

Public Summary - RSB Audit

Roundtable on Sustainable Biomaterials (RSB)



Control Union PRJ number	842896
RSB Participating Operator Nr.	
Name contact person:	Mr. Foued Tlijani
Address organization:	Z.I EL M'ghira 3 Lot 36 Rue Tozeur Fouchana 2082 Ben Arous Tunisie
Telephone:	0021671409461
e-mail:	foued.tlijani@biodes-sa.com
Type of certificate:	Multi-site
Date of issue of certificate:	
Certificate expiry date	
Date audit:	07-10-2015 and 08-10-2015
Report finalized:	15-10-2015
Certificate issued by:	Control Union Certifications
Address:	Meeuwenlaan 4-6 8011 BZ Zwolle
Telephone:	0031 (0) 38 426 0100
Fax:	0031 (0) 38 423 7040

Email: certification@controlunion.com
Website: certification.controlunion.com

Certifier (contact person): Frank van der Velden

Lead Auditor: Glenn Feryn

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1. Methodology

Control Union Certifications (CUC), is an international inspection and certification Audit and certification is carried out in conformity with the procedures as laid down Based on the information provided by the auditor and by the client, the certifier reviews and evaluates all information provided and certifies the products when all conditions of the regulations are fulfilled. The result of the evaluation is documented in this report and the applicable Annexes. Audit work by the auditor and certification by the certifier are clearly separated activities.

2. Report

This report is the result of the findings of a certification evaluation carried out by an independent lead auditor or team of experts representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate. The report is made in accordance with standard RSB-STD-11-001-70-003

The full report can only be reviewed by authorized Control Union and RSB staff.

In case of any complaint or appeal with respect to findings and certification decisions taken by CUC, a dispute protocol and form is available on the CUC website:

http://certifications.controlunion.com/publications.aspx?Program_ID=2

and can also be provided on request.

3. Certification scope

The scope assessment is inline which is agreed by the operator	Yes
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Participating sites

Participating site I	BIODEX-SA
Address:	Z.I EL M'ghira 3 Lot 36 Rue Tozeur Fouchana 2082 Ben Arous Tunisie

Type of activities

Description of activities	Purchase of UCO from collectors within the RSB scope Conversion of UCO into UCOME
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Sale of UCOME

Applicable standards

<i>Description</i>	<i>Number</i>
Standard for participating operators	RSB-STD-11-001-30-001
Procedure on communication and claims	RSB-PRO-11-001-50-001
Standard for risk management	RSB-STD-11-001-60-00
RSB Standard for certification of biofuels based on end-of-life-products, residues and by-products	RSB-STD-01-010
Principles & Criteria	RSB-STD-11-001-01-001
RSB Standard for EU market access	RSB-STD-11-001
Standard for Traceability (Chain of Custody)	RSB-STD-11-001-20-001

4. Evaluation process

4.1. Audit Team

Glenn Feryn, RSB Lead Auditor

4.2. Duration and justification audit

Expected duration (in hours):

19 hours

Justification

Preparation time: 2 hours, audit at biodiesel plant: 8 hours, site visits collectors: 6 hours, reporting: 3 hours

Real Audit Duration (in hours):

18 hours

4.3. Description of the evaluation / evaluation

September 2015: Reviewing pre-audit tools via desk audit

07-10-2015: Opening meeting
Evaluation of documented/company system
Field inspection / Site visit
audit closure 1st audit day

08-10-2015: Opening meeting day 2
Site visits at collectors
Reporting
Review of NC's
Closing Meeting

4.4 People interviewed

Mr. Kamel BEN HAMOUDA (Factory Manager), Mr. Foued Tlijani (Production department manager), Pr. Dr. Mounir Bezzarga (CEO), Mrs. Rania Louati (Financial department), Mr. Salah Jeridi (Procurement department), Mr. Mounir Mathlouti (CEO SNCD), Mr. Sedir Jalel (Collector SNCD), Bel Hadj AHmed Maha (secretary SNCD), Mr. Gaalal Bader Eddine (collector SNCD), Mr. Eglassi Mouhamed (collector SNCD), Mr. Oussama Chihi (AL Baraka), Mr. Salah Chouahli (Salah chaouchi)

4.5 Action taken by the organization prior to (re)issue of certificate

A risk assessment and screening exercise has been done. Relevant stakeholders have been consulted. Via an external company a safety study has been performed and a operation plan has been set up.

5. Risk Analysis & Sampling plan

Risk analysis results

Total number of subsidiaries, branch offices, affiliated entities, external third parties contracted or otherwise engaged, operational structures, sites, facilities, processing and production units, and supply chain structures

Biodex as conversion unit (office and biodiesel plant at same address), 8 UCO collectors

Set-up Sampling plan

Participating Operator Risk Class	1,63
Disputes or prior Non-compliances	N/A
Changes in scope since last evaluation	N/A
Total number of compliance claims	N/A

Risk assessment result

Results:	Deviations from Operator Risk Assessment	Risk Factor
Risk Class CUC: 1.86	A. SUPPLY CHAIN B. SOCIO-ECONOMIC CONTEXT C. ENVIRONMENT D. LABOR CONDITIONS AND OTHER SOCIAL ISSUES E. OPERATIONAL/CERTIFICATION HISTORY F. LEGAL/JUDICIARY ISSUES	

Operations visited

Operations visited	
Biodex SA Z.I EL M'ghira 3 Lot 36 Rue Tozeur Fouchana 2082 Ben Arous Tunisie	Conversion unit
Date: 7/10/2015	
SNCD Chatt mariem,sousse tunisie	UCO collector
Date: 08-10-2015	
Salah Chaouachi 003 , LE PAO KHAZNADAR 2000	UCO collector
Date: 08-10-2015	
El Baraka 76 HABIB BOURGUIBA ARIANA 2080	UCO collector
Date: 08-10-2015	

6. Observations

6.1 non-conformities

Non-compliance: failure to comply with one or more requirements specified in the RSB standards and/or the RSB certification systems

6.1.1 Evaluation of Non-conformities

CUC shall monitor and evaluate all actions taken by the participating operator to address non-compliances finalization of the evaluation report CUC shall evaluate at minimum those aspects of the operation(s) of CUC shall ensure that recommendations to close major non-compliances, and whether major non-compliances were corrected, rectified or otherwise brought into compliance with the RSB standards and the RSB certification systems, together with the respective certification reports are submitted to peer review and duly decided by its certification decision entity.

6.1.2. Identified non-conformities during present evaluation

Non-compliance (NCs) identified during this evaluation are listed below. In case the organization has Each element (requirement) of the Standard is evaluated by means of a checklist. Please refer to the annexed checklists for details.

6.1.3. Assessment of non-compliance raised during and after previous audit report

Check if section is not applicable, i.e. it concerns a main assessment/initial audit, or no NCs were raised

Below an overview is given of the actions taken by the client/certificate holder to correct any NC's

For each NC a description of its current status is given. In the case a minor NC has not been settled within NC1

Category	Major
Description of requirement:	1. 5. You shall have all necessary infrastructure and operating procedures in place to effectively operate the chain of custody system and ensure that RSB EU RED and/or EU RED Certified Material can be tracked continuously without interruption through all internal processing steps between their acquisition and forwarding to your clients.
Description of non-conformity:	A sustainability procedure is available which has been set up to comply the ISCC EU requirements. The RSB requirements are not covered by this procedures.
Status:	Closed succesfully

NC2

Category	Major
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		<p>1. 6. You shall document all sites where RSB EU RED and/or EU RED Certified Material is acquired, handled and forwarded and where internal processing steps occur (See also Section F.1.3 of RSB-STD-11-001-30-001 Consolidated RSB EU RED Standard for Operators taking part in RSB certification systems), with additional requirements for site records as follows:</p> <p>1. 6. 3. Collection Points, Storage Facilities, Warehouse and Traders</p> <ul style="list-style-type: none"> • List of all suppliers of sustainable biomass, and copy of their valid certificates • List of all collection points, including name and address • Record of mass balance calculation (if relevant²) • If you are not the legal owner of the storage site, a written contract between your organization and the legal owner of the site will be required to forward products with an RSB EU RED or an EU RED compliance claim included with the product information.
Description of requirement:		
Description of non-conformity:		Application form sent before the audit needs to be updated. The list with details of all the operation sites (UCO collectors) is not complete.
Status:		Closed succesfully
NC3		
Category		Major
Description of requirement:		3. 4. 1. If several operational sites are included in the scope of certification, each operational site shall maintain its own Mass Balance accounting system.
Description of non-conformity:		UCO is purchased from 8 collectors. Input figures at Biodex biodiesel unit are available. A mass balance need to be set up for each collector.
Status:		Closed succesfully
NC4		
Category		Major

	<p>Criterion 2a. Biofuel operations shall undertake an impact assessment process to assess impacts and risks and ensure sustainability through the development of effective and efficient implementation, mitigation, monitoring and evaluation plans.</p> <p>The Environmental and Social Management Plan (ESMP), in accordance with the RSB ESMP Guidelines (RSB---GUI---01---002---05), shall be required for all operations and shall ensure compliance with all RSB Principles & Criteria. Where there are progress requirements, they shall be detailed.</p>
Description of requirement:	
Description of non-conformity:	ESMP is not complete during the audit at Biodex.
Status:	Closed successfully

6.2 Certification decision

6.2.1. Statement

The Organization has been (re-)assessed by CUC according to the standard(s) described in Section 6 below. In the

The Organization is in conformance with the certification requirements (all major NC's are closed), and (re-

The Organization is NOT in conformance with the certification requirements (all major NC's are not closed),

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For all other information please use fvdvelden@controlunion.com and/or the following address:

Control Union Certifications

P.O.Box 161

8000 AD ZWOLLE

The Netherlands