevant parts of the standards RSB GHG Calculation Methodology (RSB-STD-01-003-01 ver 2.0), RSB Standard for participating operators (RSB-STD-30-001 ver 2.0 and 11-001-30-001 ver 2.0), Standard for Risk Management (RSB-STD-60-001 ver 1.0 and 11-001-60-001 ver 2.0), RSB Standard on communication and claims (RSB-STD-50-001 ver 1.0 and 11-001-50-001 ver 2.0) and RSB "Mass balance of product" COC standard (RSB-STD-20-004 ver 2.0 and 11-001-20-004 ver 2.0) are applicable.</p> <p>Checkpoints evaluated: Changes in the production, ESMP and chain of custody system, training, monitoring and internal audits, GHG calculation, work-related accidents, purchase of raw material for certified products (waste), sales of products, claims and usage of the RSB trademark, complaints.</p> <p>Evaluation technique: Document review.</p> <p>All non-conformities from the Initial audit were closed.</p> <p>No new non-conformities were found during the desk audit.</p> <p>Risk class by the PO: 2,81 at the initial audit</p> <p>Risk class by DNV: 2,51 before the result of the initial audit</p>
Summary of Risk assessment	<p>Risk class assigned: 2,01 = 2 after the initial audit</p>
Risk class assigned by DNV based on risk assessment and evaluation done by DNV	
Details from consultation of social, environment and economic stakeholders.	<p>Stakeholders: Information letter to stakeholders where sent out before the Initial audit on 28 November 2012.</p> <p>Stakeholders consulted were Louisiana Department of Natural Resources, Ascension Economic Development Corporation, Greater Baton Rouge Clean Cities Coalition, Ascension Parish.</p> <p>As the screening show no significant impact the list is not so extended.</p> <p>Comments from stakeholders, evidence: No comments have been received from any of the stakeholders on the letter sent to them.</p>
Details of any issue which was difficult and/or impossible to evaluate	No such issues.
Details of the <i>non-compliances</i> , and whether (and why) these <i>non-compliances</i> were classified as <i>major noncompliances</i> ;	See List of findings
Equivalence between the evaluation by the audit team and the self evaluation.	Extent of equivalence at the initial audit: 96,5% (-0,5 risk classes)
Reference to the RSB database of registered certificates: More information about Roundtable on Sustainable Biofuels:	http://rsbepfl.ch/
http://www.rsb-services.org/	http://www.rsb-services.org/
Certification body: DNV Certification AB, Box 6046, 171 06 Solna, Sweden Visit adress: Hemvärmsgatan 9 Telephone +46 8 587 940 00 Fax +44 8 651 70 43 e-mail: dnvcert.sweden@dnv.com www.detnorskeveritas.se	

Description of requirement RSB	Statement
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This RSB certificate itself does not constitute evidence that a particular product supplied by the certificate holder is certified to RSB standards. Products offered, shipped or sold by the certificate holder can only be considered covered by the scope of this certificate when the required RSB claim is clearly stated on-product.



List of Findings

Audit End Date	Audit Type	No.	Status	Description and Consequence	Category of Finding	Process / Area / Department	Reference to RSB Standard	Internal and external impact	DNV-auditor's Initials	Corrective Action to Eliminate Basic Cause of Nonconformity	DNV-auditor's Verification of Corrective Action and Effectiveness	Closing DNV Auditor	Date for Closure
2013-01-09	IA	1	Closed	DOT and Hazardous Waste was not identified on the list of relevant laws and regulations. While PSM is listed in Section 1, other OSHA requirements are not listed. Observed the Site Safety Assessment dated November 15, 2011 which verified compliance to the PSM regulation. On some frequency, the site should have an evaluation of compliance to ALL of its legal requirements. DMR records indicated that they are in compliance with water regulations. Reviewed Leak records to show that they are in compliance to the VOC emission values.	Minor NC		RSB-STD-01-001: Principle 1	Internal	SW	The DOT and Hazardous Waste has now been defined in the ESMP. We have a document that outlines when permits must be renewed and timelines for self evaluations/ audits. (Complete)	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. ESMP dated 2012/12/15	EBS	2013-12-27
2013-01-09	IA	2	Closed	So far there has not been any need for negotiations for the start up of the facility. The company takes part in different stakeholder meetings regularly. There is a need for more knowledge of the Stakeholder process required by RSB (training for management in the stakeholder part in Impact Assessment Guidelines (RSB--GUI--01--002--01))	Observation	Stakeholders	RSB-STD-01-001: 2b	Internal and external	EBS	We are working on training staff members on the required Impact Assessment Guidelines. (Target Completion date 3/1/2013)	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. Information provided by DF in checklist for desk audit.	EBS	2013-12-27
2013-01-09	IA	3	Closed	A list of stakeholders were sent to DNV before the audit, but it is not included in the ESMP. A list of the regular meetings is also missing.	Minor NC	Stakeholders	RSB-STD-01-001: 2b	Internal	EBS	Stakeholder list is being added to the ESMP along with meeting minutes and role. (Target Completion Date 3/31/2013)	Action accepted, will be followed up next audit/ EBS 130204 Checked GAMA member list dated 12/31/13	EBS	2013-12-27
2013-01-09	IA	4	Closed	Calculation done in the RSB webtool. Checked input data for all parts of the calculation. The company could show tracability for all data back to measurements, invoices or calculation. Calculation for EU RED is missing. And a procedure for keeping the calculation updated is missing.	Major NC	GHG	RSB-STD-01-001: 3b	Internal and external	EBS	Calculation done also for EU RED	Checked printout from the webtool for GHG calculation for EU RED.	EBS	2013-01-29
2013-01-09	IA	5	Closed	Observed lab pack waste profile. Observed WW treatment permits. Excess fuel gases are utilized in the process for heating and steam. Excess fuel gas that is generated during upset conditions e.g., start up and shut down sent to flare to be incinerated. Contaminated soils are sent to land farm. Waste profiles are not listed on the ESMP. Waste profiles were observed for solid waste. SPCC, SWPPP and LDAR were observed.	Minor NC	Waste	RSB-STD-01-001: 11e	Internal	EBS	Hazardous Waste Profiles have been added to the ESMP (Complete)	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. ESMP dated 2012/12/15	EBS	2013-12-27
2013-01-09	IA	6	Closed	Management representative for RSB COC is appointed and person appointed is aware of this, but the appointment is not documented in the management system.	Minor NC	COC	RSB-STD-20-001: 1.1.2	Internal	EBS	We are in the process of documenting the management representative into the management system which should be completed by 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27



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2013-01-09	IA	7	Closed	Not all procedures are documented, but all production related procedures and instructions checked are documented.	Observation	COC	RSB-STD-20-001: 1.2.1	Internal	EBS	We are in the process of documenting and implementing procedures which should be completed by 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	8	Closed	Responsible persons are appointed but responsibilities for the different procedures are not documented..	Minor NC	COC	RSB-STD-20-001: 1.2.2	Internal	EBS	We are in the process of documenting and implementing procedures which target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	9	Closed	Retention time for records (5 years) is not documented in any procedure.	Minor NC	COC	RSB-STD-20-001: 1.4.2	Internal	EBS	We are in the process of documenting and implementing procedures target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	10	Closed	For all product types produced the tracking model is Segregation of product, but the product types and tracking model used in not described in the manual.	Minor NC	COC	RSB-STD-20-001: 2.1.2	Internal	EBS	We are in the process of documenting and implementing procedures target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. Doc 01-RSB-0200, Rev A	EBS	2013-12-27
2013-01-09	IA	11	Closed	Name of the staff person who recieved the raw material is not Included in the checklist for check of incoming raw material.	Minor NC	COC	RSB-STD-20-001: 4.5.8	Internal	EBS	We are in the process of documenting and implementing procedures target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	12	Closed	Name of the staff person verified the characteristics is not Included in the checklist for check of incoming raw material.	Minor NC	COC	RSB-STD-20-001: 4.5.9	Internal	EBS	We are in the process of documenting and implementing procedures target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27



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2013-01-09	IA	13	Closed	Conversion factors are calculated in xls-file. The file is not protected from changes.	Observation	COC	RSB-STD-20-001: 5.2.6	Internal and external	EBS	We are in the process of documenting and implementing procedures target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	14	Closed	The person is identified, and was interviewed during the audit, but this responsibility is not documented.	Minor NC	COC	RSB-STD-20-001: 5.2.9	Internal	EBS	We are in the process of documenting this information target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	15	Closed	A template for invoicing was shown during the audit. Claim (short and long) is included in the Bill of lading. The long claim is referring to Biomass instead of Biofuel. The claim needs to be changed to Biofuel.	Minor NC	COC	RSB-STD-20-001: 6.2.10, 11-001-20-001: 6.2.10, RSB-50-001	External	EBS	We are in the process of documenting and implementing a template for invoicing target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	16	Closed	Name and address of the first processing site within the customer's operation, to which the RSB and RSB EU RED compliant product is forwarded; is not documented on documentation to customer	Minor NC	COC	RSB-STD-20-001: 6.2.2, 11-001-20-001: 6.2.2	External	EBS	We are in the process of documenting this information target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	17	Closed	A total list of all documents that need to be sent to the customer is not described in the manual.	Minor NC	COC	RSB-STD-20-001: 6.2.11, 11-001-20-001: 6.2.11	Internal and external	EBS	We are in the process of creating a list and implementing procedures target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	18	Closed	The name of the staff person who verified the correctness of the documents sent with the product is not documented in the manual	Minor NC	COC	RSB-STD-20-001: 6.2.12, 11-001-20-001: 6.2.12	Internal and external	EBS	We are in the process of documenting this information target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27



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2013-01-09	IA	19	Closed	Most of the information is today delivered to the customer in a single document, but there is not a complete template in place.	Minor NC	COC	RSB-STD-20-001: 6.4.1, 11-001-20-001: 6.4.1	External	EBS	We are in the process of documenting said template and implementing procedures target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	20	Closed	A template for invoicing was shown during the audit. Claim (short and long) is included in the Bill of lading. It needs to be also on the invoice to the customer.	Minor NC	COC	RSB-STD-20-001: 6.4.2, 11-001-20-001: 6.4.2	External	EBS	We are in the process of creating said template and implementing procedures target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	21	Closed	The company was informed about the requirement to also provide the information under 6.1 to RSB SOE on request. It is not documented in the manual.	Minor NC	COC	RSB-STD-20-001: 6.5, 11-001-20-001: 6.5	Internal and external	EBS	We are in the process of documenting and implementing procedures target completion date 04/01/2013	Action accepted, will be followed up next audit/ EBS 130204 Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.	EBS	2013-12-27
2013-01-09	IA	22	Closed	Agreement signed by SkyNRG. But need separate agreement, if a separate certificate shall be issued.	Major NC	Agreement	RSB-STD-30-001: 2.2.7	Internal and external	EBS	This item is complete	Seen signed agreement dated 130111.	EBS	2013-01-31