



**DNV**

## DNV RSB Public summary

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| Certification evaluation                             | Suspended Y/N: No<br>Re-scheduled Y/N: No |
| Certificate code:                                    | No: 130798-2013-RSB-SWE-DNV               |
| In case of sub-certificates<br>(a three letter code) | No: NA                                    |
| Date of issue of the RSB certificate:                | 2013-02-12                                |
| Date of expiry of the RSB certificate:               | 2014-08-12                                |

| Description of requirement RSB   | Statement  |
|--|--|
| Certification body: DNV Certification AB<br>Company:<br>Address, telephone:<br><br>Contact person, e-mail:<br><br>RSB participant code:<br>Certification scope:<br><br>Summary of Evaluation planning<br>DNV lead auditor and audit team | Project number: PRJC-394969-2012-AST-SWE<br>Dynamic Fuels, Llc<br>36187 Hwy, 30, Geismar, Louisiana 70734, USA<br>225.744.1300<br>Troy Harris<br>t.harris@dynamicfuelslic.com<br>PO number 630<br>Hydrotreating fats, oils, and greases to produce renewable diesel. Bio-renewable feeds such as animal fats and spent vegetable oils<br>Audit type: Desk audit 1<br>Audit team: Elisabet Bröms Sterner Lead Auditor<br>Extent of audit: 1 md on-site including time for preparation and report. |

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| <p>Summary of observations and substantiating evidence collected during evaluation</p>   | <p>The Principles and Criteria were evaluated both in documentation (reports, assessments, procedures and instructions) and on-site at the plantation area.</p> <p>Relevant parts of the checklists according to the RSB Principles and Criteria (RSB-STD-01-001 ver 2.0) and RSB EU RED PC (RSB-STD-11-001 ver 2.0) have been used during the audit.</p> <p>Relevant parts of the standards RSB GHG Calculation Methodology (RSB-STD-01-003-01 ver 2.0), RSB Standard for participating operators (RSB-STD-30-001 ver 2.0 and 11-001-30-001 ver 2.0), Standard for Risk Management (RSB-STD-60-001 ver 1.0 and 11-001-60-001 ver 2.0), RSB Standard on communication and claims (RSB-STD-50-001 ver 1.0 and 11-001-50-001 ver 2.0) and RSB "Mass balance of product" COC standard (RSB-STD-20-004 ver 2.0 and 11-001-20-004 ver 2.0) are applicable.</p> <p>Checkpoints evaluated: Changes in the production, ESMP and chain of custody system, training, monitoring and internal audits, GHG calculation, work-related accidents, purchase of raw material for certified products (waste), sales of products, claims and usage of the RSB trademark, complaints.</p> <p>Evaluation technique: Document review.</p> <p>All non-conformities from the Initial audit were closed.</p> <p>No new non-conformities were found during the desk audit.</p> |
| <p>Summary of Risk assessment</p>  | <p>Risk class by the PO: 2,81 at the initial audit</p> <p>Risk class by DNV: 2,51 before the result of the initial audit</p>  |
| <p>Risk class assigned by DNV based on risk assessment and evaluation done by DNV</p>  | <p><b>Risk class assigned: 2,01 = 2 after the initial audit</b></p>   |
| <p>Details from consultation of social, environment and economic stakeholders.</p>   | <p>Stakeholders: Information letter to stakeholders where sent out before the Initial audit on 28 November 2012. Stakeholders consulted were Louisiana Department of Natural Resources, Ascension Economic Development Corporation, Greater Baton Rouge Clean Cities Coalition, Ascension Parish.</p> <p>As the screening show no significant impact the list is not so extended.</p> <p>Comments from stakeholders, evidence: No comments have been recieved from any of the stakeholders on the letter sent to them.</p>  |
| <p>Details of any issue which was difficult and/or impossible to evaluate</p>  | <p>No such issues.</p>  |
| <p>Details of the <i>non-compliances</i>, and whether (and why) these <i>non-compliances</i> were classified as <i>major noncompliances</i>;</p>   | <p>See List of findings</p>   |
| <p>Equivalence between the evaluation by the audit team and the self evaluation.</p>   | <p>Extent of equivalence at the initial audit: 96,5% (-0,5 risk classes)</p>  |
| <p>Reference to the RSB database of registered certificates:<br/>More information about Roundtable on Sustainable Biofuels:<br/><a href="http://rsb.epfl.ch/">http://rsb.epfl.ch/</a><br/><a href="http://www.rsb-services.org/">http://www.rsb-services.org/</a></p>  | <p><a href="http://rsbervices.org/certificates">http://rsbervices.org/certificates</a></p>  |
| <p>Certification body:<br/>DNV Certification AB, Box 6046,<br/>171 06 Solna, Sweden<br/>Visit adress: Hemvärmsgatan 9<br/>Telephone +46 8 587 940 00<br/>Fax +44 8 651 70 43<br/>e-mail: <a href="mailto:dnvcert.sweden@dnv.com">dnvcert.sweden@dnv.com</a><br/><a href="http://www.detnorskeveritas.se">www.detnorskeveritas.se</a></p> |   |

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This RSB certificate itself does not constitute evidence that a particular product supplied by the certificate holder is certified to RSB standards. Products offered, shipped or sold by the certificate holder can only be considered covered by the scope of this certificate when the required RSB claim is clearly stated on-product.



## List of Findings

| Audit End Date | Audit Type | No. | Status | Description and Consequence   | Category of Finding | Process / Area / Department | Reference to RSB Standard   | Internal and external impact | DNV-auditor's Initials | Corrective Action to Eliminate Basic Cause of Nonconformity   | DNV-auditor's Verification of Corrective Action and Effectiveness   | Closing DNV Auditor | Date for Closure |
|----------------|------------|-----|--------|---|---------------------|-----------------------------|-----------------------------|------------------------------|------------------------|---|---|---------------------|------------------|
| 2013-01-09     | IA         | 1   | Closed | DOT and Hazardous Waste was not identified on the list of relevant laws and regulations. While PSM is listed in Section 1, other OSHA requirements are not listed. Observed the Site Safety Assessment dated November 15, 2011 which verified compliance to the PSM regulation. On some frequency, the site should have an evaluation of compliance to ALL of its legal requirements. DMR records indicated that they are in compliance with water regulations. Reviewed Leak records to show that they are in compliance to the VOC emission values. | Minor NC            |                             | RSB-STD-01-001: Principle 1 | Internal                     | SW                     | The DOT and Hazardous Waste has now been defined in the ESMP. We have a document that outlines when permits must be renewed and timelines for self evaluations/ audits. <b>(Complete)</b> | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. ESMP dated 2012/12/15                                   | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 2   | Closed | So far there has not been any need for negotiations for the start up of the facility. The company takes part in different stakeholder meetings regularly. There is a need for more knowledge of the Stakeholder process required by RSB (training for management in the stakeholder part in Impact Assessment Guidelines (RSB--GUI--01--002--01))   | Observation         | Stakeholders                | RSB-STD-01-001: 2b          | Internal and external        | EBS                    | We are working on training staff members on the required Impact Assessment Guidelines. <b>(Target Completion date 3/1/2013)</b>   | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. Information provided by DF in checklist for desk audit. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 3   | Closed | A list of stakeholders were sent to DNV before the audit, but it is not included in the ESMP. A list of the regular meetings is also missing.   | Minor NC            | Stakeholders                | RSB-STD-01-001: 2b          | Internal                     | EBS                    | Stakeholder list is being added to the ESMP along with meeting minutes and role. <b>(Target Completion Date 3/31/2013)</b>  | Action accepted, will be followed up next audit/ EBS 130204<br>Checked GAMA member list dated 12/31/13  | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 4   | Closed | Calculation done in the RSB webtool. Checked input data for all parts of the calculation. The company could show tracability for all data back to measurements, invoices or calculation. Calculation for EU RED is missing. And a procedure for keeping the calculation updated is missing.   | Major NC            | GHG                         | RSB-STD-01-001: 3b          | Internal and external        | EBS                    | Calculation done also for EU RED  | Checked printout from the webtool for GHG calculation for EU RED.   | EBS                 | 2013-01-29       |
| 2013-01-09     | IA         | 5   | Closed | Observed lab pack waste profile. Observed WW treatment permits. Excess fuel gases are utilized in the process for heating and steam. Excess fuel gas that is generated during upset conditions e.g., start up and shut down sent to flare to be incinerated. Contaminated soils are sent to land farm. Waste profiles are not listed on the ESMP. Waste profiles were observed for solid waste. SPCC, SWPPP and LDAR were observed.   | Minor NC            | Waste                       | RSB-STD-01-001: 11e         | Internal                     | EBS                    | Hazardous Waste Profiles have been added to the ESMP <b>(Complete)</b>  | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. ESMP dated 2012/12/15                                   | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 6   | Closed | Management representative for RSB COC is appointed and person appointed is aware of this, but the appointment is not documented in the management system.   | Minor NC            | COC                         | RSB-STD-20-001: 1.1.2       | Internal                     | EBS                    | We are in the process of documenting the management representative into the management system which should be completed by 04/01/2013   | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A.                | EBS                 | 2013-12-27       |



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| 2013-01-09     | IA         | 7   | Closed | Not all procedures are documented, but all production related procedures and instructions checked are documented.  | Observation         | COC                         | RSB-STD-20-001: 1.2.1     | Internal                     | EBS                    | We are in the process of documenting and implementing procedures which should be completed by 04/01/2013 | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 8   | Closed | Responsible persons are appointed but responsibilities for the different procedures are not documented..   | Minor NC            | COC                         | RSB-STD-20-001: 1.2.2     | Internal                     | EBS                    | We are in the process of documenting and implementing procedures which target completion date 04/01/2013 | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 9   | Closed | Retention time for records (5 years) is not documented in any procedure.   | Minor NC            | COC                         | RSB-STD-20-001: 1.4.2     | Internal                     | EBS                    | We are in the process of documenting and implementing procedures target completion date 04/01/2013       | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 10  | Closed | For all product types produced the tracking model is Segregation of product, but the product types and tracking model used in not described in the manual. | Minor NC            | COC                         | RSB-STD-20-001: 2.1.2     | Internal                     | EBS                    | We are in the process of documenting and implementing procedures target completion date 04/01/2013       | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. Doc 01-RSB-0200, Rev A                   | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 11  | Closed | Name of the staff person who recieved the raw material is not Included in the checklist for check of incoming raw material.                                | Minor NC            | COC                         | RSB-STD-20-001: 4.5.8     | Internal                     | EBS                    | We are in the process of documenting and implementing procedures target completion date 04/01/2013       | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 12  | Closed | Name of the staff person verified the characteristics is not Included in the checklist for check of incoming raw material.                                 | Minor NC            | COC                         | RSB-STD-20-001: 4.5.9     | Internal                     | EBS                    | We are in the process of documenting and implementing procedures target completion date 04/01/2013       | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |



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| 2013-01-09     | IA         | 13  | Closed | Conversion factors are calculated in xls-file. The file is not protected from changes.  | Observation         | COC                         | RSB-STD-20-001: 5.2.6                                     | Internal and external        | EBS                    | We are in the process of documenting and implementing procedures target completion date 04/01/2013               | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 14  | Closed | The person is identified, and was interviewed during the audit, but this responsibility is not documented.  | Minor NC            | COC                         | RSB-STD-20-001: 5.2.9                                     | Internal                     | EBS                    | We are in the process of documenting this information target completion date 04/01/2013                          | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 15  | Closed | A template for invoicing was shown during the audit. Claim (short and long) is included in the Bill of lading. The long claim is referring to Biomass instead of Biofuel. The claim needs to be changed to Biofuel. | Minor NC            | COC                         | RSB-STD-20-001: 6.2.10, 11-001-20-001: 6.2.10, RSB-50-001 | External                     | EBS                    | We are in the process of documenting and implementing a template for invoicing target completion date 04/01/2013 | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 16  | Closed | Name and address of the first processing site within the customer's operation, to which the RSB and RSB EU RED compliant product is forwarded; is not documented on documentation to customer                       | Minor NC            | COC                         | RSB-STD-20-001: 6.2.2, 11-001-20-001: 6.2.2               | External                     | EBS                    | We are in the process of documenting this information target completion date 04/01/2013                          | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 17  | Closed | A total list of all documents that need to be sent to the customer is not described in the manual.  | Minor NC            | COC                         | RSB-STD-20-001: 6.2.11, 11-001-20-001: 6.2.11             | Internal and external        | EBS                    | We are in the process of creating a list and implementing procedures target completion date 04/01/2013           | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 18  | Closed | The name of the staff person who verified the correctness of the documents sent with the product is not documented in the manual  | Minor NC            | COC                         | RSB-STD-20-001: 6.2.12, 11-001-20-001: 6.2.12             | Internal and external        | EBS                    | We are in the process of documenting this information target completion date 04/01/2013                          | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |



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| 2013-01-09     | IA         | 19  | Closed | Most of the information is today delivered to the customer in a single document, but there is not a complete template in place.                                    | Minor NC            | COC                         | RSB-STD-20-001: 6.4.1, 11-001-20-001: 6.4.1 | External                     | EBS                    | We are in the process of documenting said template and implementing procedures target completion date 04/01/2013 | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 20  | Closed | A template for invoicing was shown during the audit. Claim (short and long) is included in the Bill of lading. It needs to be also on the invoice to the customer. | Minor NC            | COC                         | RSB-STD-20-001: 6.4.2, 11-001-20-001: 6.4.2 | External                     | EBS                    | We are in the process of creating said template and implementing procedures target completion date 04/01/2013    | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 21  | Closed | The company was informed about the requirement to also provide the information under 6.1 to RSB SOE on request. It is not documented in the manual.                | Minor NC            | COC                         | RSB-STD-20-001: 6.5, 11-001-20-001: 6.5     | Internal and external        | EBS                    | We are in the process of documenting and implementing procedures target completion date 04/01/2013               | Action accepted, will be followed up next audit/ EBS 130204<br>Checked at desk audit. DF Geismar Facility 010-RSB-0100, Rev A. | EBS                 | 2013-12-27       |
| 2013-01-09     | IA         | 22  | Closed | Agreement signed by SkyNRG. But need separate agreement, if a separate certificate shall be issued.  | Major NC            | Agreement                   | RSB-STD-30-001: 2.2.7                       | Internal and external        | EBS                    | This item is complete  | Seen signed agreement dated 130111.  | EBS                 | 2013-01-31       |